



Appendix GG: Section 106 Documentation

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Appendix GG. Section 106 Documentation

GG.1 SECTION 106 PROCESS AND PROGRAMMATIC AGREEMENT

Section 106 of the NHPA requires federal agencies to take into account the effects of their undertakings on historic properties through a consultation process that includes a State Historic Preservation Officer (SHPO) and other parties. The requirements for Section 106 consultation are outlined in regulations issued by the Advisory Council on Historic Preservation (36 CFR 800). The regulations define an “undertaking” to include “a project, activity, or program funded in whole or in part under the direct or indirect jurisdiction of a Federal agency, including those carried out by or on behalf of a Federal agency; those carried out with Federal financial assistance; and those requiring a Federal permit, license or approval.”

The FRA has determined that the development and adoption of the NEC FUTURE Investment Program is an undertaking with the potential to affect historic properties.¹ Therefore, the FRA has initiated a Section 106 consultation process for the NEC FUTURE Investment Program concurrently with the preparation of this Tier 1 EIS (see Table GG-1).

The FRA is conducting Section 106 consultation for the NEC FUTURE Investment Program using a phased approach, as authorized by the Section 106 regulations. Under this phased approach, the FRA has identified potential impacts to historic properties during the Tier 1 process. More detailed identification of historic properties, assessments of effects, and resolution of adverse effects will occur as part of Tier 2 studies for individual projects.

The Section 106 process during Tier 1 has included consultation with the Federal Transit Administration (FTA), SHPOs, the Advisory Council on Historic Preservation (ACHP), federally recognized tribes, and other consulting parties.² This process has focused on identification of known historic resources and assessment of potential effects. The FRA identified known historic resources within a preliminary area of potential effect (“Preliminary APE”), which is intended to include those resources that have the greatest potential to be affected by the Action Alternatives and Preferred Alternative (see Chapter 7.9, Section 7.9.3 and Volume 2, Chapter 7.9, Section 7.9.3 for descriptions of the Preliminary APE of the Preferred Alternative and Action Alternatives, respectively). The results of this analysis are documented in Chapter 7.9 and Volume 2, Chapter 7.9.

As part of Section 106 consultation during Tier 1, the FRA has worked with FTA, the SHPOs, ACHP, tribes, and others to develop a Programmatic Agreement, which is intended to establish the process that will be followed to complete Section 106 consultation during the environmental review process

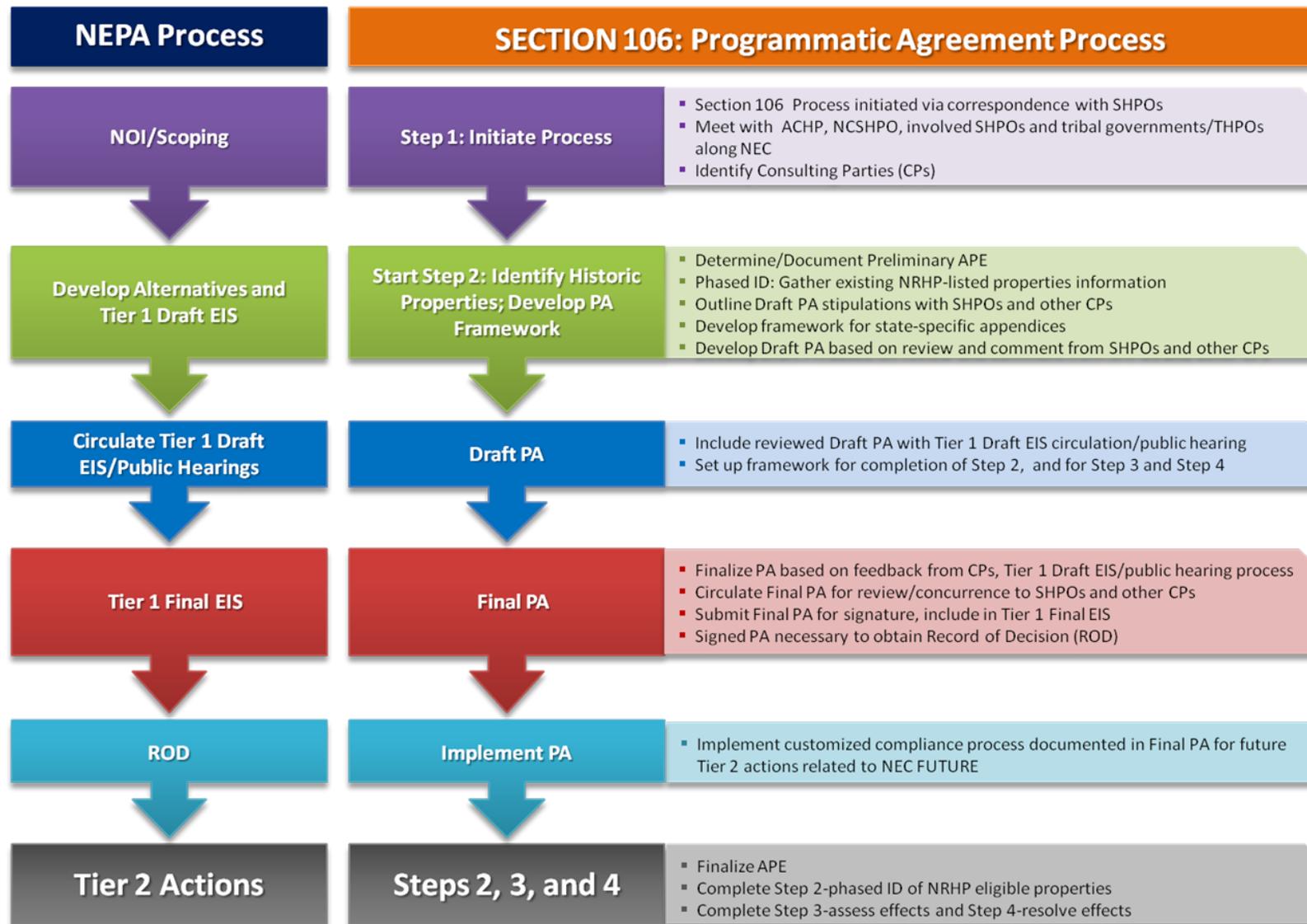
¹ This determination is based on several factors, including the FRA’s role in funding the development of the Investment Program; the federal ownership and control of the railroad infrastructure assets along the NEC; ongoing federal funding for railroad operations and capital improvements along the NEC; the complexity of the corridor, including the large number of SHPOs, tribes, and other entities involved, as well the number of historic properties present; and the likelihood that decisions made by the FRA in Tier 1 will be used to guide future federal funding decisions for projects on and off the NEC over a period of many years.

² The consulting parties during Tier 1 included the SHPOs from the District of Columbia and the states of Maryland, Delaware, New Jersey, Pennsylvania, New York, Connecticut, Rhode Island and Massachusetts.

for individual projects on (known as Tier 2 projects). The signed Programmatic Agreement is included in the Tier 1 Final EIS within this appendix.

During Tier 2 studies for individual projects, the FRA (or other federal agencies with Section 106 responsibilities) will determine a project-specific APE and will complete the identification of historic properties, assessment of effects, and resolution of adverse effects. Consulting parties will be invited to participate in Section 106 consultation for individual Tier 2 projects, as appropriate, in accordance with the Section 106 regulations and the Programmatic Agreement.

Figure GG-1: NEPA Process and Section 106 Programmatic Agreement Process Flow Chart



GG.2 CONSULTING PARTIES AND CONSULTATIONS

The FRA identified tribes and agencies that should be consulted as part of the NEC FUTURE Section 106 process. Throughout the NEC FUTURE program, the FRA has engaged in agency consultations as well as government to government consultations with tribes. The FRA extended invitations to agencies and tribes to be Consulting Party and/or a Signatory or Concurring Party to the Programmatic Agreement. Appendix E of the Programmatic Agreement lists the status of each tribe or agency with respect to the NEC FUTURE Section 106 process. Consultation materials, including agendas, presentations, handouts, notes, and outcomes are documented and maintained in the project files.

GG.3 AGENCY CONSULTATIONS

Table GG-1 provides a list of FRA consultations with SHPOs, ACHP, FTA, and the National Conference of State Historic Preservation Officers (NCSHPO), including individual and group webinars and conference calls held on regular basis to facilitate coordination.

Table GG-1: Agency Consultations

Date	Location	Purpose	Involved Agencies
3/4/2013	Webinar	FRA – ACHP Discussion on Section 106 Strategy	ACHP
3/5/2013	Webinar	FRA – SHPO Project Overview and Data Collection	NY SHPO
3/7/2013	Webinar	FRA – SHPO Project Overview and Data Collection	CT SHPO, DE SHPO, MD SHPO, PA SHPO, RI SHPO, MA SHPO
3/18/2013	Conference Call	Section 106 Consultation – Initial	MD SHPO
3/18/2013	NJ SHPO Offices, Trenton, NJ	Section 106 Consultation – Initial	NJ SHPO
3/18/2013	Conference Call	Section 106 Consultation – Initial	NY SHPO
3/18/2013	Conference Call	Section 106 Consultation	PA SHPO
3/19/2013	DE SHPO Offices, Dover, DE	Section 106 Consultation – Initial	DE SHPO
3/21/2013	DC HPO Offices, Washington, D.C.	Section 106 Consultation – Initial	DC SHPO
3/21/2013	ACHP Offices, Old Post Office Building, 1100 Pennsylvania Avenue, NW, Suite 803, Washington, DC 20004	FRA-ACHP-NCSHPO Discussion on Section 106 Strategy	ACHP, NCSHPO
3/25/2013	CT SHPO, Department of Economic and Community Development, One Constitution Plaza, 2nd Floor, Hartford, Connecticut 06103	Section 106 Consultation – Initial	CT SHPO
3/26/2013	RI SHPO, Old State House, 150 Benefit Street, Providence, RI 02903	Section 106 Consultation – Initial	RI SHPO

Table GG-1: Agency Consultations (continued)

Date	Location	Purpose	Involved Agencies
7/11/2013	ACHP Offices, Old Post Office Building, 1100 Pennsylvania Avenue, NW, Suite 803, Washington, DC 20004	FRA-ACHP-NCSHPO Update on NEC FUTURE and Discussion on Section 106 Strategy	ACHP, NCSHPO
1/9/2014	Webinar/Conference Call	Programmatic Agreement Working Group #1	ACHP, NCSHPO, FTA
4/29/2014	Webinar/Conference Call	Programmatic Agreement Working Group #2	ACHP, NCSHPO, DE SHPO, NJ SHPO, FTA
5/14/2014	US DOT building (1200 New Jersey Avenue, SE) - USDOTW35-201	Spring 2014 ACHP/NCSHPO Update	ACHP, NCSHPO
9/19/2014	Teleconference	NEC FUTURE SHPO Discussion – MD SHPO	MD SHPO
9/23/2014	Teleconference	NEC FUTURE SHPO Discussion – RI SHPO	RI SHPO
9/23/2014	Teleconference	NEC FUTURE SHPO Discussion – CT SHPO	CT SHPO
9/23/2014	Teleconference	NEC FUTURE SHPO Discussion – NJ SHPO	NJ SHPO
9/23/2014	Teleconference	NEC FUTURE SHPO Discussion – PA SHPO	PA SHPO
9/23/2014	Teleconference	NEC FUTURE SHPO Discussion – DE SHPO	DE SHPO
10/2/2014	Teleconference	NEC FUTURE SHPO Discussion – NY SHPO	NY SHPO
11/17/2014	ACHP Offices, Washington, DC	ACHP/NCSHPO Update on NEC FUTURE Program Section 106 Coordination	ACHP, NCSHPO
3/24/2015	USDOT, 1200 New Jersey Avenue, SE	FRA-ACHP NEC FUTURE update/PA review	ACHP
4/1/2015	Teleconference	MA SHPO-FRA NEC FUTURE PA review	MA SHPO
4/1/2015	Teleconference	RI SHPO-FRA NEC FUTURE PA review	RI SHPO
4/1/2015	Teleconference	MD SHPO-FRA NEC FUTURE PA review	MD SHPO
4/2/2015	Teleconference	NJ SHPO-FRA NEC FUTURE PA review	NJ SHPO
4/2/2015	Teleconference	DC SHPO-FRA NEC FUTURE PA review	DC SHPO
4/2/2015	Teleconference	FTA-FRA NEC FUTURE PA review	FTA
4/2/2015	Teleconference	PA SHPO-FRA NEC FUTURE PA review	PA SHPO
4/2/2015	Teleconference	DE SHPO-FRA NEC FUTURE PA review	DE SHPO
5/6/2015	Teleconference	FTA-FRA NEC FUTURE PA review	FTA
7/9/2015	Webinar/Conference Call	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	ACHP, FTA, SHPOs
7/16/2015	Teleconference	ACHP review of Draft PA	ACHP, FTA
9/28/2015	Teleconference	Discuss characterization of Undertaking	ACHP
11/04/2015	Webinar/Conference Call	Review findings of Tier 1 Draft EIS and Draft PA	ACHP, FTA, SHPO

Table GG-1: Agency Consultations (continued)

Date	Location	Purpose	Involved Agencies
2/19/2016	NJ Historic Preservation Office, 501 East State Street, Trenton, NJ	NJ SHPO-FRA meeting to discuss NEC FUTURE (Comments on Draft PA)	NJ SHPO
3/14/2016	Webinar/Conference Call	Share summary of comments received during Tier 1 Draft EIS comment period and approach to finalizing and executing the PA	ACHP, FTA, SHPO
4/19/2016	Webinar/Conference Call	ACHP-FRA meeting on NEC FUTURE PA	ACHP
6/13/2016	Conference Call	DE SHPO Coordination on PA	DE SHPO

Source: NEC FUTURE team, 2016

The FRA also invited parties involved in the Section 106 process to participate in broader public involvement and agency coordination activities. These outreach efforts are described in Chapter 11. The FRA utilized a variety of outreach efforts to inform and engage the public, elected officials, government agencies, private businesses and individuals during the NEC FUTURE process. In particular, ACHP and the SHPOs were invited to participate in resource and regulatory agency meetings, Technical Working Groups (TWG), and broader agency coordination meetings. Other Consulting Parties often participated in agency meetings held in each of the corridor's eight states and the District of Columbia.

GG.4 GOVERNMENT TO GOVERNMENT CONSULTATIONS

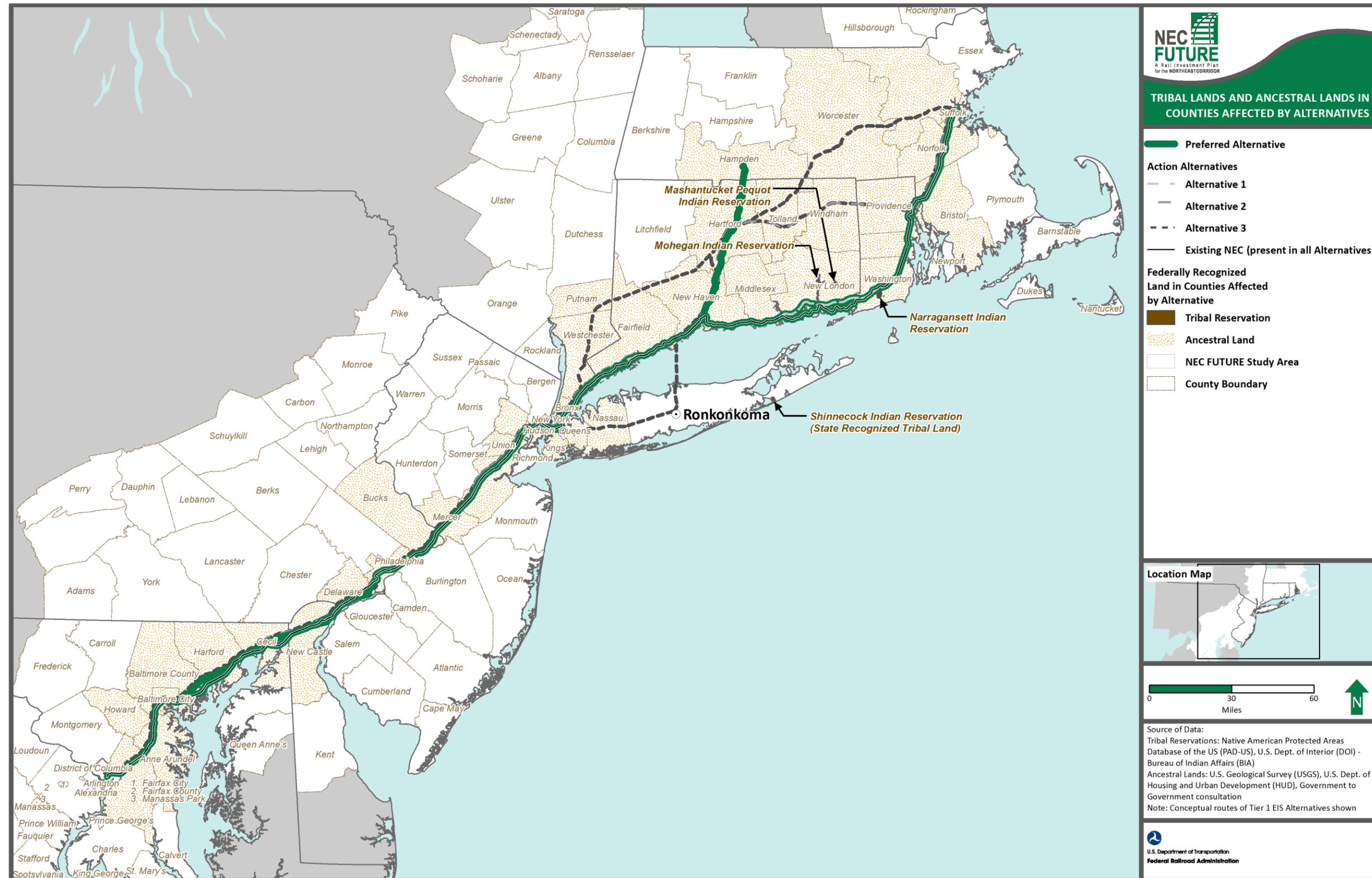
In June 2012, the FRA reached out to 21 Native American tribes to participate in government to government consultations with the FRA. Of the 21 tribes, 11 of the tribes have indicated, or SHPOs have otherwise indicated, that they have tribal lands or claim ancestral lands in counties through which the NEC FUTURE Preferred Alternative and Action Alternatives run. Figure GG-1 shows the counties affected by the Preferred Alternative that coincide with known tribal and ancestral lands. The FRA has actively pursued government-to-government consultations with these tribes and has had some successful consultations to identify the general locations of tribal lands and sites within the counties through which each of the Action Alternatives and the Preferred Alternative run. Table GG-2 lists the meetings with these tribes.

Table GG-2: Government-to-Government Consultations

Date	Location	Involved Tribes
12/07/2012	13 Crow Hill Road, Uncasville, CT	<ul style="list-style-type: none"> ■ Mashantucket (Western) Pequot Tribal Nation ■ The Mohegan Tribe
1/20/2015	Mohegan Sun Government Center	<ul style="list-style-type: none"> ■ Mashantucket (Western) Pequot Tribal Nation ■ The Mohegan Tribe
3/25/2015	AECOM office (40 British American Blvd., Latham, NY 12110)	<ul style="list-style-type: none"> ■ Stockbridge-Munsee Community Band of Mohicans
6/1/2015	Mashpee Wampanoag Tribe's Government Center 483 Great Neck Road South, Mashpee MA	<ul style="list-style-type: none"> ■ Mashpee Wampanoag Tribe
7/14/2015	Temple University, Philadelphia	<ul style="list-style-type: none"> ■ Delaware Tribe of Indians
11/04/2015	Webinar/Conference Call	<ul style="list-style-type: none"> ■ Review findings of Tier 1 Draft EIS and Draft PA with Section 106 signatories and tribes
3/14/2016	Webinar/Conference Call	<ul style="list-style-type: none"> ■ Share summary of comments received with tribal points of contact during Tier 1 Draft EIS comment period and approach to finalizing and executing the PA

Source: NEC FUTURE team, 2016

Figure GG-2: Tribal Lands and Ancestral Lands in Counties Affected by the Preferred Alternative and Action Alternatives



GG.5 SIGNATORIES AND CONCURRING PARTIES TO THE PROGRAMMATIC AGREEMENT

Signatories to the Programmatic Agreement include the Federal Transit Administration, the Advisory Council on Historic Preservation (ACHP), the Connecticut SHPO, Delaware SHPO, District of Columbia SHPO, Maryland SHPO, Massachusetts SHPO, New Jersey SHPO, New York SHPO, Pennsylvania SHPO, and Rhode Island SHPO.

While all consulting parties can concur on the Programmatic Agreement, the FRA specifically invited the following tribes to concur:

- ▶ Mashantucket (Western) Pequot Tribal Nation
- ▶ Narragansett Indian Tribe of Rhode Island
- ▶ Shinnecock Indian Nation
- ▶ The Mohegan Tribe
- ▶ Wampanoag Tribe of Gay Head (Aquinnah) of Massachusetts
- ▶ Stockbridge-Munsee Community Band of Mohicans
- ▶ Mashpee Wampanoag Tribe
- ▶ Delaware Tribe of Indians
- ▶ Absentee-Shawnee Tribe of Oklahoma
- ▶ Shawnee Tribe
- ▶ Delaware Nation

The tribes that were specifically invited to concur on the Programmatic Agreement are federally recognized tribes that have indicated that they have tribal lands or claim ancestral lands in counties through which the NEC FUTURE Action Alternatives run, or for which SHPOs have notified the FRA of tribal interests. These tribes are the same tribes identified in Section GG.4 with whom the FRA has actively pursued consultation and whose lands are mapped in Figure GG-2.

In addition, in consultation with the ACHP and New York SHPO, the FRA specifically invited the following agencies to concur:

- ▶ National Conference of State Historic Preservation Officers (NCSHPO)
- ▶ New York City Landmarks Preservation Commission

GG.5.1 Correspondence

The FRA initiated Section 106 consultation with the ACHP, the nine SHPOs within the NEC FUTURE Study Area, and tribes in June 2012. In addition, the FRA relayed official notifications and correspondence regarding the development of the Programmatic Agreement under Section 106 beginning in February 2014. Table GG-3 lists invitations for consultations and other correspondence between the FRA, FTA, tribes, ACHP, and the nine SHPOs, as well as invitations and other correspondence with Consulting Parties to the Section 106 process and Concurring Parties to the Programmatic Agreement developed under Section 106.

Table GG-3: Section 106 Correspondence

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
6/20/2012	Outgoing	Letter, Email	David Valenstein, Federal Railroad Administration	Edward F. Sanderson, Rhode Island Historic Preservation & Heritage Commission James Vaughan, Bureau for Historic Preservation (Pennsylvania) Rose Harvey, Office of Parks, Recreation and Historic Preservation (New York State) Bob Martin, Department of Environmental Protection (New Jersey) J. Rodney Little, Maryland Historical Trust Brona Simon, Massachusetts Historical Commission Timothy A. Slavin, Division of Historical and Cultural Affairs (Delaware) David Maloney, Historic Preservation Office (Washington DC) David Bahlman, Connecticut Department of Economic and Community Development	(1) Formal initiation of consultation under Section 106 of the National Historic Preservation Act for the Northeast Corridor Passenger Rail Corridor Investment Plan (2) Participation in the Northeast Corridor Tier 1 Environmental Impact Statement	Sent to replace previously relayed letter dated June 15, 2012.
6/20/2012	Outgoing	Letter	David Valenstein, Federal Railroad Administration	Chairwoman Cheryl Andrews-Maltais, Wampanoag Tribe of Gay Head (Aquinnah) of Massachusetts Chief Leo R. Henry, Tuscarora Nation of New York Chief Roger Hill, Towanda Band of Seneca Indians of New York President Kimberly Vele, Stockbridge Munsee Community, Wisconsin Chairperson Randy King, Shinnecock Indian Nation Chairman Ron Sparkman, Shawnee Tribe Chief LeRoy Howard, Seneca-Cayuga Tribe of Oklahoma President Robert Odawi Porter, Seneca Nation of New York Chiefs Mark Garrow, Monica Jacobs, and Randy Hart, Saint Regis Mohawk Tribe Chief Irving Powless, Jr., Onodaga Nation of New York Chairperson Richard Hill, Oneida Tribe of Indians of Wisconsin Nation Representative Ray Halbritter, Oneida Nation of New York Chief Sachem Matthew Thomas, Narragansett Indian Tribe of Rhode Island Chairman Bruce Bozsum, Mohegan Indian Tribe of Connecticut Chairman Cedrick Cromwell, Mashpee Wampanoag Tribe Chairman Rodney Butler, Mashantucket Pequot of Connecticut Chief Glenna J. Wallace, Eastern Shawnee Tribe of Oklahoma Chief Paula Pechonick, Delaware Tribe of Indians President Kerry Holton, Delaware Nation Nation Representative Clint Halftown, Cayuga Nation of New York Governor Governor Blanchard, Absentee-Shawnee Tribe of Indians of Oklahoma	(1) Initiation of Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act for the Northeast Corridor Passenger Rail Corridor Investment Plan (2) Participation in the Northeast Corridor Tier 1 Environmental Impact Statement	Sent to replace previously relayed letter dated June 15, 2012.
6/21/2012	Incoming	Email	Beth Cole, Maryland Historical Trust / MD SHPO	Rebecca Reyes-Alicea, Federal Railroad Administration	FRA's Northeast Corridor Passenger Rail Corridor Investment Plan - Tier 1 EIS	Establishing Beth Cole as POC, coordinating with Tim Tamburrino, Transportation Reviewer.
6/25/2012	Incoming	Email	Daniel Forrest, State Historic Preservation Office (CT)	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Establishing Daniel Forrest as POC, coordinating with Susan Chandler, SHPO's Historical Architect; David Bahlman retired.
6/25/2012	Incoming	Email	Brona Simon, Massachusetts Historical Commission	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Request to send original, signed copy by mail or courier.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
6/26/2012	Incoming	Returned Letter	David Valenstein, Federal Railroad Administration	Chairman Cedrick Cromwell, Mashpee Wampanoag Tribe	(1) Initiation of Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act for the Northeast Corridor Passenger Rail Corridor Investment Plan (2) Participation in the Northeast Corridor Tier 1 Environmental Impact Statement	RETURN TO SENDER / NOT DELIVERABLE AS ADDRESSED
6/28/2012	Incoming	Email	Kathleen Knowles, Mashantucket (Western) Pequot Tribal Nation	Rebecca Reyes-Alicea, Federal Railroad Administration	FRA First Phase Planning Process for Improving Rail Service in the Corridor Between Boston, MA & Washington, D.C.	Requesting a copy of any work performed on the project (SDP, Tier 1 EIS, Archaeology Surveys) for review and comment, when completed.
7/2/2012	Incoming	Email	James Quinn, Mohegan Tribe of Connecticut	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Requesting all future communications go to Tribal Chair Bruce Bozsum and to James Quinn as he handles all Section 106 consultations for the Mohegan Tribe.
7/2/2012	Incoming	Letter (w/ email transmitted 7/2/2012)	Daniel Forrest, State Historic Preservation Office (CT)	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Attaching CT SHPO's acknowledgement of initiation of consultation and request for a meeting.
7/10/2012	Incoming	Email	C. Andrew Lewis, DC State Historic Preservation Office	Rebecca Reyes-Alicea, Federal Railroad Administration; David Valenstein, Federal Railroad Administration	Section 106 Review: Northeast Corridor Passenger Rail Corridor Investment Plan	Attaching response letter; would like to review additional information about alternatives as soon as it is available.
7/10/2012	Incoming	Letter	Edward F. Sanderson, Executive Director, State Historic Preservation Officer	David Valenstein, Federal Railroad Administration	Initiation of consultation under Section 106 of the National historic Preservation Act Northeast Corridor Passenger Rail Corridor Investment Plan Northeast Corridor Tier 1 Environmental Impact Statement	Establishing Jeffrey D. Emidy as RIHPC's POC; confirmation of initiation of consultation under Section 106
7/12/2012	Incoming	Email	Brice Obermeyer, Delaware Tribe Historic Preservation Office	Rebecca Reyes-Alicea, Federal Railroad Administration	Delaware Tribe and the NE Rail Corridor	Requesting clarification re: previous correspondence transmitted in error.
7/20/2012	Incoming	Email	Barbara Frederick, Bureau for Historic Preservation (PA)	Rebecca Reyes-Alicea, Federal Railroad Administration	Northeast Corridor Passenger Rail Corridor Investment Plan	Establishing Barbara Frederick as the primary POC for the Bureau for Historic Preservation and for future Section 106 consultation.
7/23/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Brice Obermeyer, Delaware Tribe Historic Preservation Office	Delaware Tribe and the NE Rail Corridor	Clarification re: previous correspondence transmitted in error; requesting POC; Scoping Update
7/23/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	C. Andrew Lewis, DC State Historic Preservation Office	Section 106 Review: Northeast Corridor Passenger Rail Corridor Investment Plan	Scoping Update
7/23/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Daniel Forrest, State Historic Preservation Office (CT)	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Scoping Update; Confirmation of POC; discussion regarding next meeting
7/23/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Barbara Frederick, Bureau for Historic Preservation (PA)	Northeast Corridor Passenger Rail Corridor Investment Plan	Scoping Update; Confirmation of POC
7/23/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	James Quinn, Mohegan Tribe of Connecticut	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Confirmation of additional POC; Scoping Update

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/23/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Kathleen Knowles, Mashantucket (Western) Pequot Tribal Nation	FRA First Phase Planning Process for Improving Rail Service in the Corridor Between Boston, MA & Washington, D.C.	Scoping Update; clarification re: archaeological surveys
7/23/2012	Incoming	Email	Brice Obermeyer, Delaware Tribe Historic Preservation Office	Rebecca Reyes-Alicea, Federal Railroad Administration	Delaware Tribe and the NE Rail Corridor	Confirming B. Obermeyer as POC; discussion regarding next meeting
7/24/2012	Incoming	Comment Form (via website)	James Quinn, Mohegan Tribe of Connecticut	The NEC FUTURE Team	NA	Request to initiate Section 106 consultation; request that any relevant planning documentation, including but not limited to a proposed APE, be sent; The Mohegan Tribe has concerns with any project of this magnitude in Connecticut adversely affecting resources of cultural, religious or historical significance to the Tribe.
7/26/2012	Incoming	Letter	Douglas C. McLearn, Chief, Division of Archaeology and Protection	David Valenstein, Federal Railroad Administration	ER# 2012-1952-042-A FRA: Northeast Corridor Passenger Rail Corridor Investment Plan and Northeast Corridor Tier 1 Environmental Impact Statement	Establishing Barbara Frederick as the POC for above ground cultural resources and Mark Shaffer as the POC for below ground cultural resources for the Bureau for Historic Preservation.
7/28/2012	Incoming	Letter	John A. Bonafide, Director, Technical Preservation Services Bureau	David Valenstein, Federal Railroad Administration	Northeast Corridor Passenger Rail Corridor Plan/Section 106 Initiation	Establishing John A. Bonafide as the New York SHPO's primary POC for the Section 106 undertaking; welcoming opportunity to be an active participant in this process.
9/17/2012	Incoming	Letter (w/ email transmitted 9/18/2012)	C. Andrew Lewis, DC State Historic Preservation Office	Rebecca Reyes-Alicea, Federal Railroad Administration	District of Columbia State Historic Preservation Officer Early Section 106/Tier I Scoping Comments: Northeast Corridor Passenger Rail Corridor Investment Plan	Scoping comments
10/18/2012	Incoming	Email	James Quinn, the Mohegan Tribe	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	"Concerns that the portion of the project that is proposed for southwestern Rhode Island and for all of Connecticut may impact sites of cultural, religious or historical significance to the Mohegan Tribe. We respectfully request that the FRA engage the Mohegan Tribe in a separate, government to government Section 106 consultation as the project planning moves forward."
10/18/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	James Quinn, the Mohegan Tribe	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Welcoming opportunity to set up a meeting; will coordinate dates.
10/18/2012	Incoming	Email	James Quinn, the Mohegan Tribe	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	"Thank you for your quick response. The Mohegan Tribe looks forward to working with you as the project progresses."
10/31/2012	Outgoing	Email	Becky Blatnica, USDOT	James Quinn, the Mohegan Tribe	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Requesting meeting for Friday, December 7.
11/1/2012	Outgoing	Email	Amishi Castelli, USDOT	Kathleen Knowles, Mashantucket (Western) Pequot of Connecticut	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Requesting meeting for Friday, December 7.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
11/2/2012	Incoming	Email	James Quinn, the Mohegan Tribe	Becky Blatnica, USDOT	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Confirming 12/07/2012 meeting date; suggested time; suggested contacting Kathleen Knowles (Mashantucket THPO) to notify her about meeting and to invite her to also attend meeting with Mohegan.
11/2/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	James Quinn, the Mohegan Tribe	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Notifying James that we have already reached out to Kathleen Knowles (Mashantucket THPO) and that "We welcome the opportunity to meet with both Tribes".
11/5/2012	Incoming	Email	Kathleen Knowles, Mashantucket (Western) Pequot of Connecticut	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Acknowledged and accepted meeting invitation (with Mohegan THPO as well) for 12/07/2012.
11/15/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	James Quinn, the Mohegan Tribe; Kathleen Knowles, Mashantucket (Western) Pequot of Connecticut	NEC FUTURE - Initiation of Consultation and Participation in the NEC Tier 1 EIS	Confirming 12/07/2012 meeting time and date; inquiring about location.
12/7/2012	Meeting with James Quinn, the Mohegan Tribe; Kathleen Knowles, Mashantucket (Western) Pequot of Connecticut, at Mohegan Tribe in Connecticut. Meeting acknowledged via follow up correspondence on 12/14/2012. --					
12/14/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	James Quinn, the Mohegan Tribe Kathleen Knowles, Mashantucket (Western) Pequot Tribal Nation	NEC FUTURE - December 7 Meeting Follow-up	Thank you for hosting; follow-up on discussion points.
12/14/2012	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Daniel Forrest, State Historic Preservation Office (CT)	NEC FUTURE - Follow-up	Discussion regarding meeting with CT SHPO; information about meeting with Mohegan Indian Tribe of Connecticut and Mashantucket (Western) Pequot Tribe.
12/17/2012	Incoming	Email	Daniel Forrest, State Historic Preservation Office (CT)	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE - Follow-up	Discussion regarding meeting with CT SHPO
12/20/2012	Incoming	Email	Kathleen Knowles, Mashantucket (Western) Pequot of Connecticut	Rebecca Reyes-Alicea, Federal Railroad Administration James Quinn, the Mohegan Tribe	NEC FUTURE - December 7 Meeting Follow-up	Acknowledgement of 12/14 email, confirmation of contact information.
1/8/2013	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Daniel Forrest, State Historic Preservation Office (CT)	NEC FUTURE - Follow-up	Discussion regarding meeting with CT SHPO, coordination when we get back in touch with the CT Tribes, and future confirmation for tribal points-of-contact
1/8/2013	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	James Quinn, the Mohegan Tribe Kathleen Knowles, Mashantucket (Western) Pequot Tribal Nation	NEC FUTURE - December 7 Meeting Follow-up	Inquiry re: coordination for USET conference
1/10/2013	Incoming	Email	James Quinn, the Mohegan Tribe	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE - December 7 Meeting Follow-up	Providing name and contact info for Chairman of Cultural and Heritage Committee for USET.
1/11/2013	Incoming	Email	Daniel Forrest, State Historic Preservation Office (CT)	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE - Follow-up	Discussion regarding meeting with CT SHPO, positive feedback from consultations with Mohegan Tribe and Mashantucket Pequot, and POCs for tribes in CT and MA.
1/12/2013	Outgoing	Email	Amishi Castelli, USDOT	Robert Thrower, Poarch Band of Creek Indians Chairman, Cultural and Heritage Committee, USET	Presentation of FRA project at USET	Providing program introduction/overview, tribal consultations to-date, and interest in doing a briefing at USET.
1/12/2013	Incoming	Email	Robert Thrower, Poarch Band of Creek Indians Chairman, Cultural and Heritage Committee, USET	Amishi Castelli, USDOT	Presentation of FRA project at USET	Regarding logistics of presenting at USET

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
1/12/2013	Outgoing	Email	Amishi Castelli, USDOT	Robert Thrower, Poarch Band of Creek Indians Chairman, Cultural and Heritage Committee, USET	Presentation of FRA project at USET	Regarding logistics of presenting at USET
1/12/2013	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	State Historic Preservation Office Contacts (Dan.Saunders@dep.state.nj.us; rose.harvey@oprhp.state.ny.us; ruth.pierpont@oprhp.state.ny.us; john.bonafide@parks.ny.gov; brona.simon@state.ma.us; Daniel.Forrest@ct.gov; edward.sanderson@preservation.ri.gov; jeffrey.emidy@preservation.ri.gov; bafrederic@pa.gov; bcole@mdp.state.md.us; andrew.lewis@dc.gov; david.maloney@dc.gov; rlittle@mdp.state.md.us; jvaughan@pa.gov; timothy.slavin@state.de.us; Charles.Scott@dep.state.nj.us; jeffrey.emidy@preservation.ri.gov; susan.chandler@ct.gov; chnagle@pa.gov; craig.lukezic@state.de.us; Gwen.Davis@state.de.us; Charles.Scott@dep.state.nj.us; susan.chandler@ct.gov; chnagle@pa.gov; craig.lukezic@state.de.us; Gwen.Davis@state.de.us; ttamburrino@mdp.state.md.us; ttamburrino@mdp.state.md.us)	NEC FUTURE - Upcoming Webinar - January 25	Announcing CEQ NEPA Pilot 1/25/13 webinar with federal and state environmental resource and regulatory agencies
2/7/2013	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Nancy Schamu, NCSHPO	FRA's NEC FUTURE, NCSHPO Coordination	Regarding opportunity to hold a joint meeting with the SHPOs during the Annual Historic Preservation Advocacy Week, February 24-27.
2/7/2013	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Charlene Vaughn, ACHP	NEC FUTURE - ACHP Meeting	Regarding potential meeting with ACHP to discuss Section 106 approach last week of February.
2/8/2013	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	State Historic Preservation Office Contacts (Dan.Saunders@dep.state.nj.us; rose.harvey@oprhp.state.ny.us; ruth.pierpont@oprhp.state.ny.us; john.bonafide@parks.ny.gov; brona.simon@state.ma.us; Daniel.Forrest@ct.gov; edward.sanderson@preservation.ri.gov; jeffrey.emidy@preservation.ri.gov; bafrederic@pa.gov; bcole@mdp.state.md.us; andrew.lewis@dc.gov; david.maloney@dc.gov; rlittle@mdp.state.md.us; jvaughan@pa.gov; timothy.slavin@state.de.us; Charles.Scott@dep.state.nj.us; jeffrey.emidy@preservation.ri.gov; susan.chandler@ct.gov; chnagle@pa.gov; craig.lukezic@state.de.us; Gwen.Davis@state.de.us; ttamburrino@mdp.state.md.us; EHughes@mdp.state.md.us; Laura.Mancuso@ct.gov; jecutler@pa.gov)	NEC FUTURE - Upcoming Webinar - January 25	Regarding potential meeting during upcoming NCSHPO conference in Washington, DC at end of February to touch base on progress of NEC FUTURE and Section 106 consultation.
2/11/2013	Outgoing	Email	Amishi Castelli, USDOT	gwen.davis@state.de.us; EHughes@mdp.state.md.us; laura.mancuso@ct.gov; edward.sanderson@preservation.ri.gov; jecutler@pa.gov; bafrederic@pa.gov; Daniel.Forrest@ct.gov (cc: schamu@sso.org)	FW: NEC FUTURE - NCSHPO meeting	Regarding meeting at upcoming NCSHPO conference in Washington, DC. Proposing 2/26 from 10-11a.
2/20/2013	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Dan.Saunders@dep.state.nj.us; rose.harvey@oprhp.state.ny.us; ruth.pierpont@oprhp.state.ny.us; john.bonafide@parks.ny.gov; brona.simon@state.ma.us; Daniel.Forrest@ct.gov; edward.sanderson@preservation.ri.gov; jeffrey.emidy@preservation.ri.gov; bafrederic@pa.gov; bcole@mdp.state.md.us; andrew.lewis@dc.gov; david.maloney@dc.gov; rlittle@mdp.state.md.us; jvaughan@pa.gov; timothy.slavin@state.de.us; Charles.Scott@dep.state.nj.us; jeffrey.emidy@preservation.ri.gov; susan.chandler@ct.gov; chnagle@pa.gov; craig.lukezic@state.de.us; Gwen.Davis@state.de.us; ttamburrino@mdp.state.md.us; EHughes@mdp.state.md.us; Laura.Mancuso@ct.gov; jecutler@pa.gov	FRA - NEC FUTURE - Webinar	Follow-up email regarding no meeting in DC the week of February 25, 2013. Intend to schedule a webinar first week of March 2013.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
2/20/2013	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Charlene Vaughn, ACHP cc: Castelli, Amishi (VOLPE); Blatnica, Rebecca (VOLPE); Siegel, Ruby (Ruby.Siegel@aecom.com)	NEC FUTURE - ACHP Meeting	Follow-up email regarding no meeting with the SHPOs in DC during the NCSHPO meeting. Intend to schedule a webinar first week of March 2013 with the SHPOs and would still like to set up a meeting with ACHP.
2/27/2013	Outgoing	Email	Ruby Siegel, Joint Venture	Charlene Vaughn, ACHP; Colleen Vaughn, Federal Railroad Administration; Rebecca Reyes-Alicea, Federal Railroad Administration; Nancy Schamu, NCSHPO; Amishi Castelli, USDOT; Rebecca Blatnica, USDOT; Alan Tabachnick, JV; Richard Starzak, JV; Susan Anderson, JV	NEC FUTURE Section 106 Approach Review	Conference call and webinar invitation, attaching NEC FUTURE Section 106 strategies.
3/4/2013	Outgoing	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Charlene Vaughn, ACHP	NEC FUTURE Section 106 Approach Review	Regarding participation in conference call and webinar on March 4, 2013.
3/4/2013	Incoming	Email	Charlene Vaughn, ACHP	Rebecca Reyes-Alicea, Federal Railroad Administration	NEC FUTURE Section 106 Approach Review	Reply regarding participation in conference call and webinar on March 4, 2013.
3/4/2013	Incoming	Email	Rebecca Reyes-Alicea, Federal Railroad Administration	Charlene Vaughn, ACHP cc: Castelli, Amishi (VOLPE)	NEC FUTURE Section 106 Approach Review	Regarding conference call and webinar on March 4, 2013.
3/12/2013	Outgoing	Email	Amishi Castelli, USDOT	Nancy Schamu, NCSHPO; Charlene Vaughn, ACHP cc: Louise Brodnitz, ACHP; Susan Anderson, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, Federal Railroad Administration; Colleen Vaughn, Federal Railroad Administration; Rebecca Blatnica, USDOT	NEC FUTURE Section 106 Approach Review	Follow-up on the conference call and webinar on March 4, 2013, including summary. Intend to schedule another ACHP/NCSHPO meeting with Erik Hein, NCSHPO and Charlene Vaughn, ACHP.
3/12/2013	Outgoing	Email	Nancy Schamu, NCSHPO	Erik Hein, NCSHPO cc: Amishi Castelli, USDOT	NEC FUTURE Section 106 Approach Review	Forwarding 3/12/2013 email from Amishi Castelli, USDOT.
3/12/2013	Outgoing	Email	Amishi Castelli, USDOT	C. Andrew Lewis, DC HPO; David Maloney, DC HPO cc: Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, FRA	DC SHPO consultation for NEC FUTURE	Regarding scheduling of meeting.
3/14/2013	Incoming	Email	C. Andrew Lewis, DC State Historic Preservation Office	Amishi Castelli, USDOT cc: Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, FRA	DC SHPO consultation for NEC FUTURE	Regarding scheduling of meeting.
5/1/2013	Outgoing	Email	Brian Albright, Joint Venture	H. Higgins, State Historic Preservation Office (CT) cc: Faline Schneiderman; Cosimo Sgarlata; Cosimo Sgarlata; Cosimo Sgarlata; Tabachnick, Alan; Castelli, Amishi (VOLPE)	NEC FUTURE Project	Request for GIS data.
5/1/2013	Outgoing	Email	Brian Albright, Joint Venture	Daniel Forrest, State Historic Preservation Office (CT) cc: Amishi Castelli, USDOT; Alan Tabachnick, JV; Susan Anderson, JV	NEC FUTURE GIS data	Attaching buffer area shapefiles of the study area for their use.
5/13/2013	Outgoing	Email	Amishi Castelli, USDOT	C. Andrew Lewis, DC State Historic Preservation Office cc: David Maloney, DC State Historic Preservation Office; Rebecca Reyes-Alicea, Federal Railroad Administration; Rebecca Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, Federal Railroad Administration	DC NEC FUTURE consultation	Follow-up on March 21, 2013 consultation

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
5/13/2013	Outgoing	Email	Amishi Castelli, USDOT	Beth Cole, Maryland Historical Trust; Tim Tamburrino, Maryland Historical Trust cc: J. Rodney Little, Maryland Historical Trust; Rebecca Reyes-Alicea, Federal Railroad Administration; Rebecca Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, Federal Railroad Administration	MD NEC FUTURE consultation, 03/18	Follow-up on March 18, 2013 consultation
5/13/2013	Outgoing	Email	Amishi Castelli, USDOT	Craig Lukezic, Division of Historical and Cultural Affairs (Delaware) cc: Timothy Slavin, Division of Historical and Cultural Affairs (Delaware); Gwen Davis, Division of Historical and Cultural Affairs (Delaware); Rebecca Reyes-Alicea, Federal Railroad Administration; Rebecca Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, Federal Railroad Administration	DE NEC FUTURE consultation 03/19	Follow-up on March 19, 2013 consultation
5/13/2013	Outgoing	Email	Amishi Castelli, USDOT	Barbara Frederick, Bureau for Historic Preservation (PA) cc: James Vaughan, Bureau for Historic Preservation (Pennsylvania); Cheryl Nagle, Bureau for Historic Preservation (Pennsylvania); Rebecca Reyes-Alicea, Federal Railroad Administration; Rebecca Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, Federal Railroad Administration	PA NEC FUTURE consultation, 03/18	Follow-up on March 18, 2013 consultation
5/13/2013	Outgoing	Email	Amishi Castelli, USDOT	Dan Saunders, Department of Environmental Protection (New Jersey), Historic Preservation; Caroline (Charles) Scott, Department of Environmental Protection (New Jersey), Historic Preservation cc: Rebecca Reyes-Alicea, Federal Railroad Administration; Rebecca Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, Federal Railroad Administration	NJ NEC FUTURE consultation, 03/18	Follow-up on March 18, 2013 consultation
5/13/2013	Outgoing	Email	Amishi Castelli, USDOT	John Bonafide, Office of Parks, Recreation and Historic Preservation (New York State) cc: Rebecca Reyes-Alicea, Federal Railroad Administration; Rebecca Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, Federal Railroad Administration	NY NEC FUTURE consultation, 03/18	Follow-up on March 18, 2013 consultation
5/13/2013	Outgoing	Email	Amishi Castelli, USDOT	Daniel Forrest, State Historic Preservation Office (CT) cc: Susan Chandler, State Historic Preservation Office (CT); Rebecca Reyes-Alicea, Federal Railroad Administration; Rebecca Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, Federal Railroad Administration	CT NEC FUTURE consultation, 03/25	Follow-up on March 25, 2013 consultation
5/13/2013	Outgoing	Email	Amishi Castelli, USDOT	Jeffrey Emidy, Rhode Island Historic Preservation & Heritage Commission cc: Edward F. Sanderson, Rhode Island Historic Preservation & Heritage Commission; Rebecca Reyes-Alicea, Federal Railroad Administration; Rebecca Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, Federal Railroad Administration	RI NEC FUTURE consultation, 03/26	Follow-up on March 26, 2013 consultation
5/14/2013	Incoming	Email	C. Andrew Lewis, DC State Historic Preservation Office	Amishi Castelli, USDOT cc: Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, FRA; Ruth Troccoli, DC HPO	DC NEC FUTURE consultation	Response to follow-up; data sharing agreement and Consulting Parties list
5/15/2013	Incoming	email	Daniel Forrest, State Historic Preservation Office (CT)	Amishi Castelli, USDOT cc: Susan Chandler, Rebecca Reyes-Alicea, Becky Blatnica, Ruby Siegel, Susan Anderson, Colleen Vaughn	CT NEC FUTURE consultation, 03/25	Response to follow-up; data sharing agreement and Consulting Parties list

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
5/16/2013	Outgoing	email	Amishi Castelli, USDOT	Daniel Forrest, State Historic Preservation Office (CT) cc: Susan Chandler, Rebecca Reyes-Alicea, Becky Blatnica, Ruby Siegel, Susan Anderson, Colleen Vaughn	CT NEC FUTURE consultation, 03/25	Response to follow-up; data sharing agreement and Consulting Parties list
5/16/2013	Incoming	email	Daniel Forrest, State Historic Preservation Office (CT)	Amishi Castelli, USDOT cc: Susan Chandler, Rebecca Reyes-Alicea, Becky Blatnica, Ruby Siegel, Susan Anderson, Colleen Vaughn	CT NEC FUTURE consultation, 03/25	Response to follow-up; data sharing agreement and Consulting Parties list
5/20/2013	Outgoing	email	Amishi Castelli, USDOT	Brian Albright, Joint Venture Michael Schifferli, Office of Parks, Recreation and History Preservation (New York State) cc: John Bonafide, Office of Parks, Recreation and History Preservation (New York State); Ruby Siegel, JV; Susan Anderson, JV	NY NEC FUTURE consultation, 03/18	Regarding dataset specifics and data sharing agreement.
5/29/2013	Outgoing	email	Amishi Castelli, USDOT	Daniel Forrest, State Historic Preservation Office (CT) cc: Susan Chandler, Rebecca Reyes-Alicea, Becky Blatnica, Ruby Siegel, Susan Anderson, Colleen Vaughn	CT NEC FUTURE consultation, 03/25	Response to follow-up; data sharing agreement and Consulting Parties list
6/3/2013	Incoming	email	Daniel Forrest, State Historic Preservation Office (CT)	Amishi Castelli, USDOT cc: Susan Chandler, Rebecca Reyes-Alicea, Becky Blatnica, Ruby Siegel, Susan Anderson, Colleen Vaughn	CT NEC FUTURE consultation, 03/25	Response to follow-up; data sharing agreement and Consulting Parties list
6/3/2013	Outgoing	email	Amishi Castelli, USDOT	Daniel Forrest, State Historic Preservation Office (CT) cc: Susan Chandler, Rebecca Reyes-Alicea, Becky Blatnica, Ruby Siegel, Susan Anderson, Colleen Vaughn, Selina Zapata	CT NEC FUTURE consultation, 03/25	Response to follow-up; data sharing agreement and Consulting Parties list
6/3/2013	Incoming	email	Daniel Forrest, State Historic Preservation Office (CT)	Amishi Castelli, USDOT cc: Colleen Vaughn	CT GIS data for NEC FUTURE	Data
6/3/2013	Incoming	email	Jeff Emidy, Historical Preservation and Heritage Commission	Amishi Castelli, USDOT	RI NEC FUTURE consultation, 03/26	Response to follow-up; Consulting Parties list
6/4/2013	Outgoing	email	Amishi Castelli, USDOT	Jeffrey Emidy, Historical Preservation and Heritage Commission Susan Anderson, Ruby Siegel, Selina Zapata, Becky Blatnica, Rebecca Reyes-Alicea	RI NEC FUTURE consultation, 03/26	Response to follow-up; Consulting Parties list
6/4/2013	Outgoing	email	Amishi Castelli, USDOT	Daniel Forrest, State Historic Preservation Office (CT) cc: Colleen Vaughn	CT GIS data for NEC FUTURE	Data
6/5/2013	Incoming	email	C. Andrew Lewis, DC State Historic Preservation Office	Amishi Castelli, USDOT cc: David Maloney, Rebecca Reyes-Alicea, Becky Blatnica, Ruby Siegel, Susan Anderson, Colleen Vaughn, Ruth Troccoli	DC NEC FUTURE consultation	Response to follow-up; data sharing agreement and Consulting Parties list
6/5/2013	Outgoing	email	Amishi Castelli, USDOT	C. Andrew Lewis, DC State Historic Preservation Office cc: David Maloney, DC State Historic Preservation Office; Rebecca Reyes-Alicea, Federal Railroad Administration; Rebecca Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Colleen Vaughn, Federal Railroad Administration; Ruth Troccoli	DC NEC FUTURE consultation	Response to follow-up; data sharing agreement and Consulting Parties list

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
6/6/2013	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, Office of Parks, Recreation and Historic Preservation (New York State)	Shinnecock Indian Nation	Request for contact information
6/6/2013	Outgoing	email	Amishi Castelli, USDOT	brona.simon@state.ma.us; Daniel.Forrest@ct.gov; edward.sanderson@preservation.ri.gov; jeffrey.emidy@preservation.ri.gov; cvaughn@achp.gov; lbrodnitz@achp.gov; hein@sso.org; susan.chandler@ct.gov; brandee.loughlin@state.ma.us; Laura.Mancuso@ct.gov; charlotte.taylor@preservation.ri.gov; todd.levine@ct.gov; Dan.Saunders@dep.state.nj.us; rose.harvey@oprhp.state.ny.us; ruth.pierpont@oprhp.state.ny.us; john.bonafide@parks.ny.gov; Charles.Scott@dep.state.nj.us; Vincent.maresca@dep.state.nj.us; kate.marcopul@dep.state.nj.us; bafrederic@pa.gov; bcole@mdp.state.md.us; andrew.lewis@dc.gov; david.maloney@dc.gov; rlittle@mdp.state.md.us; jvaughan@pa.gov; timothy.slavin@state.de.us; cvaughn@achp.gov; chnagle@pa.gov; craig.lukezic@state.de.us; Gwen.Davis@state.de.us; ttamburrino@mdp.state.md.us; EHughes@mdp.state.md.us; jecutler@pa.gov; ruth.trocolli@dc.gov cc: Rebecca.Reyesalicea@dot.gov; Siegel, Ruby; Rebecca.Blatnica@dot.gov; Anderson, Susan; Zapata, Selina	NEC FUTURE resource agency meetings	June 2013 regional resource and regulatory agency meetings
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Cedrick Cromwell, Chairman, Mashpee Wampanoag Tribe cc: Colleen Vaughn, FRA Historic Preservation Officer; Chuckie Green, Assistant Director, Tribal Historic Preservation Officer; Ramona Peters, Tribal Historic Preservation Officer; Brona Simon, Massachusetts Historical Commission	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Randy King, Chairperson, Shinnecock Indian Nation cc: Colleen Vaughn, FRA Historic Preservation Officer; Marguerite A. Smith; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	LeRoy Howard, Chief, Seneca-Cayuga Tribe of Oklahoma cc: Colleen Vaughn, FRA Historic Preservation Officer; Paul Barton, THPO, Environmental Director	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Sheryl Andrews-Maltais, Chairwoman, Wampanoag Tribe of Gay Head (Aquinnah) of Massachusetts cc: Colleen Vaughn, FRA Historic Preservation Officer; Bettina Washington, Tribal Historic Preservation Officer; Brona Simon, Massachusetts Historical Commission	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Leo R. Henry, Chief, Tuscarora Nation of New York cc: Colleen Vaughn, FRA Historic Preservation Officer; Stuart Paterson, Chief, Tuscarora Nation of New York; Neil Patterson, Jr., Director, Tuscarora Nation of New York; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Roger Hill, Chief, Towanda Band of Seneca Indians of New York cc: Colleen Vaughn, FRA Historic Preservation Officer; Darwin Hill, Chief; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Kimberly Vele, President, Stockbridge Munsee Community, Wisconsin cc: Colleen Vaughn, FRA Historic Preservation Officer; Sherry White, Tribal Historic Preservation Officer; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Ron Sparkman, Chairman, Shawnee Tribe cc: Colleen Vaughn, FRA Historic Preservation Officer; Kim Jumper, Tribal Historic Preservation Officer; Jody Hayes, Tribe Administrator; Barbara Frederick, Pennsylvania Historical and Museum Commission-Bureau for Historic Preservation; Beth Cole, Maryland Historic Trust; Tim Tamburrino, Maryland Historic Trust	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Mark Garrow, Chief, Saint Regis Mohawk Tribe Monica Jacobs, Chief, Saint Regis Mohawk Tribe Randy Hart, Chief, Saint Regis Mohawk Tribe cc: Colleen Vaughn, FRA Historic Preservation Officer; Arnold Printup, Jr., Tribal Historic preservation Officer; Ken Jocks, Director, Saint Regis Mohawk Tribe; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Irving Powless, Jr., Chief, Onodaga Nation of New York cc: Colleen Vaughn, FRA Historic Preservation Officer; Tony Gonyea, Faithkeeper; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Edward Delgado, Chairman, Oneida Tribe of Indians of Wisconsin cc: Colleen Vaughn, FRA Historic Preservation Officer; Corina Burke, Tribal Historic Preservation Officer; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	(emailed to info@oneida-nation.org; sbarbano@oneida-nation.org; cc: colleen.vaughn@dot.gov; jbergevin@oneida-nation.org; john.bonafide@parks.ny.gov; Amishi.Castelli@dot.gov) Irving Powless, Jr., Chief, Onodaga Nation of New York cc: Colleen Vaughn, FRA Historic Preservation Officer; Tony Gonyea, Faithkeeper; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Matthew Thomas, Chief Sachem, Narragansett Indian Tribe of Rhode Island cc: Colleen Vaughn, FRA Historic Preservation Officer; John Brown, THPO; Jeffrey Emidy, Rhode Island Historical Preservation and Heritage Commission; Daniel T. Forrest, Connecticut Department of Economic and Community Development -- Historic Preservation and Museum Division	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	James Quinn, Tribal Historic Preservation Officer, Mohegan Indian Tribe of Connecticut cc: Colleen Vaughn, FRA Historic Preservation Officer; Bruce Bozsum, Chairperson, Mohegan Indian Tribe of Connecticut; Elaine Thomas, Deputy THPO, Mohegan Indian Tribe of Connecticut; Sue Kobyluck, Administrative Assistant, Mohegan Indian Tribe of Connecticut; Daniel T. Forrest, Connecticut Department of Economic and Community Development -- Historic Preservation and Museum Division	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Dr. Bruce Obermeyer, Tribal Historic Preservation Officer, Delaware Tribe cc: Colleen Vaughn, FRA Historic Preservation Officer; Paula Pechonick, Chief; Wayne Stull, Trust Board Chairman; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Kerry Holton, Tribal President, Delaware Nation cc: Colleen Vaughn, FRA Historic Preservation Officer; Tamara Francis, THPO; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Glenna J. Wallace, Chief, Eastern Shawnee Tribe of Oklahoma cc: Colleen Vaughn, FRA Historic Preservation Officer; Robin Dushane, Cultural Preservation Officer/Environmental Contact; Barbara Frederick, Pennsylvania Historical and Museum Commission-Bureau for Historic Preservation; Beth Cole, Maryland Historic Trust; Tim Tamburrino, Maryland Historic Trust	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	George Blanchard, Governor, Absentee -- Shawnee Tribe of Indians of Oklahoma cc: Colleen Vaughn, FRA Historic Preservation Officer; Henryetta Ellis, THPO; Joseph Lanchard, THPO; Barbara Frederick, Pennsylvania Historical and Museum Commission-Bureau for Historic Preservation; Beth Cole, Maryland Historic Trust; Tim Tamburrino, Maryland Historic Trust	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Robert Odawi Porter, President, Seneca Nation of New York; Lana Watt, Tribal Historic Preservation Officer, Seneca Nation of New York cc: Colleen Vaughn, FRA Historic Preservation Officer; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
6/6/2013 (transmittal 6/9/2013)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Clint Halftown, Nation Representative, Cayuga Nation of New York cc: Colleen Vaughn, FRA Historic Preservation Officer; Melinda Maybee, Nation Representative; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
6/7/2013	Incoming	email	Jeff Emidy, Historical Preservation and Heritage Commission	Amishi Castelli, USDOT	NEC FUTURE resource agency meetings	June 2013 regional resource and regulatory agency meetings
6/9/2013	Outgoing	email	Amishi Castelli, USDOT	Jeffrey Emidy, Historical Preservation and Heritage Commission Ruby Siegel, Rebecca Reyes-Alicea, Susan Anderson	NEC FUTURE resource agency meetings	June 2013 regional resource and regulatory agency meetings
6/10/2013	Incoming	email	Barbara Frederick, Bureau for Historic Preservation (PA)	Amishi Castelli, USDOT cc: Mark Shaffer, Ruby Siegel	NEC FUTURE resource agency meetings	June 2013 regional resource and regulatory agency meetings
6/10/2013	Outgoing	email	Amishi Castelli, USDOT	Barbara Frederick, Bureau for Historic Preservation (PA) cc: Mark Shaffer, Ruby Siegel	NEC FUTURE resource agency meetings	June 2013 regional resource and regulatory agency meetings
6/10/2013	Incoming	email	Nancy Herter, Office of Parks, Recreation and Historic Preservation (New York State)	Amishi Castelli cc: John Bonafide	Shinnecock Indian Nation	Request for contact information
6/10/2013	Outgoing	email	Amishi Castelli, USDOT	Nancy Herter, Office of Parks, Recreation and Historic Preservation (New York State) cc: John Bonafide	Shinnecock Indian Nation	Request for contact information
6/24/2013	Incoming	email	Tim Tamburrino, Maryland Historical Trust	Amishi Castelli, USDOT	MD NEC FUTURE consultation, 03/18	Response to follow-up; Consulting Parties list
6/24/2013	Outgoing	email	Amishi Castelli, USDOT	Tim Tamburrino, Maryland Historical Trust cc: Ruby Siegel, Susan Anderson, Rebecca Reyes-Alicea, Becky Blatnica	MD NEC FUTURE consultation, 03/18	Response to follow-up; Consulting Parties list
6/28/2013	Incoming	email	C. Andrew Lewis, DC State Historic Preservation Office	Amishi Castelli, USDOT	DC NEC FUTURE consultation	Response to follow-up; Consulting Parties list
7/11/2013	Outgoing	letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Brona Simon, Massachusetts Historical Commission cc: Brandee Loughlin, Colleen Vaughn, Amishi Castelli	(1) Continued consultation under Section 106 of the National Historic Preservation Act for the FRA NEC FUTURE Program (2) Requested review of Consulting Parties list	(i) confirm points of contact, (ii) brief office on the NEC FUTURE Program as it relates to the Section 106 process, (iii) request review of a draft Consulting Parties list, and (iv) confirm whether there is a need for a completed data sharing agreement
7/18/2013	Outgoing	email	Amishi Castelli, USDOT	Barbara Frederick, Bureau for Historic Preservation (PA) Beth Cole, Maryland Historical Trust; Tim Tamburrino, Maryland Historical Trust Tim Tamburrino, Maryland Historical Trust; Tim Tamburrino, Maryland Historical Trust	FRA NEC FUTURE: help with tribes	Request for corrected tribal contact information.
7/22/2013	Incoming	email	Barbara Frederick, Bureau for Historic Preservation (PA)	Mark Shaffer, Bureau for Historic Preservation (PA) cc: Amishi Castelli, USDOT	FRA NEC FUTURE: help with tribes	Regarding corrected tribal contact information
7/22/2013	Outgoing	email	Amishi Castelli, USDOT	Barbara Frederick, Bureau for Historic Preservation (PA) Mark Shaffer, Bureau for Historic Preservation (PA)	FRA NEC FUTURE: help with tribes	Regarding corrected tribal contact information

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/22/2013	Incoming	email	Mark Shaffer, Bureau for Historic Preservation (PA)	Amishi Castelli, USDOT cc: Barbara Frederick, Bureau for Historic Preservation (PA)	FRA NEC FUTURE: help with tribes	Regarding corrected tribal contact information
7/22/2013	Outgoing	email	Amishi Castelli, USDOT	Mark Shaffer, Bureau for Historic Preservation (PA) cc: Barbara Frederick, Bureau for Historic Preservation (PA) Beth Cole, Maryland Historical Trust; Tim Tamburrino, Maryland Historical Trust Tim Tamburrino, Maryland Historical Trust; Tim Tamburrino, Maryland Historical Trust	FRA NEC FUTURE: help with tribes	Regarding corrected tribal contact information
7/22/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	George Blanchard, Governor, Absentee -- Shawnee Tribe of Indians of Oklahoma cc: Colleen Vaughn, FRA Historic Preservation Officer; Henryetta Ellis, THPO; Joseph Lanchard, Absentee – Shawnee Tribe of Oklahoma; Liana Staci Hesler, Absentee – Shawnee Tribe of Oklahoma; Barbara Frederick, Pennsylvania Historical and Museum Commission-Bureau for Historic Preservation; Beth Cole, Maryland Historic Trust; Tim Tamburrino, Maryland Historic Trust	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
7/22/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Ron Sparkman, Chairman, Shawnee Tribe cc: Colleen Vaughn, FRA Historic Preservation Officer; Kim Jumper, Tribal Historic Preservation Officer; Jody Hayes, Tribe Administrator; Barbara Frederick, Pennsylvania Historical and Museum Commission-Bureau for Historic Preservation; Beth Cole, Maryland Historic Trust; Tim Tamburrino, Maryland Historic Trust	(1) Update on NEC FUTURE program (2) Update on Government-to-Government Consultation with Native American Tribal Governments pursuant to Section 106 of the National Historic Preservation Act	
8/19/2013	Incoming	email	Charde Reid, DC Historic Preservation Office	Amishi Castelli, USDOT; Brian Albright, AECOM	DC NEC FUTURE consultation	Attaching HPO signed portion of Data Sharing Agreement.
8/29/2013	Outgoing	email	Amishi Castelli, USDOT; Brian Albright, AECOM	Charde Reid, DC Historic Preservation Office cc: Ruth Troccoli, DC Historic Preservation Office; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Selina Zapata, JV; Brian Albright, JV; Andrew Lewis, DC Historic Preservation Office	DC NEC FUTURE consultation	Attaching FRA signed data sharing agreement.
9/4/2013	Incoming	email	Barbara Frederick, Bureau for Historic Preservation (PA)	Susan Anderson, JV	FRA NEC FUTURE: Section 106 Environmental Technical Working Group (TWG) Web-ex	Requesting list of municipalities the alternatives pass through in Pennsylvania to inform additional suggestions for proposed CP list.
9/4/2013	Outgoing	email	Susan Anderson, JV	Barbara Frederick, Bureau for Historic Preservation (PA) cc: Amishi Castelli, USDOT; Ruby Siegel, JV; Selina Zapata, JV	FRA NEC FUTURE: Section 106 Environmental Technical Working Group (TWG) Web-ex	Response regarding request for list of municipalities.
9/4/2013	Outgoing	email	Susan Anderson, JV	Barbara Frederick, Bureau for Historic Preservation (PA) cc: Amishi Castelli, USDOT; Ruby Siegel, JV; Selina Zapata, JV	FRA NEC FUTURE: Section 106 Environmental Technical Working Group (TWG) Web-ex	Providing list of counties/municipalities.
9/9/2013	Incoming	email	Barbara Frederick, Bureau for Historic Preservation (PA)	Susan Anderson, JV cc: Mark Shaffer, Bureau for Historic Preservation (PA)	FRA NEC FUTURE: Section 106 Environmental Technical Working Group (TWG) Web-ex	Providing suggestions for additional CPs.
9/9/2013	Outgoing	email	Susan Anderson, JV	Barbara Frederick, Bureau for Historic Preservation (PA) cc: Mark Shaffer, Bureau for Historic Preservation (PA); Amishi Castelli, USDOT; Ruby Siegel, JV; Selina Zapata, JV	FRA NEC FUTURE: Section 106 Environmental Technical Working Group (TWG) Web-ex	Response regarding additional CPs.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
9/10/2013	Incoming	email	Barbara Frederick, Bureau for Historic Preservation (PA)	Amishi Castelli, USDOT cc: Mark Shaffer, Bureau for Historic Preservation (PA)	tribal consultation in PA	Providing suggestion regarding contacting tribes in PA.
9/10/2013	Outgoing	email	Amishi Castelli, USDOT	Barbara Frederick, Bureau for Historic Preservation (PA) cc: Mark Shaffer, Bureau for Historic Preservation (PA); Susan Anderson, JV; Selina Zapata, JV	tribal consultation in PA	Response regarding suggestion for contacting tribes in PA.
9/11/2013	Incoming	email	Beth Cole, Maryland Historical Trust / MD SHPO	Amishi Castelli, USDOT; Susan Anderson, JV; Richard Starzak, ICFI cc: Erik Hein, NCSHPO; Elizabeth Hughes, Maryland Historical Trust / MD SHPO	NEC FUTURE SHPO webinar	Providing feedback regarding 9/10/13 Section 106 webinar.
9/11/2013	Outgoing	email	Amishi Castelli, USDOT	Beth Cole, Maryland Historical Trust / MD SHPO; Susan Anderson, JV; Richard Starzak, ICFI cc: Erik Hein, NCSHPO; Elizabeth Hughes, Maryland Historical Trust / MD SHPO; Selina Zapata, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA	NEC FUTURE SHPO webinar	Response regarding feedback on 9/10/13 Section 106 webinar.
9/11/2013	Outgoing	email	Amishi Castelli, USDOT	Dan Saunders, , Department of Environmental Protection (New Jersey), Historic Preservation; Caroline (Charles) Scott, Department of Environmental Protection (New Jersey), Historic Preservation cc: Ruby Siegel, J V; Susan Anderson, JV	NJ NEC FUTURE consultation, 03/18	Follow-up on 5/13/13 email.
10/21/2013	Outgoing	email	Amishi Castelli, USDOT	Erik Hein, NCSHPO; Kelly Fanizzo, ACHP; Darin Allan, FTA; Dale Youngkin, FTA, Beth Cole, MD SHPO; Todd Levine, CT SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Richard Starzak, ICFI; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; David Valenstein, FRA	NEC FUTURE 106 PA working group	Regarding the Section 106 PA working group

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
10/21/2013	Outgoing	email	Amishi Castelli, USDOT	Anderson, Susan <Susan.Anderson@aecom.com>; whitney.phend@dot.gov; Rebecca.Reyesalicea@dot.gov; david.valenstein@dot.gov; Elizabeth.Patel@dot.gov; robert.sachnin.ctr@dot.gov; baer@pbworld.com; carol@pbworld.com; lebeaux@pbworld.com; masonm@pbworld.com; nungesser@pbworld.com; Siegel, Ruby <Ruby.Siegel@aecom.com>; WMalley@perkinscoie.com; Rebecca.Blatnica@dot.gov; cvaughn@achp.gov; colleen.vaughn@dot.gov; richard.starzak@icfi.com; hein@sso.org; Victor_Knox@nps.gov; David_Hayes@nps.gov; shaun_eyring@nps.gov; Maryanne_Gerbauckas@nps.gov; Mary_Morrison@nps.gov; John_Piltzecker@nps.gov; Daniel.Forrest@ct.gov; craig.lukezic@state.de.us; andrew.lewis@dc.gov; bcole@mdp.state.md.us; ttamburrino@mdp.state.md.us; brandee.loughlin@state.ma.us; brona.simon@state.ma.us; Charles.Scott@dep.state.nj.us; john.bonafide@parks.ny.gov; bafrederic@pa.gov; jeffrey.emidy@preservation.ri.gov; Siegel, Ruby <Ruby.Siegel@aecom.com>; Darin.Allan@dot.gov cc: susan.chandler@ct.gov; todd.levine@ct.gov; Laura.Mancuso@ct.gov; Gwen.Davis@state.de.us; timothy.slavin@state.de.us; david.maloney@dc.gov; EHughes@mdp.state.md.us; rlittle@mdp.state.md.us; kate.marcopul@dep.state.nj.us; Vincent.maresca@dep.state.nj.us; Dan.Saunders@dep.state.nj.us; rose.harvey@oprhp.state.ny.us; ruth.pierpont@oprhp.state.ny.us; jecutler@pa.gov; chnagle@pa.gov; jvaughan@pa.gov; edward.sanderson@preservation.ri.gov; charlotte.taylor@preservation.ri.gov; hein@ncshpo.org; sabra_smith@nps.gov; bonnie_halda@nps.gov; mary.hassell@dot.gov; Albright, Brian <Brian.Albright@aecom.com>; cece@historicalperspectives.org; faline@historicalperspectives.org; camays@pbworld.com; dale.youngkin@dot.gov; Zapata, Selina <Selina.Zapata@aecom.com>	FRA NEC FUTURE: Section 106 Environmental Technical Working Group (TWG) Web-ex	Reminder regarding invitation to join the Section 106 PA working group
10/22/2013	Incoming	email	Craig Lukezic, Division of Historical and Cultural Affairs (Delaware)	Amishi Castelli, USDOT	FRA NEC FUTURE: Section 106 Environmental Technical Working Group (TWG) Web-ex	Inquiry re: inclusion of localities, Native Americans, and interested publics in the list of CPs.
10/22/2013	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, Division of Historical and Cultural Affairs (Delaware) cc: Susan Anderson, JV	FRA NEC FUTURE: DE Consulting parties	Response re: inquiry about inclusion of localities, Native Americans, and interested publics in the list of CPs.
10/22/2013	Incoming	email	Craig Lukezic, Division of Historical and Cultural Affairs (Delaware)	Amishi Castelli, USDOT cc: Susan Anderson, JV	FRA NEC FUTURE: DE Consulting parties	Providing suggestions to add to list of CPs.
10/22/2013	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, Division of Historical and Cultural Affairs (Delaware) cc: Susan Anderson, JV	FRA NEC FUTURE: DE Consulting parties	Response to suggestions for CP list.
12/18/2013	Outgoing	email	Amishi Castelli, USDOT	Erik Hein (hein@ncshpo.org); kfanizzo@achp.gov; Allan, Darin (FTA); Youngkin, Dale (FTA); bcole@mdp.state.md.us; todd.levine@ct.gov Cc: Anderson, Susan (Susan.Anderson@aecom.com); Siegel, Ruby (Ruby.Siegel@aecom.com); richard.starzak@icfi.com; Reyes-Alicea, Rebecca (FRA); Blatnica, Rebecca (VOLPE)	NEC FUTURE 106 PA working group	Invitation to the Section 106 PA working group session.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
12/18/2013	Outgoing	email/calendar invite	Amishi Castelli, USDOT	Amishi.Castelli@dot.gov; hein@ncshpo.org; kfanizzo@achp.gov; Darin.Allan@dot.gov; dale.youngkin@dot.gov; antoinette.quagliata@dot.gov; bcole@mdp.state.md.us; todd.levine@ct.gov; Anderson, Susan; Siegel, Ruby; richard.starzak@icfi.com; Rebecca.Reyesalicea@dot.gov; Rebecca.Blatnica@dot.gov	NEC FUTURE 106 PA working group-Session #1	Invitation to the Section 106 PA working group session.
12/19/2013	Incoming	email	Beth Cole, Maryland Historical Trust / MD SHPO	Amishi Castelli, U SDOT	NEC FUTURE 106 PA working group-Session #1	Declining meeting invitation.
12/19/2013	Outgoing	email	Amishi Castelli, USDOT	Beth Cole, Maryland Historical Trust / MD SHPO cc: Susan Anderson, Ruby Siegel, Selina Zapata	NEC FUTURE 106 PA working group-Session #1	Response to delined meeting invitation.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	106review@mwtribe.com cc: cgreen@mwtribe.com; brona.simon@state.ma.us; Amishi.Castelli@dot.gov	FRA NEC FUTURE Update	Attaching letter providing program update. NOTE: This email missing letter attachment. Email re-sent with attachment 1/6/2014.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Dr. Bruce Obermeyer, Tribal Historic Preservation Officer, Delaware Tribe cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Paula Pechonick, Chief; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division of Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Sheryl Andrews-Maltais, Chairwoman, Wampanoag Tribe of Gay Head (Aquinnah) of Massachusetts cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Bettina Washington, Tribal Historic Preservation Officer; Brona Simon, Massachusetts Historical Commission	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Glenna J. Wallace, Chief, Eastern Shawnee Tribe of Oklahoma cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Robin Dushane, Cultural Preservation Officer/Environmental Contact; Barbara Frederick, Pennsylvania Historical and Museum Commission-Bureau for Historic Preservation; Beth Cole, Maryland Historic Trust; Tim Tamburrino, Maryland Historic Trust	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Edward Delgado, Chairman, Oneida Tribe of Indians of Wisconsin cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Raymond Halbritter, Nation Representative, Oneida Nation of New York cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Jesse Bergevin, Historian; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Joseph Blanchard and Liana Staci Hesler, Absentee-Shawnee Tribe of Indians of Oklahoma cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Governor George Blanchard, Absentee-Shawnee Tribe of Oklahoma; Barbara Frederick, Pennsylvania Historical and Museum Commission-Bureau for Historic Preservation; Beth Cole, Maryland Historic Trust; Tim Tamburrino, Maryland Historic Trust	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	James Quinn, Tribal Historic Preservation Officer, Mohegan Indian Tribe of Connecticut cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Bruce Bozsum, Chairperson, Mohegan Indian Tribe of Connecticut; Elaine Thomas, Deputy THPO, Mohegan Indian Tribe of Connecticut; Sue Kobyluck, Administrative Assistant, Mohegan Indian Tribe of Connecticut; Daniel T. Forrest, Connecticut Department of Economic and Community Development -- Historic Preservation and Museum Division	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Kathleen Knowles, Tribal Historic Preservation Officer, Natural Resources Protection & Regulatory Affairs, Mashantucket (Western) Pequot of Connecticut cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Daniel T. Forrest, Connecticut Department of Economic and Community Development -- Historic Preservation and Museum Division	FRA NEC FUTURE Update	Attaching letter providing program update. NOTE: This email and letter relayed twice.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Kerry Holton, Tribal President, Delaware Nation cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Tamara Francis, THPO; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Mark Garrow, Chief, Saint Regis Mohawk Tribe Monica Jacobs, Chief, Saint Regis Mohawk Tribe Randy Hart, Chief, Saint Regis Mohawk Tribe cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Arnold Printup, Jr., Tribal Historic Preservation Officer; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Tony Gonyea, Faithkeeper, Onodaga Nation of New York cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Robert Odawi Porter, President, Seneca Nation of New York; Lana Watt, Tribal Historic Preservation Officer, Seneca Nation of New York cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	LeRoy Howard, Chief, Seneca-Cayuga Tribe of Oklahoma cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Paul Barton, THPO, Environmental Director	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Clint Halftown, Nation Representative, Cayuga Nation of New York cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Melinda Maybee, Nation Representative; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Randy King, Chairperson, Shinnecock Indian Nation cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Marguerite A. Smith; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Roger Hill, Chief, Towanda Band of Seneca Indians of New York cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Darwin Hill, Chief; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Kimberly Vele, President, Stockbridge Munsee Community, Wisconsin cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Sherry White, Tribal Historic Preservation Officer; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.
12/27/2013	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Leo R. Henry, Chief, Tuscarora Nation of New York cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Stuart Paterson, Chief, Tuscarora Nation of New York; John Bonafide, New York State Office of Parks, Recreation and Historic Preservation, Division for Historic Preservation	FRA NEC FUTURE Update	Attaching letter providing program update.
1/2/2014	Outgoing	email and letter	Amishi Castelli, USDOT	Beth Cole, Maryland Historic Trust; Tim Tamburrino, Maryland Historic Trust; Barbara Frederick, Bureau for Historic Preservation (PA) cc: Rebecca Reyes-Alicea, Federal Railroad Administration	FRA NEC FUTURE Update	Forwarding 12/27/2013 correspondence to the Eastern Shawnee Tribe of Oklahoma
1/2/2014	Outgoing	email and letter	Amishi Castelli, USDOT	Daniel T. Forrest, Connecticut Department of Economic and Community Development -- Historic Preservation and Museum Division cc: Rebecca Reyes-Alicea, Federal Railroad Administration	FRA NEC FUTURE Update	Forwarding 12/27/2013 correspondence to the Mohegan Indian Tribe
1/6/2014	Outgoing	email and letter	Amishi Castelli, USDOT	Kim Jumper, Tribal Historic Preservation Officer, Shawnee Tribe cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Ron Sparkman, Chairman, Shawnee Tribe; Barbara Frederick, Bureau for Historic Preservation (PA); Beth Cole, Maryland Historic Trust; Tim Tamburrino, Maryland Historic Trust cc on email: Rebecca Reyes-Alicea, Federal Railroad Administration	FRA NEC FUTURE Update	Attaching letter providing program update.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
1/6/2014	Outgoing	email and letter	Amishi Castelli, USDOT	Matthew Thomas, Chief Sachem, Narragansett Indian Tribe of Rhode Island cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; John Brown, THPO, Narragansett Indian Tribe of Rhode Island; Jeffrey Emidy, Rhode Island Historical Preservation and Heritage Commission; Daniel T. Forrest, Connecticut Department of Economic and Community Development -- Historic Preservation and Museum Division cc on email: Rebecca Reyes-Alicea, Federal Railroad Administration	FRA NEC FUTURE Update	Attaching letter providing program update.
1/6/2014	Outgoing	email and letter	Amishi Castelli, USDOT	Ramona Peters, Tribal Historic Preservation Officer, Mashpee Wampanoag Tribe cc: Amishi Castelli, Volpe Center, FRA NEC FUTURE Environmental Lead; Chuckie Green, Assistant Director, Tribal Historic Preservation Officer, Mashpee Wampanoag Tribe; Ramona Peters, Tribal Historic Preservation Officer, Mashpee Wampanoag Tribe; Brona Simon, Massachusetts Historical Commission cc on email: Rebecca Reyes-Alicea, Federal Railroad Administration	FRA NEC FUTURE Update	Attaching letter providing program update. NOTE: Email re-sent with letter attachment. Original 12/27/2013 email was missing email attachment.
1/9/2014	Incoming	email	Kathleen Knowles, Tribal Historic Preservation Officer, Natural Resources Protection & Regulatory Affairs, Mashantucket (Western) Pequot of Connecticut	Rebecca Reyes-Alicea, Federal Railroad Administration	UPDATE ON NORTHEAST CORRIDOR (NEC) FUTURE PROGRAM - TIER I ENVIRONMENTAL IMPACT STATEMENT (TIER I EIS) ALTERNATIVES	Thank you for update.
1/15/2014	Outgoing	email	Amishi Castelli, USDOT	Beth Cole, Maryland Historical Trust / MD SHPO cc: Susan Anderson; Ruby Siegel; Rebecca Reyes-Alicea, FRA	NEC FUTURE 106 PA working group-Session #1	Attaching presentation and requesting feedback.
1/15/2014	Outgoing	email	Amishi Castelli, USDOT	Todd Levine, State Historic Preservation Office (CT) cc: Susan Anderson; Ruby Siegel; Rebecca Reyes-Alicea, FRA; Daniel Forrest, State Historic Preservation Office (CT)	NEC FUTURE 106 PA working group-Session #1	Attaching presentation and requesting feedback.
1/15/2014	Incoming	email	Beth Cole, Maryland Historical Trust / MD SHPO	Amishi Castelli, USDOT	NEC FUTURE 106 PA working group-Session #1	Confirming receipt of materials.
1/15/2014	Outgoing	email	Amishi Castelli, USDOT	Beth Cole, Maryland Historical Trust / MD SHPO cc: Ruby Siegel, Susan Anderson	NEC FUTURE 106 PA working group-Session #1	Response to MD SHPO.
1/23/2014	Incoming	email	Ramona Peters, Mashpee Wampanoag Tribal Historic Preservation Officer	Rebecca Reyes-Alicea, FRA	FRA NEC FUTURE update	Regarding missing attachment to original 12/27/2013 email.
1/23/2014	Outgoing	email	Rebecca Reyes-Alicea, Federal Railroad Administration	Ramona Peters, Tribal Historic Preservation Officer, Mashpee Wampanoag Tribe cc: Amishi Castelli, USDOT	FRA NEC FUTURE update	Response regarding missing attachment to original 12/27/2013 email, which was subsequently relayed by Amishi Castelli. Amishi Castelli's 1/6/2014 email message attached to this email.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
1/28/2014	Outgoing	email	Amishi Castelli, USDOT	Erik Hein (hein@ncshpo.org); kfanizzo@achp.gov; Allan, Darin (FTA); Youngkin, Dale (FTA); bcole@mdp.state.md.us; todd.levine@ct.gov; Quagliata, Antoinette (FTA) Cc: Anderson, Susan (Susan.Anderson@aecom.com); Siegel, Ruby (Ruby.Siegel@aecom.com); richard.starzak@icfi.com; Reyes-Alicea, Rebecca (FRA); Blatnica, Rebecca (VOLPE); Valenstein, David (FRA)	NEC FUTURE 106 PA working group	Attaching meeting notes from first FRA NEC FUTURE PA working group session.
1/28/2014	Outgoing	email	Amishi Castelli, USDOT	Erik Hein (hein@ncshpo.org) Cc: Anderson, Susan (Susan.Anderson@aecom.com); Siegel, Ruby (Ruby.Siegel@aecom.com); richard.starzak@icfi.com	NEC FUTURE 106 PA working group	Regarding NCHPO as a signatory to the PA.
2/4/2014	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Charlene Dwin-Vaughn, Advisory Council on Historic Preservation cc: Kelly Fanizzo, ACHP; Darin Allan, FTA; Amishi Castelli; USDOT	FRA - NEC FUTURE - PA Development	Relaying official notification letter regarding the development of a Programmatic Agreement (PA) as a part of the Section 106 consultation process
2/4/2014	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Charlene Dwin-Vaughn, Advisory Council on Historic Preservation cc: Kelly Fanizzo, ACHP; Darin Allan, FTA; Amishi Castelli; USDOT	FRA - NEC FUTURE - PA Development	Relaying official notification letter regarding the development of a Programmatic Agreement (PA) as a part of the Section 106 consultation process NOTE: Resent email and letter due to incorrect email address for Kelly Fanizzo.
2/4/2014	Incoming	email	Kelly Fanizzo, ACHP	Rebecca Reyes-Alicea, FRA; Charlene Vaughn, ACHP cc: Darin Allan, FTA; Amishi Castelli, USDOT	FRA - NEC FUTURE - PA Development	Acknowledging receipt.
2/4/2014	Outgoing	email	Amishi Castelli, USDOT	Kelly Fanizzo, ACHP cc: Darin Allan, FTA; Rebecca Reyes-Alicea, FRA; Charlene Vaughn, ACHP	FRA - NEC FUTURE - PA Development	Thank you for advising re: official notification letter.
2/4/2014	Incoming	email	Kelly Fanizzo, ACHP	Amishi Castelli, USDOT cc: Darin Allan, FTA; Rebecca Reyes-Alicea, FRA; Charlene Vaughn, ACHP	FRA - NEC FUTURE - PA Development	Acknowledgment.
2/4/2014	Incoming	email	Charlene Vaughn, ACHP	Amishi Castelli, USDOT; Kelly Fanizzo, ACHP cc: Darin Allan, FTA; Rebecca Reyes-Alicea, FRA	FRA - NEC FUTURE - PA Development	Support for Programmatic Agreement and request for follow-up teleconference to discuss project schedule, milestones, and engagement of Section 106 consulting parties.
2/5/2014	Incoming	email	Kelly Fanizzo, ACHP	Amishi Castelli, USDOT	FRA - NEC FUTURE - PA Development	Requesting brief follow-up via phone.
2/5/2014	Outgoing	email	Amishi Castelli, USDOT	Kelly Fanizzo, ACHP	FRA - NEC FUTURE - PA Development	Correspondence regarding set-up of phone conversation.
2/5/2014	Incoming	email	Kelly Fanizzo, ACHP	Amishi Castelli, USDOT	FRA - NEC FUTURE - PA Development	Correspondence regarding set-up of phone conversation.
2/5/2014	Outgoing	email	Amishi Castelli, USDOT	Kelly Fanizzo, ACHP cc: Susan Anderson, Ruby Siegel, Rebecca Reyes-Alicea, Rebecca Blatnica	FRA - NEC FUTURE - PA Development	Confirming understanding of input provided via phone conversation.
2/5/2014	Incoming	email	Kelly Fanizzo, ACHP	Amishi Castelli, USDOT cc: Susan Anderson, Ruby Siegel, Rebecca Reyes-Alicea, Rebecca Blatnica, Charlene Vaughn	FRA - NEC FUTURE - PA Development	Additional input provided.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
2/5/2014	Outgoing	email	Amishi Castelli, USDOT	Kelly Fanizzo, ACHP cc: Susan Anderson, Ruby Siegel, Rebecca Reyes-Alicea, Rebecca Blatnica	FRA - NEC FUTURE - PA Development	Confirming receipt of input.
2/27/2014	Outgoing	email	Amishi Castelli, USDOT	Charlene Vaughn, ACHP; Kelly Fanizzo, ACHP cc: Darin Allan, FTA; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Susan Anderson, JV; Selina Zapata, JV	FRA - NEC FUTURE - PA Development	Response regarding request for teleconference, to take place after second session with the PA working group, to take place in late March/early April.
2/27/2014	Incoming	email	Kelly Fanizzo, ACHP	Amishi Castelli, USDOT; Charlene Vaughn, ACHP cc: Darin Allan, FTA; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Susan Anderson, JV; Selina Zapata, JV	FRA - NEC FUTURE - PA Development	Acknowledgment.
3/6/2014	Incoming	letter via email	John M. Fowler, ACHP	The Honorable Joseph C. Szabo, FRA cc: Rebecca Reyes-Alicea On email: Rachell Macklin, FRA cc on email: Rebecca Reyes-Alicea, FRA; Colleen Vaughn, FRA; Amishi Castelli, USDOT; Darin Allan, FTA; Daniel Saunders, NJ SHPO; David Maloney, DC SHPO; Elizabeth J. Cole, MD SHPO; Gwen Davis, DE SHPO; Serena Bellew, PA SHPO; Ruth Pierpont, NY SHPO; Stacey Vairo, CT SHPO; Edward Sanderson, RI SHPO; Brona Simon, MA SHPO	Northeast Corridor (NEC) FUTURE Program DC, MD, DE, NJ, PA, NY, CT, RI, MA	Response to participate in the consultation to develop a programmatic agreement for the NEC FUTURE Program.
4/8/2014	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, DE SHPO; Caroline Scott, NJ SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Rick Starzak, ICFI; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT	NEC FUTURE 106 PA working group	Regarding joining the PA Working Group, attaching the agenda and meeting notes from the first working group meeting held in January.
4/15/2014	Outgoing	email	Amishi Castelli, USDOT	Erik Hein (hein@ncshpo.org); Kelly Fanizzo, ACHP; Darin Allan, FTA; Dale Youngkin, FTA; Beth Cole, MD SHPO; Todd Levine, CT SHPO; Antoinette Quagliata, FTA; Craig Lukezic, DE SHPO; Caroline Scott, NJ SHPO Cc: Anderson, Susan (Susan.Anderson@aecom.com); Siegel, Ruby (Ruby.Siegel@aecom.com); richard.starzak@icfi.com; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; David Valenstein, FRA	NEC FUTURE 106 PA working group	Regarding second meeting of the NEC FUTURE Programmatic Agreement working group which will focus on developing the PA.
4/28/2014	Outgoing	email	Amishi Castelli, USDOT	Erik Hein (hein@ncshpo.org); Kelly Fanizzo, ACHP; Darin Allan, FTA; Dale Youngkin, FTA; Todd Levine, CT SHPO; Antoinette Quagliata, FTA; Craig Lukezic, DE SHPO; Caroline Scott, NJ SHPO Cc: Anderson, Susan (Susan.Anderson@aecom.com); Siegel, Ruby (Ruby.Siegel@aecom.com); richard.starzak@icfi.com; Beth Cole, MD SHPO	NEC FUTURE 106 PA working group	Reminder regarding second meeting of the NEC FUTURE Programmatic Agreement working group.
4/29/2014	Incoming	email	Craig Lukezic, Division of Historical and Cultural Affairs (Delaware)	Amishi Castelli, USDOT	NEC FUTURE 106 PA working group	Regarding call-in information for PA Working Group Session #2.
4/29/2014	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, Division of Historical and Cultural Affairs (Delaware)	NEC FUTURE 106 PA working group	Regarding call-in information for PA Working Group Session #2.
4/29/2014	Incoming	email	Craig Lukezic, Division of Historical and Cultural Affairs (Delaware)	Amishi Castelli, USDOT	NEC FUTURE 106 PA working group	Regarding NHL and human remains issue on adjacent property.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
4/29/2014	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, Division of Historical and Cultural Affairs (Delaware)	NEC FUTURE 106 PA working group	Regarding NHL and human remains issue on adjacent property.
5/20/2014	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Erik Hein, NCSHPO cc: Amishi Castelli, USDOT	NEC FUTURE - Letter to Request Participation / Formal initiation of consultation under Section 106 of the National Historic Preservation Act	To formally initiate consultation with NCSHPO under Section 106 of the National Historic Preservation Act
6/11/2014	Incoming	email	Kelly Fanizzo, ACHP	Amishi Castelli, USDOT cc: Charlene Vaughn, ACHP; Erik Hein, NCSHPO	NCSHPO and SHPO signing NEC PA; other follow up items	Regarding NCSHPO and SHPOs as signatories and other items ACHP volunteered to provide.
6/12/2014	Outgoing	email	Amishi Castelli, USDOT	Kelly Fanizzo, ACHP; Erik Hein, NCSHPO cc: Charlene Vaughn, ACHP; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV	NCSHPO and SHPO signing NEC PA; other follow up items	Regarding NCSHPO and SHPOs as signatories, other items provided by ACHP, and Section 106 one-pager.
6/27/2014	Outgoing	email	Amishi Castelli, USDOT	Erik Hein, NCSHPO cc: Rebecca Reyes Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV	FRA NEC FUTURE- FORUM handout	Regarding Forum 2014 1-page Section 106 handout
7/1/2014	Incoming	email	Erik Hein, NCSHPO	Amishi Castelli, USDOT cc: Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV	FRA NEC FUTURE- FORUM handout	Regarding Forum 2014 1-page Section 106 handout
7/1/2014	Outgoing	email	Amishi Castelli, USDOT	Erik Hein, NCSHPO cc: Rebecca Reyes Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV	FRA NEC FUTURE- FORUM handout	Regarding Forum 2014 1-page Section 106 handout
7/1/2014	Incoming	email	Erik Hein, NCSHPO	Amishi Castelli, USDOT cc: Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV	FRA NEC FUTURE- FORUM handout	Regarding Forum 2014 1-page Section 106 handout
7/1/2014	Outgoing	email	Amishi Castelli, USDOT	Erik Hein, NCSHPO cc: Rebecca Reyes Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV	FRA NEC FUTURE- FORUM handout	Regarding Forum 2014 1-page Section 106 handout
7/2/2014	Outgoing	email	Amishi Castelli, USDOT	Dan.Saunders@dep.state.nj.us; Charles.Scott@dep.state.nj.us; rose.harvey@oprhp.state.ny.us; ruth.pierpont@oprhp.state.ny.us; john.bonafide@parks.ny.gov; brona.simon@state.ma.us; brandee.loughlin@state.ma.us; Daniel.Forrest@ct.gov; edward.sanderson@preservation.ri.gov; jeffrey.emidy@preservation.ri.gov; bafrederic@pa.gov; bcole@mdp.state.md.us; ttamburrino@mdp.state.md.us; andrew.lewis@dc.gov; david.maloney@dc.gov; rlittle@mdp.state.md.us; jvaughan@pa.gov; craig.lukezic@state.de.us; timothy.slavin@state.de.us cc: Rebecca.Reyesalicea@dot.gov; Rebecca.Blatnica@dot.gov; Siegel, Ruby <Ruby.Siegel@aecom.com>; Anderson, Susan <Susan.Anderson@aecom.com>; Bur, Selina Zapata <Selina.Zapata.Bur@aecom.com>; kfanizzo@achp.gov; cvaughn@achp.gov; hein@ncshpo.org; Darin.Allan@dot.gov	FRA NEC FUTURE: PA development draft for review and input	Requesting review of Draft Programmatic Agreement and Consulting Parties list
7/20/2014	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Erik Hein, NCSHPO cc: Amishi Castelli, USDOT	Invitation to participate in development of a Programmatic Agreement for NEC FUTURE	Regarding invitation to participate in development of PA
7/20/2014	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Lucy Garliauskas, FTA, Christopher VanWyk, FTA cc: Darin Allan, FTA; Amishi Castelli, USDOT	Invitation to participate in development of a Programmatic Agreement for NEC FUTURE	Regarding invitation to participate in development of PA

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/25/2014	Incoming	email and letter	Erik Hein, NCSHPO	Rebecca Reyes Alicea, FRA cc: Amishi Castelli, USDOT	Invitation to participate in development of a Programmatic Agreement for NEC FUTURE	Accepting invitation to participate in development of PA
8/6/2014	Outgoing	email	Amishi Castelli, USDOT	Dan.Saunders@dep.state.nj.us; Charles.Scott@dep.state.nj.us; rose.harvey@oprhp.state.ny.us; ruth.pierpont@oprhp.state.ny.us; john.bonafide@parks.ny.gov; brona.simon@state.ma.us; brandee.loughlin@state.ma.us; Daniel.Forrest@ct.gov; edward.sanderson@preservation.ri.gov; jeffrey.emidy@preservation.ri.gov; bafrederic@pa.gov; bcole@mdp.state.md.us; ttamburrino@mdp.state.md.us; andrew.lewis@dc.gov; david.maloney@dc.gov; rlittle@mdp.state.md.us; jvaughan@pa.gov; craig.lukezic@state.de.us; timothy.slavin@state.de.us cc: Rebecca.Reyesalicea@dot.gov; Rebecca.Blatnica@dot.gov; Siegel, Ruby <Ruby.Siegel@aecom.com>; Anderson, Susan <Susan.Anderson@aecom.com>; Bur, Selina Zapata <Selina.Zapata.Bur@aecom.com>; kfanizzo@achp.gov; cvaughn@achp.gov; hein@ncshpo.org; Darin.Allan@dot.gov	FRA NEC FUTURE: PA development draft for review and input	Check-in regarding review of Draft Programmatic Agreement and Consulting Parties list
8/21/2014	Incoming	email and letter	Lucy Garliauskas, FTA	Rebecca Reyes-Alicea, FRA cc: Mary Beth Mello, FTA; Marilyn Shazor, FTA; Reginald B. Lovelace, FTA; Amishi Castelli, USDOT	Letter from FTA Office of Planning and Environment	Accepting invitation to participate in development of and to be a signatory to the PA.
8/22/2014	Incoming	email	Jeff Emidy, Historical Preservation and Heritage Commission	Amishi Castelli, USDOT	FRA NEC FUTURE: PA development draft for review and input	Attaching review of Programmatic Agreement and Consulting Parties list.
8/23/2014	Outgoing	email	Amishi Castelli, USDOT	Jeffrey Emidy, Rhode Island Historic Preservation & Heritage Commission cc: Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Susan Anderson, JV	FRA NEC FUTURE: PA development draft for review and input	Regarding RI SHPO review of Programmatic Agreement and Consulting Parties list.
8/27/2014	Incoming	email	Beth Cole, Maryland Historical Trust / MD SHPO	Amishi Castelli, USDOT; Susan Anderson, JV cc: Elizabeth Hughes, MDP; Tim Tamburrino, MDP; Erik Hein, NCSHPO	FRA NEC FUTURE: PA development draft for review and input	Attaching review of Programmatic Agreement and Consulting Parties list.
8/28/2014	Incoming	email	Chris Wilson, ACHP	Amishi Castelli, USDOT cc: Kelly Fanizzo, ACHP	FRA NEC FUTURE: PA development draft for review and input	Attaching review of Programmatic Agreement.
8/28/2014	Outgoing	email	Amishi Castelli, USDOT	Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; C. Vaughn, ACHP	FRA NEC FUTURE: PA development draft for review and input	Regarding ACHP review of Programmatic Agreement and subsequent ACHP/NCSHPO meeting.
8/29/2014	Incoming	email	Barbara Frederick, Bureau for Historic Preservation (PA)	Amishi Castelli, USDOT	FRA NEC FUTURE: PA development draft for review and input	PA SHPO comments on the preliminary draft PA, made in track changes. No comments on the proposed consulting parties list.
8/29/2014	Outgoing	email	Amishi Castelli, USDOT	Barbara Frederick, Bureau for Historic Preservation (PA) cc: Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Susan Anderson, JV; Ruby Siegel, JV	FRA NEC FUTURE: PA development draft for review and input	Confirmation of receipt of comments on preliminary draft PA.
9/15/2014	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, DE SHPO cc: Timothy Slavin, DE SHPO; Gwen Davis, DE SHPO; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV	FRA NEC FUTURE: meeting request	Requesting teleconference to follow-up on request for comments on the PA and data collection issues.

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
9/15/2014	Outgoing	email	Amishi Castelli, USDOT	Caroline Scott, NJ SHPO; Dan Saunders, NJ SHPO cc: Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV	FRA NEC FUTURE: meeting request	Requesting teleconference to follow-up on request for comments on the PA and data collection issues.
9/15/2014	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, NY SHPO cc: Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV	FRA NEC FUTURE: meeting request	Requesting teleconference to follow-up on request for comments on the PA and data collection issues.
9/15/2014	Outgoing	email	Amishi Castelli, USDOT	Daniel Forrest, CT SHPO cc: Susan Chandler, CT SHPO; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV	FRA NEC FUTURE: meeting request	Requesting teleconference to follow-up on request for comments on the PA and data collection issues.
9/15/2014	Outgoing	email	Amishi Castelli, USDOT	Barbara Frederick, PA SHPO cc: James Vaughan, PA SHPO; Cheryl Nagle, PA SHPO; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV	FRA NEC FUTURE: meeting request	Requesting teleconference to follow-up on request for comments on the PA and data collection issues.
9/16/2014	Outgoing	email	Amishi Castelli, USDOT	Jeffrey Emidy, RI SHPO cc: Edward Sanderson, RI SHPO; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV	FRA NEC FUTURE: meeting request	Requesting teleconference to follow-up on request for comments on the PA and data collection issues.
9/16/2014	Outgoing	email	Amishi Castelli, USDOT	Andrew Lewis, DC HPO cc: David Maloney, DC HPO; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV	FRA NEC FUTURE: meeting request	Requesting teleconference regarding comments on the PA
9/23/2014	Outgoing	email	Amishi Castelli, USDOT	Anderson, Susan <Susan.Anderson@aecom.com>; richard.starzak@icfi.com; Bur, Selina Zapata <Selina.Zapata.Bur@aecom.com>; camays@pbworld.com; bafrederic@pa.gov; mshaffer@pa.gov; jvaughan@pa.gov; chnagle@pa.gov	PA SHPO-FRA conversation on NEC FUTURE	Meeting invite for 9/23/2014 webinar and conference call, including agenda and other meeting materials.
9/25/2014	Incoming	email	John Bonafide, NY SHPO	Amishi Castelli, USDOT	FRA NEC FUTURE: meeting request	Responding to 9/15 FRA meeting request/ Promising comments week of email.
9/25/2014	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, NY SHPO	FRA NEC FUTURE: meeting request	Response to 9/25 email
9/26/2014	Incoming	email	John Bonafide, NY SHPO	Amishi Castelli, USDOT	FRA NEC FUTURE: meeting request	Response to 9/25 email
9/26/2014	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, NY SHPO	FRA NEC FUTURE: meeting request	Response to 9/26 email
10/17/2014	Incoming	letter	Brona Simon, Massachusetts Historical Commission	Amishi Castelli, USDOT cc: David Mohler, MassDOT; Bettina Washington, Wampanoag Tribe of Gay Head (Aquinnah); Ramona Peters, Mashpee Wampanoag Tribe; Susan Anderson, JV	Federal Railroad Administration Northeast Corridor Future Rail Project, Massachusetts. MHC #RC.52707	Comments on the PA
10/24/2014	Outgoing	Letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Marissa Turnbull Cc: AC, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/24/2014	Outgoing	Letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Matthew Thomas CC: John Brown, AC, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
10/24/2014	Outgoing	Letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Daniel Collins CC: Margarite A Smith Esq, AC, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/24/2014	Outgoing	Letter	Rebecca Reyes-Alicea, Federal Railroad Administration	James Quinn cc: Sue Kobyluck, AC, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/24/2014	Outgoing	Letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Cheryl Andrews-Maltais cc: Bettina, Washington, AC, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/30/2014	Outgoing	email	Rebecca Reyes-Alicea, Federal Railroad Administration	Marissa Turnbull Cc: AC, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/30/2014	Outgoing	email	Rebecca Reyes-Alicea, Federal Railroad Administration	Matthew Thomas CC: John Brown, AC, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/30/2014	Outgoing	email	Rebecca Reyes-Alicea, Federal Railroad Administration	Daniel Collins CC: Margarite A Smith Esq, AC, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/30/2014	Outgoing	email	Rebecca Reyes-Alicea, Federal Railroad Administration	James Quinn cc: Sue Kobyluck, AC, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/30/2014	Outgoing	email	Rebecca Reyes-Alicea, Federal Railroad Administration	Cheryl Andrews-Maltais cc: Bettina, Washington, AC, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Joseph Blanchard, Liana Staci Hesler cc: George Blanchard, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Clint Halftown (S Leroy email) CC: RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Kerry Holton cc: Tamara Francis, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Dr. Bruce Obermeyer cc: Paula Pechonick, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Cedrick Cromwell CC: Ramona Peters, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	Letter	Amishi Castelli, USDOT	Glenna J. Wallace cc: RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Raymond Halbritter cc: RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	Letter	Amishi Castelli, USDOT	Edward Delgado cc: Corina Burke, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA Email returned-sent hard copy
10/29/2014	Outgoing	Letter	Amishi Castelli, USDOT	Irving Powless, Jr. cc: RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA Email returned-sent hard copy
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Robert Odawi Porter cc: RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	LeRoy Howard, Lana Watt cc: Paul Barton, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA Strikethrough=returned email, no further action taken, reached another person at that organization
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Mark Garrow, Monica Jacobs, Randy Hart CC: Arnold Printup Jr., RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Kim Jumper cc: Ron Sparkman, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Kimberly Vele cc: Sherry White, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	Roger Hill cc: RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	Letter	Amishi Castelli, USDOT	Leo R. Henry CC: RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	CT List as a BCC: Daniel Forrest, James Redeker, Eugene Colonese, James, Spencer, Tom Maziarz, Helen Higgins CC: Daniel Forrest, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	DC List as a BCC: David Maloney, C Andrew Lewis, Matthew Brown, Steve Strauss CC: Andrew Lewis, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	DE List as a BCC: Shailen Bhatt, Charles Fithian, Craig Lukezic CC: Craig Lukezic, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	MA List as a BCC: Kenneth Kimmell, Richard Davey, Steve Woelfel, Katherine Fichter, Matthew Ciborowski, Tim Doherty, Brona Simon, Brandee Loughlin CC: Brandee Loughlin, Brona Simon, Rra, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA Strikethrough=returned email, no further action taken, reached another person at that organization
10/29/2014	Outgoing	Letter	Amishi Castelli, USDOT	MA Hard copy per their request on 10/29/2014: Brona Simon	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	MD List as a BCC: Beth Cole, Tim Tamburrino, Bradley Smith CC: Tim Tamburrino, Beth Cole, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	NJ List as a BCC: Daniel Saunders, Charles Scott, Jamie Fox, Jeremy Colangelo, Richard Roberts CC: Dan Saunders, Charles Scott, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	NY List as a BCC: Meenakshi Srinivansan, Joan McDonald, Ron Epstein, Ruth Pierpont, Rose Harvey, John A. Bonafide CC: John Bonafide, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	PA List as a BCC: Barry Schoch, Toby Fauver, Cathy Spohn, Dug McLearn, Barbara Frederick CC: Barbara Frederick, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
10/29/2014	Outgoing	email	Amishi Castelli, USDOT	RI List as a BCC: Michael Lewis, Stephen Devine, Edward Sanderson, Jonathan Stevens, Jeffery Emidy CC: Jeffery Emidy, RRA, STA	Formal invitation to become a consulting party	Attachments include response form, dates for public meetings in November 2013, Section 106 information sheet, corridor and state maps (when appropriate) and a copy of the current PA
11/5/2014	both	email	Amishi Castelli, USDOT	Gina Santucci NYC-LPC	New Contact and affirmative response to CP invitation	Several quick back and forth emails.
11/7/2014	both	email	Amishi Castelli, USDOT	Jonathan Stevens, RI Governors Office/Jeffrey Emidy	Correspondence and coordination RE: CP letter; clarification on POC as Jeffery Emidy	
11/13/2014	both	email	Barbara Frederick, Bureau for Historic Preservation (PA)	Susan Anderson	New Contact Emily Deihl	
11/18/2014	Incoming	email	Thomas Maziarz CTDOT	Amishi Castelli	Affirmative response to CP Invitation	

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
11/21/2014	Incoming	email	J. Brett Taylor, Del DOT	Amishi Castelli	New Contact and affirmative response to CP invitation	
11/24/2014	Incoming	email	Doug Harris, Narragansett	Amishi Catselli	New Contact and affirmative response to CP invitation	
11/25/2014	Incoming	email	Jeff Emidy, Historical Preservation and Heritage Commission	Amishi Castelli	Affirmative response to CP Invitation	
11/25/2014	Incoming	call	Ramona Peters, Mashpee Wampanoag Tribal Historic Preservation Officer	RRA	Updated contact information	
12/2/2014	Incoming	Call	Marissa Turnbull, Mashantucket Pequot	RRA	Affirmative response to be a Signatory on PA	
12/2/2014	Outgoing	Email	Amishi Castelli, USDOT	'James.Redeker@ct.gov'; 'Judy.Raymond@ct.gov'; 'Mark.W.Alexander@ct.gov'; 'James.Spencer@po.state.ct.us'; 'Thomas.Maziarz@ct.gov'; 'hhigginst@citrust.org'; 'david.maloney@dc.gov'; 'ddot@dc.gov'; 'steve.strauss@dc.gov'; 'Shailen.bhatt@state.de.us'; 'Lesley.devine@state.de.us'; 'brett.taylor@state.de.us'; 'Chuck.Fithian@state.de.us'; 'Richard.Davey@dot.state.ma.us'; 'steve.woelfel@state.ma.us'; 'matthew.ciborowski@state.ma.us'; 'Timothy.Doherty@state.ma.us'; 'mhc@sec.state.ma.us'; 'bsmith9@mdot.state.md.us'; 'JColangelo-bryan@njtransit.com'; 'rroberts@njtransit.com'; 'VHakim@njtransit.com'; 'Jmcdonald1@dot.state.ny.us'; 'commissioner@dot.ny.gov'; 'repstein@dot.state.ny.us'; 'Gsantucci@lpc.nyc.gov'; 'bschoch@pa.gov'; 'ebdutton@pa.gov'; 'tfauver@state.pa.us'; 'cspohn@pa.gov'; 'dmclare@pa.gov'; 'mlewis@dot.ri.gov'; 'sdevine@dot.ri.gov'; 'Edward.sanderson@preservation.ri.gov'; 'jonathan.stevens@governor.ri.gov'	Reminder about Consulting Party invitation, Call for all PA comments by 12/31	
12/2/2014	Outgoing	Email	Amishi Castelli, USDOT	'lenapendn@gmail.com'; 'nhorn@delawarenation.com'; 'tfrancis@delawarenation.com'; 'ppechonick@delawaretribe.org'; 'bobermeyer@delawaretribe.org'; 'dhathaway@mwtribe.com'; 'rpeters@mwtribe.com'; 'info@oneida-nation.org'; 'sbarbano@oneida-nation.org'; 'edelgado@oneidanation.org'; 'robert.porter@sni.org'; 'senecanet@gmail.com'; 'lhoward@sctribe.com'; 'pbaron@sctribe.com'; 'ronded@gmail.com'; 'kjunper_shawneetribes@hotmail.com'; 'abero@srmt-nsn.gov'; 'arnold.printup@srmt-nsn.gov'; 'tribal.council@mohican-nsn.gov'; 'kimberly.vele@mohican-nsn.gov'; 'sherry.white@mohican-nsn.gov'; 'tonseneca@aol.com'	Reminder about Consulting Party invitation, Call for all PA comments by 12/31	
12/2/2014	both	email	Amishi Castelli, USDOT	Gina Santucci NYC-LPC	Request and resend of the PA and NHLs/NRHPs for their review	
12/2/2014	Outgoing	Email	Amishi Castelli, USDOT	Emily Diehl, PA Bureau of Historic Preservation	Reminder about Consulting Party invitation, Call for all PA comments by 12/31	
12/2/2014	both	email	Amishi Castelli, USDOT	Bettina Washington Wampanoag Tribe	Update of the chairman's address	
12/2/2014	Incoming	Email	Amishi Castelli, USDOT	Michael Herbert, RI DOT	New Contact and affirmative response to CP invitation	
12/2/2014	Incoming	Email	Amishi Castelli, USDOT	Steve Strauss, DDOT	Affirmative response to CP Invitation	
12/2/2014	Outgoing	Email	Amishi Castelli, USDOT	chairwoman@wampanoagtribe.net; bettina@wampanoagtribe.net addressed to Bettina at Wampanoag Tribe of Gay Head (Aquinnah)	Government to government consultation	

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
12/2/2014	Outgoing	Email	Amishi Castelli, USDOT	jquinn@moheganmail.com Addressed to James at Mohegan	Government to government consultation	
12/2/2014	Outgoing	Email	Amishi Castelli, USDOT	mturnbull@mptn-nsn.gov Addressed to Marissa at Mashantucket Pequot	Government to government consultation	
12/2/2014	Outgoing	Email	Amishi Castelli, USDOT	sination@optonline.net Addressed to Chairman Collins at Shinnecock	Government to government consultation	
12/2/2014	Outgoing	Email	Amishi Castelli, USDOT	dhnithpo@gmail.com addressed to Mr. Harris at Narragansett	Government to government consultation	
12/3/2014	both	email	Amishi Castelli, USDOT	C. Andrew Lewis, DC SHPO	duplicate copy of PA requested and sent	
12/7/2014	outgoing	email	Amishi Castelli, USDOT	James Quinn att Mohegan	correspondence re invited signatory and PA	
12/8/2014	both	email	Amishi Castelli, USDOT	Ann Calafiore, CT DOT	Follow-up on consulting party status	
12/9/2014	both	email	Amishi Castelli, USDOT	Bonney.Hartley@mohican-nsn.gov	Follow-up on consulting party status, resent forms, questions about consulting party versus Section 106 consultation	
12/9/2014	both	email	Amishi Castelli, USDOT	Beth Cole, MD Historical Trust	clarification on CP invitation	
12/10/2014	both	email	Amishi Castelli, USDOT	Helen Higgins, CT Trust for Historic Preservation	Affirmative response to CP Invitation	
12/11/2014	both	email	Amishi Castelli, USDOT	Daniel Saunders, NJ Historic Preservation Office	clarification on CP invitation	
12/11/2014	both	email	Amishi Castelli, USDOT	Bonney.Hartley@mohican-nsn.gov	clarification about consulting party versus Section 106 consultation	
12/12/2014	both	email	Amishi Castelli, USDOT	James Quinn Mohegan	Affirmative CP Response	
12/12/2014	both	email	Amishi Castelli, USDOT	J colangelo-Bryan, NJTransit	Affirmative CP Response	
12/15/2014	both	email	Amishi Castelli, USDOT	Marissa Turnbull, Mashantucket	Agree to attend govt to govt consultation, response asked about CP status	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Daniel Forrest, CT	SHPO, invitation to be a signatory on the PA	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Andrew Lewis, DC	SHPO, invitation to be a signatory on the PA	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, DE	SHPO, invitation to be a signatory on the PA	
12/17/2014	outgoing	email + Hard Copy	Amishi Castelli, USDOT	Brona Simon, MA	SHPO, invitation to be a signatory on the PA	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Beth Cole, MD and Timothy Slavin, DE	SHPO, invitation to be a signatory on the PA	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Dan Saunders and Charles Scott, NJ	SHPO, invitation to be a signatory on the PA	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	John Bonafide, NY	SHPO, invitation to be a signatory on the PA	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Emma Diehl, PA	SHPO, invitation to be a signatory on the PA	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Jeffrey Emidy, RI	SHPO, invitation to be a signatory on the PA	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Chairman Collins, Shinnecock Nation	Follow-up on govt to govt consultation and CP status	
12/17/2014	outgoing	both	Amishi Castelli, USDOT	Andrew Lewis, DC	Will be providing comments on PA draft	

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Bettina Washington Wampanoag Tribe	Follow-up on govt to govt consultation and CP status	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Mr. Harris at Narragansett	Follow-up on govt to govt consultation and CP status	
12/17/2014	outgoing	email	Amishi Castelli, USDOT	Kelly Fanizzo and Chris Wilson, ACHP	Revised PA incorporating comments	
12/17/2014	both	email	Amishi Castelli, USDOT	Beth Cole, MD	agree to be a signatory on the PA	
12/18/2014	both	email	Amishi Castelli, USDOT	Bonney Hartney, Mohican	CP update and follow-up re: phone call about counties with potential impacts	
12/18/2014	incoming	email	Blair Fink, Delaware Tribe	Amishi Castelli, USDOT	FRA NEC FUTURE update and consulting party invitation	Affirmative response to CP Invitation
12/19/2014	Incoming	email	Gina Santucci, NYCLPC	Amishi Castelli, USDOT cc: John Bonafide, NY SHPO	LPC comments on proposed NEC PA	
12/22/2014	both	email	Amishi Castelli, USDOT	Craig Lukezic, DE	agree to be a signatory on the PA	
12/22/2014	both	email	Amishi Castelli, USDOT	Bonney Hartney, Mohican	Request to be a signatory	
12/29/2014	outgoing	email	Amishi Castelli, USDOT	Emma Diehl, PA	agree to be a signatory on the PA	
12/30/2014	Both	email	Amishi Castelli, USDOT	Gina Santucci NYC-LPC	Response and acknowledgement of comments on the PA.	
12/30/2014	Incoming	letter	Brona Simon, Massachusetts Historical Commission	Rebecca Reyes-Alicea, FRA cc: Amishi Castelli, USDOT; David Mohler, MassDOT; Chris Wilson, ACHP; Erik Hein, NCSHPO; Bettina Washington, Wampanoag Tribe of Gay Head (Aquinnah); Ramona Peters, Mashpee Wampanoag Tribe; Susan Anderson, JV	Federal Railroad Administration Northeast Corridor Future Rail Project, Massachusetts. MHC #RC.52707	Response to letter dated December 17, 2014 to MA SHPO.
12/31/2014	incoming	email	Amishi Castelli, USDOT	John Bonafide, NYS	agree to be a signatory on the PA	
1/6/2015	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, NY SHPO	LPC comments on proposed NEC PA	Request discussion on how LPC should be involved in the NEC FUTURE PA
1/7/2015	Incoming	email	Bonney Hartley, Stockbridge Munsee Mohican	Amishi Castelli, USDOT Sherry White; susan.anderson@aecom.com; Reyes-Alicea, Rebecca (FRA)	FRA NEC FUTURE update and consulting party invitation	Comments on the PA
1/8/2015	Outgoing	email	Amishi Castelli, USDOT	Bonney Hartley, Stockbridge Munsee Mohican Cc: Sherry White; susan.anderson@aecom.com; Reyes-Alicea, Rebecca (FRA)	FRA NEC FUTURE update and consulting party invitation	Follow-up regarding comments on the PA
1/14/2015	Outgoing	email	Amishi Castelli, USDOT	Bonney Hartley, Stockbridge Munsee Mohican Cc: Sherry White; susan.anderson@aecom.com; Reyes-Alicea, Rebecca (FRA)	FRA NEC FUTURE update and consulting party invitation	Request to schedule a government-to-government consultation
1/15/2015	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, NY SHPO cc: Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Susan Anderson, JV	LPC comments on proposed NEC PA	Follow-up on conversation regarding discussion on how LPC should be involved in the NEC FUTURE PA.
1/22/2015	Incoming	email	Bonney Hartley, Stockbridge Munsee Mohican	Amishi Castelli, USDOT Sherry White; susan.anderson@aecom.com; Reyes-Alicea, Rebecca (FRA)	FRA NEC FUTURE update and consulting party invitation	Response regarding request to schedule a government-to-government consultation
1/26/2015	Outgoing	email	Amishi Castelli, USDOT	Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP	LPC involvement in NEC FUTURE 106 process	Regarding NYC LPC involvement
1/30/2015	Outgoing	email	Amishi Castelli, USDOT	Bonney Hartley, Stockbridge Munsee Mohican Cc: Sherry White; susan.anderson@aecom.com; Reyes-Alicea, Rebecca (FRA)	FRA NEC FUTURE update and consulting party invitation	Regarding scheduling of a government-to-government consultation

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
1/30/2015	Incoming	email	Bonney Hartley, Stockbridge Munsee Mohican	Amishi Castelli, USDOT Sherry White; susan.anderson@aecom.com; Reyes-Alicea, Rebecca (FRA)	FRA NEC FUTURE update and consulting party invitation	Regarding scheduling of a government-to-government consultation
2/2/2015	Outgoing	email	Amishi Castelli, USDOT	Bonney Hartley, Stockbridge Munsee Mohican Cc: Sherry White; susan.anderson@aecom.com; Reyes-Alicea, Rebecca (FRA)	FRA NEC FUTURE update and consulting party invitation	Regarding scheduling of a government-to-government consultation
2/2/2015	Outgoing	email	Amishi Castelli, USDOT	Bonney Hartley, Stockbridge Munsee Mohican Cc: Sherry White; susan.anderson@aecom.com	FRA NEC FUTURE update and consulting party invitation	Regarding scheduling of a government-to-government consultation
2/2/2015	Incoming	email	Bonney Hartley, Stockbridge Munsee Mohican	Amishi Castelli, USDOT cc: susan.anderson@aecom.com	FRA NEC FUTURE update and consulting party invitation	Regarding scheduling of a government-to-government consultation
2/2/2015	Outgoing	email	Amishi Castelli, USDOT	Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP	LPC involvement in NEC FUTURE 106 process	Follow-up email regarding NYC LPC involvement
2/2/2015	Incoming	email	Chris Wilson, ACHP	Amishi Castelli, USDOT cc: Kelly Fanizzo, ACHP; Charlene Vaughn, ACHP	LPC involvement in NEC FUTURE 106 process	Response to email regarding NYC LPC involvement
2/2/2015	Outgoing	email	Amishi Castelli, USDOT	Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Charlene Vaughn, ACHP; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; LeAnn Walezko, JV; Selina Zapata Bur, JV	LPC involvement in NEC FUTURE 106 process	Response to ACHP recommendation regarding NYC LPC involvement
2/2/2015	Incoming	email	Charlene Vaughn, ACHP	Amishi Castelli, USDOT; Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; LeAnn Walezko, JV; Selina Zapata Bur, JV	LPC involvement in NEC FUTURE 106 process	Request for conversation regarding ACHP position on NCSHPO involvement
2/2/2015	Outgoing	email	Amishi Castelli, USDOT	Charlene Vaughn, ACHP; Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; LeAnn Walezko, JV; Selina Zapata Bur, JV; Becky Blatnica, USDOT	LPC involvement in NEC FUTURE 106 process	Follow-up regarding conversation on ACHP position on NCSHPO involvement
2/2/2015	Incoming	email	Charlene Vaughn, ACHP	Amishi Castelli, USDOT; Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; LeAnn Walezko, JV; Selina Zapata Bur, JV; Becky Blatnica, USDOT; Reid Nelson, ACHP	LPC involvement in NEC FUTURE 106 process	Follow-up regarding ACHP position on NCSHPO involvement
2/2/2015	Outgoing	email	Amishi Castelli, USDOT	Charlene Vaughn, ACHP; Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; LeAnn Walezko, JV; Selina Zapata Bur, JV; Becky Blatnica, USDOT; Reid Nelson, ACHP	LPC involvement in NEC FUTURE 106 process	Follow-up regarding conversation on ACHP position on NCSHPO involvement
2/2/2015	Incoming	email	Charlene Vaughn, ACHP	Amishi Castelli, USDOT; Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; LeAnn Walezko, JV; Selina Zapata Bur, JV; Becky Blatnica, USDOT; Reid Nelson, ACHP	LPC involvement in NEC FUTURE 106 process	Follow-up regarding ACHP position on NCSHPO involvement
2/3/2015	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, NY SHPO cc: Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Susan Anderson, JV	LPC involvement in NEC FUTURE 106 process	Regarding involving the NYC LPC as a concurring party

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
2/3/2015	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, NYSHPO; Brona Simon, MA SHPO cc: Susan Anderson, JV; LeAnn Waletzko, JV; Selina Zapata Bur, JV; Brandee Loughlin, MA SHPO	FRA NEC FUTURE - Stockbridge-Munsee Mohican consultation	Regarding engagement in consultation with Stockbridge-Munsee Mohican tribe
2/6/2015	Incoming	email	Charlene Vaughn, ACHP	Amishi Castelli, USDOT; Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; LeAnn Waletzko, JV; Selina Zapata Bur, JV; Becky Blatnica, USDOT; Reid Nelson, ACHP	LPC involvement in NEC FUTURE 106 process	Follow-up regarding ACHP/NCSHPO conversation on NCSHPO involvement
2/6/2015	Outgoing	email	Amishi Castelli, USDOT	Charlene Vaughn, ACHP; Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; LeAnn Waletzko, JV; Selina Zapata Bur, JV; Becky Blatnica, USDOT; Reid Nelson, ACHP	LPC involvement in NEC FUTURE 106 process	Follow-up regarding ACHP/NCSHPO conversation on NCSHPO involvement
2/6/2015	Incoming	email	Charlene Vaughn, ACHP	Amishi Castelli, USDOT; Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; LeAnn Waletzko, JV; Selina Zapata Bur, JV; Becky Blatnica, USDOT; Reid Nelson, ACHP	LPC involvement in NEC FUTURE 106 process	Follow-up regarding ACHP/NCSHPO conversation on NCSHPO involvement
2/9/2015 - 3/6/2015	Incoming/Outgoing	email	Amishi Castelli, USDOT	Bonney Hartley, Stockbridge Munsee Mohican	Government to Government consultation for FRA NEC FUTURE with Stockbridge-Munsee Mohican Tribe	Regarding transfer of files for discussion at meeting.
2/11/2015	Outgoing	email	Amishi Castelli, USDOT	Gina Santucci NYC-LPC cc: John Bonafide, NY SHPO; Ruby Siegel, JV; Susan Anderson, JV; Rebecca Reyes-Alicea, FRA	FRA NEC FUTURE - concurring party invitation	Relaying concurring party invitation
2/12/2015	Incoming	email and response form	Gina Santucci, NYCLPC	Amishi Castelli, USDOT cc: John Bonafide, NY SHPO; Rebecca Reyes-Alicea	FRA NEC FUTURE - concurring party invitation	Concurring party acceptance
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	Charlene Vaughn, ACHP; Chris Wilson, ACHP cc: Kelly Fanizzo, ACHP; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; Becky Blatnica, USDOT	FRA-ACHP NEC FUTURE update/PA review	Proposing update meeting
3/5/2015 - 4/29/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Darin Allan, FTA cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; Becky Blatnica, USDOT	NEC FUTURE PA review	Request for meeting to review PA; re: FTA review of PA
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	Brona Simon, MA SHPO cc: Brandee Loughlin, MA SHPO; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	Jeffrey Emidy, RI SHPO cc: Edward Sanderson, RI SHPO; Jonathan Stevens, Governor's office; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	Daniel Forrest, CT SHPO cc: Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, NY SHPO cc: Ruth Pierpoint, NY Parks; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	Caroline Scott, NJ SHPO; Dan Saunders, NJ SHPO cc: Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	Emma Diehl, PA SHPO cc: Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, DE SHPO cc: Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	Beth Cole, MD SHPO; Tim Tamburrino, MD SHPO cc: Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	Andrew Lewis, DC HPO cc: David Maloney, DC HPO; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	
3/5/2015	Incoming	email	Emma Diehl, PA SHPO	Amishi Castelli, USDOT cc: Mark Shaffer, PA SHPO; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	Follow-up to meeting request
3/5/2015	Outgoing	email	Amishi Castelli, USDOT	Emma Diehl, PA SHPO cc: Mark Shaffer, PA SHPO; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	Follow-up to meeting request
3/5/2015 (letter) 3/8/2015 (email)	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Eric Hein, NCSHPO cc: Amishi Castelli, USDOT; Susan Anderson, JV; Charlene Vaughn, ACHP	Invitation to be a concurring party to the Programmatic Agreement and consulting party under Section 106 of the National Historic Preservation Act (NHPA) for the Northeast Corridor (NEC) FUTURE program	
3/6/2015	Incoming	email	Craig Lukezic, DE SHPO	Amishi Castelli, USDOT cc: Susan Anderson, JV; Gwen Davis, DE SHPO	FRA NEC FUTURE: Meeting request to review PA	Follow-up to meeting request
3/6/2015	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, DE SHPO cc: Gwen Davis, DE SHPO; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	Follow-up to meeting request
3/6/2015- 3/30/2015	Incoming and Outgoing	email	Jeff Emidy, Historical Preservation and Heritage Commission	Amishi Castelli, USDOT	FRA NEC FUTURE: Meeting request to review PA / RI SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying agenda and PA
3/8/2015	Outgoing	email and letter	Rebecca Reyes-Alicea, Federal Railroad Administration	Eric Hein, NCSHPO cc: Amishi Castelli, USDOT; Susan Anderson, JV; Charlene Vaughn, ACHP; Chris Wilson, ACHP	FRA - NEC FUTURE - Concurring Party Invitation	Relaying concurring party invitation
3/9/2015	Incoming and Outgoing	email	Beth Cole, Maryland Historical Trust / MD SHPO	Amishi Castelli cc: Tim Tamburrino, MDP; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	Follow-up to meeting request

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
3/16/2015	Incoming	email and form	Erik Hein, NCSHPO	Rebecca Reyes-Alicea, Federal Railroad Administration cc: Amishi Castelli, USDOT; Ruby Siegel, JV; Susan Anderson, JV	Response Form	Response form re: concurring party invitation
3/16/2015 - 3/23/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Caroline Scott, NJ SHPO and Dan Saunders, NJ SHPO	FRA NEC FUTURE: Meeting request to review PA	Follow-up to meeting request
3/16/2015 - 3/30/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Andrew Lewis, DC SHPO cc: David Maloney, DC SHPO; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA / DC SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying agenda and PA
3/16/2015 - 3/30/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Caroline Scott, NJ SHPO and Dan Saunders, NJ SHPO	FRA NEC FUTURE: Meeting request to review PA / NJ SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying agenda and PA
3/16/2015 - 4/1/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Andrew Lewis, DC SHPO cc: David Maloney, DC SHPO; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA / DC SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying presentation
3/16/2015 - 4/7/2015	Outgoing	email	Amishi Castelli, USDOT	Daniel Forrest, CT SHPO cc: Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	Follow-up to meeting request and relaying presentation and PA
3/16/2015 - 4/7/2015	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, NY SHPO cc: Ruth Pierpoint, NY Parks; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	Follow-up to meeting request and relaying presentation and PA
3/20/2015 - 4/7/2015	Incoming and Outgoing	email	Chris Wilson, ACHP	Amishi Castelli, USDOT cc: Charlene Vaughn, ACHP; Kelly Yasaitis Fanizzo, ACHP	ACHP meeting with FRA / Security Sweep on Tuesday March 24, EARLY CLOSING	Regarding meeting
3/26/2015 - 3/30/2015	Outgoing	email	Amishi Castelli, USDOT	Jonathan Patton, MA SHPO cc: Brona Simon, MA SHPO; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA / MA SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying agenda and PA
3/30/2015	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, DE SHPO cc: Gwen Davis, DE SHPO; Susan Anderson, JV; Selina Zapata Bur, JV	FRA NEC FUTURE: Meeting request to review PA / DE SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying agenda and PA
3/30/2015	Outgoing	email	Amishi Castelli, USDOT	Beth Cole, MD SHPO; Tim Tamburrino, MD SHPO cc: Susan Anderson, JV; Stephanie Camay, JV; Selina Zapata Bur, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA	FRA NEC FUTURE: Meeting request to review PA / MD SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying agenda and PA
3/5/2015 - 3/30/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Emma Diehl, PA SHPO cc: Mark Shaffer, PA SHPO; Susan Anderson, JV; Stephanie Camay, JV; Selina Zapata Bur, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA	FRA NEC FUTURE: Meeting request to review PA / PA SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying agenda and PA
4/1/2015	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, DE SHPO cc: Gwen Davis, DE SHPO; Susan Anderson, JV; Selina Zapata Bur, JV	DE SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying presentation
3/9/2015 - 4/1/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Beth Cole, MD SHPO; Tim Tamburrino, MD SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV	FRA NEC FUTURE: Meeting request to review PA / MD SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying presentation
4/1/2015	Outgoing	email	Amishi Castelli, USDOT	Caroline Scott, NJ SHPO and Dan Saunders, NJ SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV	NJ SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying presentation

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
4/1/2015	Outgoing	email	Amishi Castelli, USDOT	Emma Diehl, PA SHPO cc: Mark Shaffer, PA SHPO; Susan Anderson, JV; Stephanie Camay, JV; Selina Zapata Bur, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA	FRA NEC FUTURE: Meeting request to review PA / PA SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying presentation
4/1/2015	Outgoing	email	Amishi Castelli, USDOT	Jeff Emidy, Historical Preservation and Heritage Commission cc: Susan Anderson, JV; Selina Zapata Bur, JV	FRA NEC FUTURE: Meeting request to review PA / RI SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying presentation
4/1/2015	Outgoing	email	Amishi Castelli, USDOT	Jonathan Patton, MA SHPO cc: Brona Simon, MA SHPO; Susan Anderson, JV; Selina Zapata Bur, JV	FRA NEC FUTURE: Meeting request to review PA / MA SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying presentation
4/1/2015	Outgoing	email	Amishi Castelli, USDOT	Jonathan Patton, MA SHPO cc: Brona Simon, MA SHPO; Susan Anderson, JV; Selina Zapata Bur, JV	FRA NEC FUTURE: Meeting request to review PA / MA SHPO-FRA NEC FUTURE PA review	Follow-up to meeting request and relaying agenda and PA; re-sent email with corrected email address for Brona Simon
4/2/2015	Incoming	email	Andrew Lewis, DC SHPO	Amishi Castelli, USDOT	DC SHPO Comments on NEC Draft PA as of March 27 2015.docx	Providing comments on Draft PA
4/2/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, DE SHPO; Gwen Davis, DE SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV	Involvement of Delaware Nation in NEC FUTURE	Regarding Delaware Nation contacts
4/2/2015 - 4/3/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Mark Shaffer, PA SHPO cc: Emma Diehl, PA SHPO; Susan Anderson, JV; Selina Zapata Bur, JV	involvement of Delaware Tribe and Delaware Nation contacts in NEC	Regarding Delaware Nation and Delaware Tribe contacts
4/2/2015 - 4/3/2015	Incoming and Outgoing	email	Mark Shaffer, Bureau for Historic Preservation (PA)	Amishi Castelli, USDOT cc: Susan Anderson, JV; Selina Zapata Bur, JV	involvement of Delaware Tribe and Delaware Nation contacts in NEC	Regarding Delaware Nation and Delaware Tribe contacts
4/2/2015 - 4/22/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Andrew Lewis, DC SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV	DC SHPO Comments on NEC Draft PA as of March 27 2015.docx	Regarding map focusing on the DC area
4/6/2015	Incoming	email	Chris Wilson, ACHP	Amishi Castelli, USDOT	SHPO Withdrawal stip for NEC	For consideration regarding PA
4/15/2015	Incoming	email	Emma Diehl, PA SHPO	Amishi Castelli, USDOT cc: Susan Anderson, JV; Mark Shaffer, PA SHPO	NEC FUTURE, PA SHPO Comments to Draft PA	Relaying comments on PA
4/15/2015	Outgoing	email	Amishi Castelli, USDOT	Emma Diehl, PA SHPO cc: Mark Shaffer, PA SHPO; Susan Anderson, JV	NEC FUTURE, PA SHPO Comments to Draft PA	Regarding receipt of omments on PA
4/16/2015	Incoming and Outgoing	email	John Bonafide, NY SHPO	Amishi Castelli, USDOT cc: Ruth Pierpoint, NY Parks; Susan Anderson, JV	FRA NEC FUTURE: Meeting request to review PA	Follow-up to email relaying presentation and PA
3/5/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Darin Allan, FTA cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; Becky Blatnica, USDOT; Elizabeth Patel, FTA; Dale Youngkin, FTA; Sean Sullivan, FTA; Daniel Koenig, FTA; Antoinette Quagliata, FTA	NEC FUTURE PA review	Regarding PA review
4/7/2015 - 5/21/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Chris Wilson, ACHP cc: Charlene Vaughn, ACHP; Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT	ACHP meeting with FRA	Regarding PA review

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
4/7/2015 - 7/8/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Blair Fink, Delaware Tribe; Susan Bachor, Delaware Tribe	FRA NEC FUTURE update and consulting party invitation	Regarding tribal lands and meeting request
5/29/2015 - 6/15/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Charlene Vaughn, ACHP cc: Chris Wilson, ACHP; Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Kelly Yasaitis Fanizzo, ACHP	NEC FUTURE update	Regarding PA review
4/29/2015	Incoming and Outgoing	email	Andrew Lewis, DC SHPO	Amishi Castelli, USDOT cc: Selina Zapata Bur, JV; Susan Anderson, JV	DC SHPO Comments on NEC Draft PA as of March 27	Regarding map focusing on the DC area
5/5/2015	Incoming	email	Darin Allan, FTA	Amishi Castelli, USDOT cc: Christopher VanWyk, FTA; Elizabeth Patel, FTA; Dale Youngkin, FTA; Sean Sullivan, FTA; Daniel Koenig, FTA; Antoinette Quagliata, FTA; Rebecca Reyes-Alicea, FRA	NEC PA	FTA review of draft PA
5/6/2015	Outgoing	email	Amishi Castelli, USDOT	Darin Allan, FTA cc: Christopher VanWyk, FTA; Elizabeth Patel, FTA; Dale Youngkin, FTA; Sean Sullivan, FTA; Daniel Koenig, FTA; Antoinette Quagliata, FTA; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Susan Anderson, JV	NEC PA	Receipt of comments on draft PA
5/27/2015	Incoming and Outgoing	email	Johnette Davies, Amtrak	Susan Anderson, JV	NEC cultural resources inventory	Follow-up regarding phone conversation
6/8/2015	Incoming and Outgoing	email	Ramona Peters, Mashpee Wampanoag Tribal Historic Preservation Officer	Amishi Castelli, USDOT	counties within Wampanoag Homelands	Relaying attachment with information relayed to HUD
6/10/2015	Incoming and Outgoing	email	Ramona Peters, Mashpee Wampanoag Tribal Historic Preservation Officer	Amishi Castelli, USDOT	Comments on PA	Comments on draft PA
6/15/2015	Outgoing	email	Amishi Castelli, USDOT	cvaughn@achp.gov; kfanizzo@achp.gov; cwilson@achp.gov; Dan.Saunders@dep.state.nj.us; Charles.Scott@dep.state.nj.us; rose.harvey@oprhp.state.ny.us; ruth.pierpont@oprhp.state.ny.us; john.bonafide@parks.ny.gov; brona.simon@state.ma.us; jonathan.patton@state.ma.us; Daniel.Forrest@ct.gov; edward.sanderson@preservation.ri.gov; jeffrey.emidy@preservation.ri.gov; emdiehl@pa.gov; beth.cole@maryland.gov; tim.tamburrino@maryland.gov; andrew.lewis@dc.gov; david.maloney@dc.gov; jvaughan@pa.gov; craig.lukezic@state.de.us; timothy.slavin@state.de.us; ruth.trocolli@dc.gov; chnagle@pa.gov; mshaffer@pa.gov; Gwen.Davis@state.de.us; EHughes@mdp.state.md.us; kate.marcopul@dep.state.nj.us cc: Rebecca.Reyesalicea@dot.gov; Rebecca.Blatnica@dot.gov; Siegel, Ruby <Ruby.Siegel@aecom.com>; Anderson, Susan <Susan.Anderson@aecom.com>; camays@pbworld.com; carol@pbworld.com; Darin.Allan@dot.gov; david.valenstein@dot.gov; Laura.Shick@dot.gov	FRA NEC FUTURE- review of cultural/historic resources analysis in preliminary draft of Tier 1 DEIS	Regarding review of outcomes of the environmental effects analysis on cultural and historic resources
6/16/2015	Incoming and Outgoing	email	Emma Diehl, PA SHPO	Amishi Castelli, USDOT cc: Mark Shaffer, PA SHPO; Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Regarding review of outcomes of the environmental effects analysis on cultural and historic resources

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/7/2015	Outgoing	email	Amishi Castelli, USDOT	Amishi.Castelli@dot.gov; cvaughn@achp.gov; kfanizzo@achp.gov; cwilson@achp.gov; Dan.Saunders@dep.state.nj.us; Charles.Scott@dep.state.nj.us; rose.harvey@oprhp.state.ny.us; ruth.pierpont@oprhp.state.ny.us; john.bonafide@parks.ny.gov; brona.simon@state.ma.us; jonathan.patton@state.ma.us; Daniel.Forrest@ct.gov; edward.sanderson@preservation.ri.gov; jeffrey.emidy@preservation.ri.gov; emdiehl@pa.gov; beth.cole@maryland.gov; tim.tamburrino@maryland.gov; andrew.lewis@dc.gov; david.maloney@dc.gov; jvaughan@pa.gov; craig.lukezic@state.de.us; timothy.slavin@state.de.us; ruth.trocolli@dc.gov; chnagle@pa.gov; mshaffer@pa.gov; Gwen.Davis@state.de.us; EHughes@mdp.state.md.us; kate.marcopul@dep.state.nj.us; Rebecca.Blatnica@dot.gov; camays@pbworld.com; Siegel, Ruby <Ruby.Siegel@aecom.com>; Anderson, Susan <Susan.Anderson@aecom.com>; Waletzko, LeAnn <LeAnn.Waletzko@aecom.com>; baer@pbworld.com; carol@pbworld.com; Darin.Allan@dot.gov; jonathan.patton2@state.ma.us cc: Bur, Selina Zapata <Selina.Zapata.Bur@aecom.com>; david.valenstein@dot.gov; Charles.Scott@dep.nj.gov; Rose.Harvey@parks.ny.gov; Ruth.Pierpont@parks.ny.gov; sean.sullivan@dot.gov; Victor.Waldron@dot.gov; daniel.koenig@dot.gov; antoinette.quagliata@dot.gov; Elizabeth.Patel@dot.gov; dale.youngkin@dot.gov; Laura.Shick@dot.gov; hilary.page@dot.gov; WMalley@perkinscoie.com	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Attaching Draft PA for signatory review for discussion
7/7/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Eric Holcomb, Baltimore City Commission for Historical and Architectural Preservation	FRA NEC FUTURE - consulting party invitation	Relaying consulting party invitation
7/7/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Ellen Lipsey, Boston Landmarks Commission	FRA NEC FUTURE - consulting party invitation	Relaying consulting party invitation
7/7/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Austin Blackmon (alternate contact), Boston Landmarks Commission	FRA NEC FUTURE - consulting party invitation	Relaying consulting party invitation
7/7/2015	Outgoing	email and letter	Amishi Castelli, USDOT	David Maloney, Washington, DC, Historic Preservation Review Board	FRA NEC FUTURE - consulting party invitation	Relaying consulting party invitation
7/7/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Barbara E. Benson, New Castle Couty Historic Review Board	FRA NEC FUTURE - consulting party invitation	Relaying consulting party invitation
7/7/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Jon Farnham, Philadelphia Historical Commission	FRA NEC FUTURE - consulting party invitation	Relaying consulting party invitation
7/7/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Jason Martin, Providence Historic District Commission	FRA NEC FUTURE - consulting party invitation	Relaying consulting party invitation
7/7/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Patricia Maley, Design review and Preservation Commission of the City of Wilmington	FRA NEC FUTURE - consulting party invitation	Relaying consulting party invitation
7/9/2015	Incoming and Outgoing	email	Andrew Lewis, DC SHPO	Amishi Castelli, USDOT	DC SHPO Comments on NEC FUTURE Webinar Update	Comments on 7/9/2015 presentation
7/9/2015	Outgoing	email	Amishi Castelli, USDOT	Daniel Forrest, CT SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Relaying word file of state-specific appendix to the draft PA
7/9/2015	Outgoing	email	Amishi Castelli, USDOT	Andrew Lewis, DC SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Relaying word file of state-specific appendix to the draft PA

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/9/2015	Outgoing	email	Amishi Castelli, USDOT	Craig Lukezic, DE SHPO; Timothy Slavin, DE SHPO; Gwen Davis, DE SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Relaying word file of state-specific appendix to the draft PA
7/9/2015	Outgoing	email	Amishi Castelli, USDOT	Brona Simon, MA SHPO; Jonathan Patton, MA SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Relaying word file of state-specific appendix to the draft PA
7/9/2015	Outgoing	email	Amishi Castelli, USDOT	Jonathan Patton, MA SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV	UPS Ship Notification, Reference Number 1: 60319989.05.07A	Regarding delivery of hard copy of draft PA
7/9/2015	Outgoing	email	Amishi Castelli, USDOT	Beth Cole, MD SHPO, Tim Tamburrino, MD SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Relaying word file of state-specific appendix to the draft PA
7/9/2015	Outgoing	email	Amishi Castelli, USDOT	Dan Saunders, NJ SHPO; Caroline Scott, NJ SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Relaying word file of state-specific appendix to the draft PA
7/9/2015	Outgoing	email	Amishi Castelli, USDOT	John Bonafide, NY SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Relaying word file of state-specific appendix to the draft PA
7/9/2015	Outgoing	email	Amishi Castelli, USDOT	Emma Diehl, PA SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Relaying word file of state-specific appendix to the draft PA
7/9/2015	Outgoing	email	Amishi Castelli, USDOT	Edward Sanderson, RI SHPO; Jeffrey Emidy, RI SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Relaying word file of state-specific appendix to the draft PA
7/10/2015 - 7/13/2015	Incoming and Outgoing	email	Craig Lukezic, DE SHPO	Amishi Castelli, USDOT; Timothy Slavin, DE SHPO; Gwen Davis, DE SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Regarding removal of exemptions in draft PA
7/13/2015	Incoming	email	Jason Martin, Providence Historic District Commission	Rebecca Reyes-Alicea, FRA	NEC FUTURE PA (Providence)	Relaying response form to be a consulting party
7/13/2015	Incoming and Outgoing	email	Susan Anderson, JV	Johnette Davies, Amtrak cc: Amishi Castelli, USDOT; Ruby Siegel, JV; Selina Zapata Bur, JV; LeAnn Waletzko, JV	NEC cultural resources inventory	Regarding resources identified within preliminary APE
7/15/2015	Incoming and Outgoing	email	Beth Cole, Maryland Historical Trust / MD SHPO	Amishi Castelli, USDOT cc: Tim Tamburrino, MD SHPO; Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Regarding Standard Treatments and state-specific appendix
7/16/2015	Outgoing	email	Amishi Castelli, USDOT	Jonathan Patton, MA SHPO, Brona Simon, MA SHPO	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Follow-up regarding receipt of package containing preliminary draft PA, including MA state specific appendix
7/16/2015	Incoming	email	Elizabeth Patel, FTA	Amishi Castelli, USDOT cc: Rebecca Reyes-Alicea, FRA; Daniel Koenig, FTA; Christopher Quagliata, FTA	Comments on the NEC PA	Relaying comments on draft PA
7/17/2015	Incoming and Outgoing	email	Chris Wilson, ACHP	Amishi Castelli, USDOT	ACHP Comments on NEC Draft PA	Relaying comments on draft PA
7/18/2015 - 7/19/2015	Incoming and Outgoing	email	Susan Bachor, Delaware Tribe	Amishi Castelli, USDOT	FRA NEC FUTURE update and consulting party invitation	Relaying tribal territories

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/22/2015 - 7/23/2015	Incoming and Outgoing	email	Patricia Maley, Design review and Preservation Commission of the City of Wilmington	Amishi Castelli, USDOT	FRA NEC FUTURE - consulting party invitation	Requesting refreshed links to enclosures in 7/7/2015 consulting party invitation
7/23/2015	Incoming and Outgoing	email	Jeff Emidy, Historical Preservation and Heritage Commission	Amishi Castelli, USDOT	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Regarding logistics of sending comments on draft PA and RI state specific appendix
7/24/2015	Incoming	email	Emma Diehl, PA SHPO	Amishi Castelli, USDOT cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	PA edits on state-specific appendix
7/24/2015	Outgoing	email	Amishi Castelli, USDOT	Emma Diehl, PA SHPO cc: Susan Anderson, JV	Review of cultural/historic resources analysis in FRA NEC FUTURE Tier 1 DEIS	Receipt of comments on state-specific appendix
7/24/2015	Incoming and Outgoing	email	Jeff Emidy, Historical Preservation and Heritage Commission	Rebecca Reyes-Alicea, FRA cc: Amishi Castelli, USDOT	NEC FUTURE PA comments	Relaying comments on revised draft PA
7/24/2015 - 8/31/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Susan Bachor, Delaware Tribe cc: Chester Brooks, Delaware Tribe; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV	FRA - NEC FUTURE - Concurring Party Invitation Letter	Relaying concurring party invitation
8/5/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Mike Stern, Amtrak cc: Johnette Davies, Amtrak; Craig Caldwell, Amtrak; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation
8/5/2015 - 8/6/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Jonathan Broder, Conrail cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation
8/5/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Marco Turra, CSX cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation
8/5/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Elisa Picca, LIRR cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; John Bonafide, NY SHPO	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation
8/5/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Frank DePaola, MBTA cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; Brona Simon, MA SHPO; Jonathan Patton, MA SHPO	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation
8/5/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Dan Reagle, MD MTA cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; Bradley Smith, MD MTA; Beth Cole, MD SHPO, Tim Tamburrino, MD SHPO	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation
8/5/2015	Outgoing	email and letter	Amishi Castelli, USDOT	David Fogel, MNR cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; John Bonafide, NY SHPO	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
8/5/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Bill Wheeler, MTA cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; John Bonafide, NY SHPO	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation
8/5/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Lee Cochran, Norfolk Southern Corporation cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation
8/5/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Scott Conti, Providence & Worcester Railroad Company cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation
8/5/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Byron Comati, SEPTA cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; Emma Diehl, PA SHPO; Mark Shaffer, PA SHPO	NEC FUTURE Consulting Party invitation	Relaying consulting party invitation
8/5/2015	Incoming and Outgoing	email and response form	Michael Stern, Amtrak	Rebecca Reyes-Alicea, FRA cc: Johnette Davies, Amtrak; Craig Caldwell, Amtrak; Susan Anderson, JV; Ruby Siegel, JV; Amishi Castelli, USDOT	NEC FUTURE Consulting Party invitation	Relaying response to consulting party invitation
8/10/2015	Incoming	email and response form	Dan Reagle, MD MTA	Amishi Castelli, USDOT cc: Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Susan Anderson, JV; Bradley Smith, MD MTA	NEC FUTURE Consulting Party invitation	Relaying response to consulting party invitation
8/10/2015	Incoming	email and response form	David Fogel, MNR	Rebecca Reyes-Alicea, FRA cc; Amishi Castelli, USDOT; Ruby Siegel, JV; Susan Anderson, JV; John Bonafide, NY SHPO; Robyn Hollander, MNR; Karen Timko, MNR	NEC FUTURE Consulting Party invitation	Relaying response to consulting party invitation
8/18/2015	Incoming	email and response form	Rick Nath, CSX	Rebecca Reyes-Alicea, FRA	NEC FUTURE Consulting Party invitation	Relaying response to consulting party invitation
8/19/2015	Incoming	email and response form	Linda Mayover, Conrail	Rebecca Reyes-Alicea, FRA	NEC FUTURE Consulting Party invitation	Relaying response to consulting party invitation
8/19/2015	Incoming	email and response form	Lee Cochran, Norfolk Southern Corporation	Rebecca Reyes-Alicea, FRA	NEC FUTURE Consulting Party invitation	Relaying response to consulting party invitation
8/31/2015	Outgoing	email and letter	Amishi Castelli, USDOT	Susan Bachor, Delaware Tribe cc: Chester Brooks, Delaware Tribe; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV	FRA - NEC FUTURE - Concurring Party Invitation Letter	Re-relaying concurring party invitation
9/2/2015 - 9/4/2015	Incoming and Outgoing	email and letters	Amishi Castelli, USDOT	Allison Yue, National Trust	Consulting Party invitation for FRA NEC FUTURE	Relaying consulting party invitation to National Trust and nonprofit statewide partners
9/4/2015	Outgoing	email and letters	Amishi Castelli, USDOT	Betsy Merritt, National Trust cc: Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Susan Anderson, JV; Selina Zapata Bur, JV; Allison Yue, National Trust	Consulting Party invitation for FRA NEC FUTURE	Relaying consulting party invitation to National Trust and nonprofit statewide partners
9/17/2015	Incoming	email	Betsy Merritt, National Trust	Amishi Castelli, USDOT	Consulting Party invitation for FRA NEC FUTURE	Requesting renewed links for materials relayed
9/17/2015	Outgoing	email	Amishi Castelli, USDOT	Betsy Merritt, National Trust cc: Susan Anderson, JV; Selina Zapata Bur, JV	Consulting Party invitation for FRA NEC FUTURE	Regarding new links

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
9/17/2015	Outgoing	email	Selina Zapata Bur, JV	Betsy Merritt, National Trust cc: Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Susan Anderson, JV; Selina Zapata Bur, JV; Allison Yue, National Trust	Consulting Party invitation for FRA NEC FUTURE	Relaying new links
9/17/2015	Incoming	email	Betsy Merritt, National Trust	Selina Zapata Bur, JV	Consulting Party invitation for FRA NEC FUTURE	Confirmation of receipt of materials
9/21/2015	Incoming	email	Rosanne Foley, Boston Landmarks Commission	Amishi Castelli, USDOT	Consulting Party invitation for FRA NEC FUTURE	Requesting renewed links for materials relayed
9/21/2015	Outgoing	email	Amishi Castelli, USDOT	Rosanne Foley, Boston Landmarks Commission cc: Susan Anderson, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA; Selina Zapata Bur, JV	Consulting Party invitation for FRA NEC FUTURE	Relaying new links
9/30/2015	Incoming	Email and response form	Rosanne Foley, Boston Landmarks Commission	Amishi Castelli, USDOT	Consulting Party invitation for FRA NEC FUTURE	Relaying CP response form and requesting renewed links for materials relayed
10/1/2015	Outgoing	email	Amishi Castelli, USDOT	Rosanne Foley, Boston Landmarks Commission cc: Susan Anderson, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA; Selina Zapata Bur, JV	Consulting Party invitation for FRA NEC FUTURE	Relaying new links
10/22/2015	Outgoing	email	Amishi Castelli, USDOT	SHPOs, Tribes, ACHP, FTA, Concurring parties to the PA	Webinar for Section 106 stakeholders in NEC FUTURE	Invitation to webinar to review findings of Tier 1 Draft EIS and the Draft PA to be included in the Tier 1 Draft EIS
10/23/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Jeffrey Emidy, RI SHPO; Susan Anderson, JV cc: Glenn Modica, RI SHPO	Webinar for Section 106 stakeholders in NEC FUTURE	Regarding multiple webinars
10/23/2015	Outgoing	email	Amishi Castelli, USDOT	SHPOs, ACHP, FTA, Concurring parties to the PA	FRA NEC FUTURE webinars	Regarding multiple webinars
10/26/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Jonathan Patton, MA SHPO; Susan Anderson, JV cc: Ruby Siegel, JV; Selina Zapata Bur, JV	Webinar for Section 106 stakeholders in NEC FUTURE	Regarding declined invitation to webinar and transmittal of hard copies of meeting materials to MA SHPO
11/04/2015	Outgoing	email	Amishi Castelli, USDOT	Antoinette Quagliata, FTA cc: Susan Anderson, JV; Daniel Koenig, FTA; Selina Zapata Bur, JV	Webinar for Section 106 stakeholders in NEC FUTURE	Relaying preview copy of the PA for FTA internal distribution
11/04/2015	Incoming and Outgoing	email	Amishi Castelli, USDOT	Erik Hein, NCSHPO cc: Susan Anderson, JV	Webinar for Section 106 stakeholders in NEC FUTURE	Regarding declined invitation to webinar
12/07/2015-12/13/2015	Incoming and Outgoing	email	David Weeden, Mashpee Wampanoag Tribe	Amishi Castelli, USDOT cc: Ramona Peters, Mashpee Wampanoag Tribe; Rebecca Reyes-Alicea, FRA, Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Selina Zapata Bur, JV; Kelly FAnizzo, ACHPH; Charlene Vaughn, ACHP; Chris Wilson, ACHP; Eliabeth Patel, FTA; Daniel Koenig, FTA; Antoinette Quagliata, FTA, Helen Serassio, FTA	Tier 1 Draft Environmental Impact Statement / Draft Programmatic Agreement	Regarding Addition to Appendix N of PA and review of comment
2/8/2016	Incoming and Outgoing	email	Patty Chrisman, NJ SHPO	Amishi Castelli, USDOT cc: Ruby Siegel, JV; Susan Anderson, JV; Vincent Maresca, NJ SHPO; Kate Marcopul, NJ SHPO	NEC Future extended comment perio	Regarding submittal of comments on Draft PA
2/10/2016	Incoming and Outgoing	email	Amishi Castelli, USDOT	Mary Dunne, Catherine Labadia cc: Rebecca Reyes-Alicea	RA NEC FUTURE Program	Regarding primary contact for CT SHPO

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
2/16/2016- 2/19/2016	Incoming and Outgoing	email	Kate Marcopul, NJ SHPO	Rebecca Reyes-Alicea, FRA; Amishi Castelli, USDOT cc: Ruby Siegel, JV; Susan Anderson, JV; Vincent Maresca, NJ SHPO; Patty Chrisman, NJ SHPO, Dan Saunders, NJ SHPO	Extension of Comment Period for FRA's NEC FUTURE Tier 1 Draft EIS	Regarding scheduling of and follow-up of meeting to discuss PA
2/19/2016	Incoming and Outgoing	email	Catherine Labadia, CT SHPO	Amishi Castelli, USDOT cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV	FRA NEC FUTURE Program	Regarding comments on Draft PA
2/22/2016	Incoming and Outgoing	email	Kate Marcopul, NJ SHPO	Amishi Castelli, USDOT cc: Ruby Siegel, JV; Susan Anderson, JV; Patty Chrisman, NJ SHPO; Rebecca Reyes-Alicea, FRA; Selina Zapata Bur, JV; Vincent Maresca, NJ SHPO; Dan Saunders, NJ SHPO	Extension of Comment Period for FRA's NEC FUTURE Tier 1 Draft EIS	Regarding federally-recognized Tribes that have expressed an interest in NJ projects and contact information
2/22/2016- 2/23/2016	Outgoing	email	Amishi Castelli, USDOT	Signatories and non-tribal concurring parties	FRA NEC FUTURE meeting for Section 106	Invitation and rescheduled invitation to participate in a meeting with FRA to discuss comments received during Tier 1 Draft EIS comment period and approach to finalizing tier 1 EIS and PA
2/22/2016- 2/23/2016	Outgoing	email	Amishi Castelli, USDOT	Tribes	FRA NEC FUTURE meeting for Section 106	Invitation and rescheduled invitation to participate in a meeting with FRA to discuss comments received during Tier 1 Draft EIS comment period and approach to finalizing tier 1 EIS and PA
2/23/2016	Incoming and Outgoing	email	Glenn Modica, RI SHPO	Amishi Castelli, USDOT cc Selina Zapata Bur, JV; Susan Anderson, JV; Michael Babin, JV	RE: FRA NEC FUTURE meeting for Section 106	Adding contact for RI SHPO
2/29/2016- 3/1/2016	Incoming and Outgoing	email	Amishi Castelli, USDOT	Kate Marcopul, NJ SHPO cc: Ruby Siegel, JV; Susan Anderson, JV; Patty Chrisman, NJ SHPO; Rebecca Reyes-Alicea, FRA; Selina Zapata Bur, JV; Vincent Maresca, NJ SHPO; Dan Saunders, NJ SHPO	Extension of Comment Period for FRA's NEC FUTURE Tier 1 Draft EIS	Regarding tribal contacts
3/1/2016	Outgoing	email	Amishi Castelli, USDOT	Glenn Wallace, Eastern Shawn Tribe of Oklaoma; Robin Dushane, Eastern Shawnee Tribe of Oklahoma cc: Selina Zapata Bur, JV; Susan Anderson, JV; Ruby Siegel, JV; Rebecca Reyes- Alicea, FRA	FRA NEC FUTURE meeting for tribes and Section 106 parties	Invitation to participate in webinar/meeting with FRA to discuss comments received during Tier 1 Draft EIS comment period and approach to finalizing tier 1 EIS and PA
3/2/2016	Outgoing	email	Amishi Castelli, USDOT	Edwina Butler, Absentee-Shawnee Tribe of Oklahoma; Carol Butler, Absentee- Shawnee Tribe of Oklahoma cc: Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Joseph Blanchard, Absentee- Shawnee Tribe of Oklahoma; Liana Hesler, Absentee-Shawnee Tribe of Oklahoma; Ruby Siegel, JV	FRA NEC FUTURE meeting for tribes and Section 106 parties	Request to be a concurring party and invitation to participate in webinar/meeting with FRA to discuss comments received during Tier 1 Draft EIS comment period and approach to finalizing tier 1 EIS and PA
3/2/2016	Outgoing	email	Amishi Castelli, USDOT	Corey Smith, Delaware Nation; Nekole Alligood, Delaware Nation; Jason Ross, Delaware Nation cc: Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Susan Anderson, JV	FRA NEC FUTURE update and consulting party invitation	Relaying concurring party invitation

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
3/2/2016	Outgoing	email	Amishi Castelli, USDOT	Kim Jumper, Shawnee Tribe cc: shawneetribes@shawnee-tribe.com; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Ruby Siegel, JV	FRA NEC FUTURE meeting for tribes and Section 106 parties	Request to be a concurring party and invitation to participate in webinar/meeting with FRA to discuss comments received during Tier 1 Draft EIS comment period and approach to finalizing tier 1 EIS and PA
3/14/2016	Incoming and Outgoing	email	Vincent Maresca, NJ SHPO	Amishi Castelli, USDOT cc: Kate Marcopul, NJ SHPO; Patty Chrisman, NJ SHPO; Dan Saunders, NJ SHPO; Selina Zapata Bur, JV; Susan Anderson, JV	NEC Future PA NJHPO contact info	Regarding signature line in PA
3/19/2016	Outgoing	email	Amishi Castelli, USDOT	PA Signatories	NEC FUTURE-PA execution	Regarding schedule for execution of PA
3/19/2016-4/5/2016	Incoming and Outgoing	email	Amishi Castelli, USDOT	Kelly Fanizzo, ACHP; Chris Wilson, ACHP; Charlene Vaughn, ACHP cc: Susan Anderson, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Selina Zapata Bur, JV	Meeting re: FRA NEC FUTURE-PA execution	Regarding scheduling of agency-to-agency meeting week of 4/18 to review draft Final PA
3/21/2016	Incoming and Outgoing	email	Beth Cole, MD SHPO	Amishi Castelli, USDOT cc: Tim Tamburrino, MD SHPO; Susan Anderson, JV; Selina Zapata Bur, JV	FRA NEC FUTURE-PA execution	Regarding signature line in PA
3/21/2016	Incoming and Outgoing	email	Vincent Maresca, NJ SHPO	Amishi Castelli, USDOT cc: Patty Chrisman, NJ SHPO; Kate Marcopul, NJ SHPO; Dan Saunders, NJ SHPO; Susan Anderson, JV; Selina Zapata Bur, JV	FRA NEC FUTURE-PA execution	Regarding 30 day review period
3/21/2016	Incoming and Outgoing	email	Jeffrey Emidy, RI SHPO	Amishi Castelli, USDOT cc: Selina Zapata Bur, JV; Susan Anderson, JV	FRA NEC FUTURE-PA execution	Regarding signature line in PA
4/14/2016	Outgoing	email/Outlook calendar invitation	Amishi Castelli, USDOT	Charlene Vaughn, ACHP; Kelly Fanizzo, ACHP; Chris Wilson, ACHP; Rebecca Reyes-Alicea, FRA; Becky Blatnica, USDOT; Ruby Siegel, JV; Susan Anderson, JV; Selina Zapata Bur, JV; Bill Malley, JV; Stephanie Camay, JV; Laura Shick, FRA, Travis Mast, USDOT	ACHP-FRA meeting on NEC FUTURE PA	Outlook invitation
4/18/2016	Incoming and Outgoing	email	Amishi Castelli, USDOT	Vincent Maresca, NJ SHPO; Kate Marcopul, NJ SHPO; Patty Chrisman, NJ SHPO; Dan Saunders, NJ SHPO cc: Selina Zapata Bur, JV	Extension of Comment Period for FRA's NEC FUTURE Tier 1 Draft EIS	Regarding local historic commission contact
4/18/2016-4/19/2016	Outgoing	email	Amishi Castelli, USDOT	PA Signatories	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Draft Final PA for Signatory review and email with additional notes
4/19/2016	Incoming	email	Vincent Maresca, NJ SHPO	Amishi Castelli, USDOT	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Change in signature line in PA
4/19/2016	Outgoing	email	Amishi Castelli, USDOT	Dan Koenig, FTA cc: Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV	FTA signing NEC FUTURE PA	Regarding FTA signature page in PA
4/20/2016	Outgoing	letter and package	Amishi Castelli, USDOT	Brona Simon, MA SHPO cc: Jonathan Patton, MA SHPO; Susan Anderson, JV	FRA NEC FUTURE – Draft Final Programmatic Agreement. MHC #RC.52707	Transmitting hard copy of PA for review
4/28/2016-5/2/2016	Incoming and Outgoing	email	John Bonafide, NY SHPO	Amishi Castelli, USDOT cc: Susan Anderson, JV; Selina Zapata Bur, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Request and transmittal of reactivated links for PA and NY state-specific appendix
5/12/2016	Outgoing	email	Amishi Castelli, FRA	PA Signatories	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Reminder for comments on PA

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
5/13/2016	Incoming	letter	Brona Simon, MA SHPO	Amishi Castelli, FRA cc: Susan Anderson, JV; Marla Engel, USDOT; Bettina Washington, Wampanoag Tribe of Gay Head (Aquinnah); Ramona Peters, Mashpee Wampanoag Tribe; Bonney Bartley, Stockbridge-Munsee Mohican Tribe; Eric Hein, MCSHPO; John Eddins, ACHP; Catherine Labadia, CT SHPO; Jeff Emidy, RI SHPO; David Mohler, MADOT	Federal Railroad Administration Northeast Corridor Future Rail Project, Massachusetts. MHC #RC.52707	Comments on PA and MA state-specific appendix
5/16/2016	Incoming and Outgoing	email	Andrew Lewis, DC SHPO	Amishi Castelli, FRA cc: Susan Anderson, JV; Selina Zapata Bur, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Request and transmittal of reactivated links for PA and DC state-specific appendix
5/16/2016	Incoming and Outgoing	email	Andrew Lewis, DC SHPO	cc: Susan Anderson, JV; Selina Zapata Bur, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Transmittal of comments on PA
5/18/2016	Incoming and Outgoing	email and letter	Rebecca Reyes-Alicea, FRA	Kevlin Taylor, Newark Landmarks & Historic Preservation Commission; Shawna Ebanks cc: Amishi Castelli, FRA; Susan Anderson, JV; Dan Saunders, NJ SHPO; Vincent Maresca, NJ SHPO; Kate Marcopul, NJ SHPO; Patty Chrisman, NJ SHPO	FRA NEC FUTURE Program- Consulting Party invitation	Relaying Consulting Party invitation and separate email describing role of a Consulting Party at this stage of the process
5/18/2016	Outgoing	email and letter	Rebecca Reyes-Alicea, FRA	Elizabeth H. Kim, Princeton Historic Preservation Commission cc: Amishi Castelli, FRA; Susan Anderson, JV; Dan Saunders, NJ SHPO; Vincent Maresca, NJ SHPO; Kate Marcopul, NJ SHPO; Patty Chrisman, NJ SHPO	FRA NEC FUTURE Program- Consulting Party invitation	Relaying Consulting Party invitation
5/18/2016	Outgoing	letter (hard copy)	Rebecca Reyes-Alicea, FRA	City of New Brunswick Historical Association cc: Amishi Castelli, FRA; Susan Anderson, JV; Dan Saunders, NJ SHPO; Vincent Maresca, NJ SHPO; Kate Marcopul, NJ SHPO; Patty Chrisman, NJ SHPO	Invitation to consult on NEC FUTURE under Section 106 of the National Historic Preservation Act	Relaying Consulting Party invitation
5/19/2016	Incoming	email	Vincent Maresca, NJ SHPO	Amishi Castelli, FRA cc: Dan Saunders, NJ SHPO; Kate Marcopul, NJ SHPO	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Regarding review of Final PA and signature line information
5/19/2016	Incoming and Outgoing	email	Craig Lukezic, DE SHPO	Amishi Castelli, FRA cc: Susan Anderson, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Regarding timeline of DE SHPO review of Final PA
5/25/2016-6/15/2016	Incoming and Outgoing	email	Amishi Castelli, FRA	Dan Koenig, FTA; Christopher VanWyk, FTA cc: Susan Anderson, JV; Selina Zapata Bur, JV; Ruby Siegel, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Regarding signature page
5/25/2016	Outgoing	email	Amishi Castelli, FRA	Charlene Vaughn, ACHP; Chris Wilson, ACHP; Kelly Fanizzo, ACHP cc: Susan Anderson, JV; Selina Zapata Bur, JV; Ruby Siegel, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Regarding signature page
5/25/2016	Incoming and Outgoing	email	Amishi Castelli, FRA	Catherine Labadia, CT SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV; Ruby Siegel, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Regarding signature page and transmittal of CT state-specific appendix
5/25/2016	Outgoing	email	Amishi Castelli, FRA	Craig Lukezic, DE SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV; Ruby Siegel, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Regarding signature page
5/25/2016-6/2/2016	Incoming and Outgoing	email	Amishi Castelli, FRA	Jonathan Patton, MA SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV; Ruby Siegel, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Regarding signature page

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
5/25/2016	Incoming and Outgoing	email	Amishi Castelli, FRA	'jvaughan@pa.gov'; 'dmcclarean@pa.gov'; 'emdiehl@pa.gov'; 'chnagle@pa.gov'; Shaffer, Mark (PHMC) (mshaffer@pa.gov) cc: Susan Anderson, JV; Selina Zapata Bur, JV; Ruby Siegel, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Regarding signature page
5/25/2016-6/15/2016	Incoming and Outgoing	email	Amishi Castelli, FRA	John Bonafide, NY SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Regarding signature page
5/27/2016-6/6/2016	Incoming and outgoing	email	Craig Lukezic, DE SHPO	Amishi Castelli, FRA cc: Susan Anderson, JV; Selina Zapata Bur, JV	FOR FINAL REVIEW: FRA NEC FUTURE-draft Final PA	Regarding scheduling a meeting to discuss DE SHPO comments and PA
6/1/2016	Incoming and Outgoing	form via email	Linda Hunter, City of New Brunswick Historical Association	Rebecca Reyes-Alicea	NEC FUTURE Program	Declined Section 106 Consulting Party invitation
6/2/2016	Outgoing	Outlook calendar invitation	Susan Anderson, JV	Craig Lukezic, DE SHPO; Aishi Castelli, FRA, Bill Malley, JIV; Selina Zapata Bur, JV; Ruby Siegel, JV; Gwen Davis, DE SHPO	NEC FUTURE - DE SHPO Discussion	Relaying meeting materials
6/13/2016	Outgoing	email	Amishi Castelli, FRA	Betsy Merritt, National Trust cc: Susan Anderson, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA	FRA NEC FUTURE-follow up	Regarding coordination with local groups
6/13/2016-6/23/2016	Outgoing	email	Amishi Castelli, FRA	Gwen Davis, DE SHPO; Craig Lukezic, DE SHPO; Charlene Vaughn, ACHP; Kelly Fanizzo, ACHP; Chris Wilson, ACHP cc: Selina Zapata Bur, JV; Susan Anderson, JV; Ruby Siegel, JV; Bill Malley, JV	FRA NEC FUTURE PA - Finalization with DE SHPO	Meeting follow-up, transmittal of FAST Act language in PA for review, and acceptance of FAST Act language
7/5/2016	Incoming	email	Gwen Davis, DE SHPO	Amishi Castelli, FRA cc: Craig Lukezic	FRA NEC FUTURE PA - Finalization with DE SHPO	Attaching requested changes to Appendix H of the PA.
7/11/2016	Outgoing	email and letter	Amishi Castelli, FRA	Mary Mello, FTA cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Christopher VanWyk, FTA; Daniel Koenig, FTA; Elizabeth Patel, USDOT	NEC FUTURE Section 106-PA for execution	Transmitting materials for signature of PA
7/11/2016	Outgoing	email and letter	Amishi Castelli, FRA	David Maloney, DC SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Andrew Lewis, DC SHPO	NEC FUTURE Section 106-PA for execution	Transmitting materials for signature of PA
7/11/2016	Outgoing	email and letter	Amishi Castelli, FRA	Elizabeth Hughes, MD SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Beth Cole, MD SHPO; Tim Tamburrino, MD SHPO	NEC FUTURE Section 106-PA for execution	Transmitting materials for signature of PA
7/11/2016	Outgoing	email and letter	Amishi Castelli, FRA	Andrea MacDonald, PA SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; James Vaughan, PA SHPO; Emma Diehl, PA SHPO; Doug McLearn, PA SHPO; Cheryle Nagle, PA SHPO; Mark Shaffer, PA SHPO	NEC FUTURE Section 106-PA for execution	Transmitting materials for signature of PA

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/11/2016	Outgoing	email and letter	Amishi Castelli, FRA	Timothy Slavin, DE SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Gwen Davis, DE SHPO; Craig Lukezic, DE SHPO	NEC FUTURE Section 106-PA for execution	Transmitting materials for signature of PA
7/11/2016	Outgoing	email and letter	Amishi Castelli, FRA	Kate Marcopul, NJ SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Vincent Maresca, NJ SHPO; Patty Chrisman, NJ SHPO	NEC FUTURE Section 106-PA for execution	Transmitting materials for signature of PA
7/11/2016	Outgoing	email and letter	Amishi Castelli, FRA	Kristina Newman-Scott, CT SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Catherine Labadia, CT SHPO	NEC FUTURE Section 106-PA for execution	Transmitting materials for signature of PA
7/11/2016	Outgoing	email and letter	Amishi Castelli, FRA	Ruth Pierpont, NY SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; John Bonafide, NY SHPO	NEC FUTURE Section 106-PA for execution	Transmitting materials for signature of PA
7/11/2016	Outgoing	email and letter	Amishi Castelli, FRA	Edward Sanderson, RI SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Jeffrey Emidy, RI SHPO	NEC FUTURE Section 106-PA for execution	Transmitting materials for signature of PA
7/11/2016	Outgoing	email and hard copy letter	Amishi Castelli, FRA	Brona Simon, MA SHPO; Jonathan Patton, MA SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT	NEC FUTURE Section 106-PA for execution	Transmitting materials for signature of PA
7/12/2016	Incoming	email	Vincent Maresca, NJ SHPO	Amishi Castelli, FRA; Kate Marcopul, NJ SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT	NEC FUTURE Section 106-PA for execution	Responding that PA is logged in system for signatory review
7/12/2016	Outgoing	email	Amishi Castelli, FRA	Vincent Maresca, NJ SHPO; Kate Marcopul, NJ SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT	NEC FUTURE Section 106-PA for execution	Reply
7/12/2016	Incoming	email	Vincent Maresca, NJ SHPO	Amishi Castelli, FRA; Kate Marcopul, NJ SHPO	NEC FUTURE Section 106-PA for execution	Regarding retirement of Patty Chrisman
7/12/2016	Incoming	email and signature pages	Tim Tamburrino, Maryland Historical Trust	Amishi Castelli, FRA	NEC FUTURE Section 106-PA for execution	Relaying executed copies of MD SHPO signature pages
7/12/2016	Outgoing	email	Amishi Castelli, FRA	Tim Tamburrino, Maryland Historical Trust cc: Susan Anderson, JV; Selina Zapata Bur, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA	NEC FUTURE Section 106-PA for execution	Reply
7/12/2016	Incoming	email and signature pages	Craig Lukezic, DE SHPO	Amishi Castelli, FRA cc: Gwen Davis, DE SHPO	NEC FUTURE Section 106-PA for execution	Relaying executed copies of DE SHPO signature pages

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/14/2016	Incoming	email, hard copy and signature pages	Emma Diehl, PA SHPO; Andrea L. MacDonald, PA SHPO	Amishi Castelli, FRA cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT	NEC FUTURE Section 106-PA for execution	Relaying executed copies of PA SHPO signature pages
7/14/2016	Outgoing	email	Amishi Castelli, FRA	Emma Diehl, PA SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA; Travis Mast, USDOT	NEC FUTURE Section 106-PA for execution	Reply
7/15/2016	Incoming	email	John Bonafide, NY SHPO	Amishi Castelli, FRA	NEC FUTURE Section 106-PA for execution	Regarding logistics of return of PA signature pages
7/15/2016	Incoming	email	Kristina Newman-Scott, CT SHPO	Amishi Castelli, FRA cc: Susan Anderson, JV; Selina Zapata Bur, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA; Travis Mast, USDOT; Catherine Labadia, CT SHPO	NEC FUTURE Section 106-PA for execution	Acknowledgement of receipt and status of signature
7/15/2016	Outgoing	email	Amishi Castelli, FRA	Kristina Newman-Scott, CT SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Catherine Labadia, CT SHPO	NEC FUTURE Section 106-PA for execution	Reply
7/15/2016	Incoming	email and signature pages	John Bonafide, NY SHPO	Amishi Castelli, FRA	NEC Future PA Signature Pages	Relaying executed copies of NY SHPO signature pages
7/15/2016	Outgoing	email	Amishi Castelli, FRA	John Bonafide, NY SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA	NEC Future PA Signature Pages	Reply
7/15/2016	Outgoing	email	Amishi Castelli, FRA	John Bonafide, NY SHPO	NEC Future PA Signature Pages	Regarding incorrect attachment
7/15/2016	Incoming	email and signature pages	John Bonafide, NY SHPO	Amishi Castelli, FRA	NEC Future PA Signature Pages	Relaying executed copies of NY SHPO signature pages
7/18/2016	Outgoing	email	Amishi Castelli, FRA	Mary Mello, FTA cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Christopher VanWyk, FTA; Daniel Koenig, FTA; Elizabeth Patel, USDOT	NEC FUTURE Section 106-PA for execution	Reminder regarding return of PA signature pages
7/18/2016	Outgoing	email	Amishi Castelli, FRA	David Maloney, DC SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Andrew Lewis, DC SHPO	NEC FUTURE Section 106-PA for execution	Reminder regarding return of PA signature pages
7/18/2016	Outgoing	email	Amishi Castelli, FRA	Edward Sanderson, RI SHPO cc: Susan Anderson, JV; Rebecca Reyes-Alicea, FRA; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT; Jeffrey Emidy, RI SHPO	NEC FUTURE Section 106-PA for execution	Reminder regarding return of PA signature pages

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/18/2016	Outgoing	email	Amishi Castelli, FRA	Jonathan Patton, MA SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV; Travis Mast, USDOT	NEC FUTURE Section 106-PA for execution	Reminder regarding return of PA signature pages
7/19/2016	Incoming	email and signature pages	Mary Mello, FTA	Amishi Castelli, FRA cc: Christopher VanWyk, FTA; Henrika Buchanan-Smith, FTA; Terry Garcia-Crews; Peter Butler, FTA	Signed Signatory page-NEC Future Section 106 Programmatic Agreement	Relaying executed copies of FTA signature page
7/19/2016	Incoming	email	Andrew Lewis, DC SHPO	Amishi Castelli, FRA	NEC FUTURE Section 106-PA for execution	Request for reactivated sendfiles links of Programmatic Agreement
7/19/2016	Outgoing	email	Amishi Castelli, FRA	Andrew Lewis, DC SHPO cc: Selina Zapata Bur, JV; Susan Anderson, JV	NEC FUTURE Section 106-PA for execution	Relaying reactivated sendfiles links of Programmatic Agreement
7/19/2016	Incoming	email and signature pages	Andrew Lewis, DC SHPO	Amishi Castelli, FRA	NEC FUTURE Section 106-PA for execution	Relaying executed copies of DC SHPO signature pages
7/21/2016	Incoming	fax with signature pages	Jonathan Patton, MA SHPO	Amishi Castelli, FRA	NEC Futures PA MHC#Rc.52707	Relaying executed copies of MA SHPO signature pages
7/21/2016	Incoming	email	Glenn Modica, RI SHPO	Amishi Castelli, FRA	FRA NEC Future Programmatic Agreement	Relaying executed copies of RI SHPO signature pages
7/21/2016	Outgoing	email	Amishi Castelli, FRA	Glenn Modica, RI SHPO cc: Selina Zapata Bur, JV; Susan Anderson, JV	FRA NEC Future Programmatic Agreement	Reply
7/21/2016	Outgoing	email	Amishi Castelli, FRA	Kristina Newman-Scott, CT SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV; Catherine Labadia, CT SHPO	NEC FUTURE Section 106-PA for execution	Reminder regarding return of PA signature pages
7/21/2016	Incoming	email	Catherine Labadia, CT SHPO	Amishi Castelli, FRA; Kristina Newman-Scott, CT SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV	NEC FUTURE Section 106-PA for execution	Request for reactivated sendfiles links of Programmatic Agreement
7/21/2016	Outgoing	email	Amishi Castelli, FRA	Kristina Newman-Scott, CT SHPO; Catherine Labadia, CT SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV	NEC FUTURE Section 106-PA for execution	Reply regarding reactivated sendfiles links
7/21/2016	Incoming	email and signature pages	Atalaya Armstrong, NJ SHPO	Amishi Castelli, FRA cc: Vincent Maresca, NJ SHPO	Completed Submission 16-0581 NJDEP	Relaying executed copies of NJ SHPO signature pages
7/21/2016	Outgoing	email	Amishi Castelli, FRA	Atalaya Armstrong, NJ SHPO cc: Vincent Maresca, NJ SHPO; Susan Anderson, JV; Selina Zapata Bur, JV	Completed Submission 16-0581 NJDEP	Reply and request for hard copy
7/21/2016	Incoming	email	Vincent Maresca, NJ SHPO	Amishi Castelli, FRA; Atalaya Armstrong, NJ SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV; Kate Marcopul, NJ SHPO	Completed Submission 16-0581 NJDEP	Regarding transmittal of hard copy

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/21/2016	Incoming	email and signature pages	Catherine Labadia, CT SHPO	Amishi Castelli, FRA; Kristina Newman-Scott, CT SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV	NEC FUTURE Section 106-PA for execution	Relaying executed copies of CT SHPO signature page
7/21/2016	Outgoing	email	Amishi Castelli, FRA	Catherine Labadia, CT SHPO; Kristina Newman-Scott, CT SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV	NEC FUTURE Section 106-PA for execution	Relaying reactivated sendfiles links of Programmatic Agreement
7/21/2016	Outgoing	email	Amishi Castelli, FRA	Vincent Maresca, NJ SHPO; Atalaya Armstrong, NJ SHPO cc: Susan Anderson, JV; Selina Zapata Bur, JV; Kate Marcopul, NJ SHPO	Completed Submission 16-0581 NJDEP	Reply regarding transmittal of hard copy
7/21/2016	Outgoing	email	Amishi Castelli, FRA	Catherine Labadia, CT SHPO; Kristina Newman-Scott, CT SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV	NEC FUTURE Section 106-PA for execution	Reply regarding relayed executed copies of CT SHPO signature page
7/21/2016	Outgoing	email	Amishi Castelli, FRA	Catherine Labadia, CT SHPO; Kristina Newman-Scott, CT SHPO cc: Susan Anderson, JV; Ruby Siegel, JV; Selina Zapata Bur, JV	NEC FUTURE Section 106-PA for execution	Request for signed CT SHPO state-specific appendix
7/21/2016	Outgoing	email	Amishi Castelli, FRA	Mary Mello, FTA cc: Susan Anderson, JV; Selina Zapata Bur, JV	heads up on further signature req'd on NEC F PA	Heads up on FTA signatures required for state-specific appendices
7/21/2016 - 7/22/2016	Incoming and Outgoing	email	Catherine Labadia, CT SHPO	Amishi Castelli, FRA	NEC FUTURE Section 106-PA for execution	Regarding transmittal of state-specific appendix signature page
7/25/2016	Incoming	email and signature page	Todd Levine, CT SHPO	Amishi Castelli, FRA	NEC FUTURE Section 106-PA for execution	Relaying executed copies of CT SHPO state-specific appendix signature page
7/25/2016	Outgoing	email and signature pages	Amishi Castelli, FRA	Mary Mello, FTA cc: Susan Anderson, JV; Selina Zapata Bur, JV	Further signature req'd on NEC F PA	Transmittal of state-specific appendices for FTA signature
7/25/2016	Incoming	email	Mary Mello, FTA	Amishi Castelli, FRA	Further signature req'd on NEC F PA	Reply
7/26/2016	Incoming	email	Mary Mello, FTA	Amishi Castelli, FRA cc: Susan Anderson, JV; Selina Zapata Bur, JV	Further signature req'd on NEC F PA	Relaying signed DC, CT, and DE state-specific appendices
7/26/2016	Outgoing	email	Amishi Castelli, FRA	Mary Mello, FTA cc: Susan Anderson, JV; Selina Zapata Bur, JV	Further signature req'd on NEC F PA	Reply
7/26/2016	Outgoing	email	Amishi Castelli, FRA	Mary Mello, FTA cc: Susan Anderson, JV; Selina Zapata Bur, JV	Further signature req'd on NEC F PA	Reply regarding remainder of attached state-specific appendices
7/26/2016	Incoming	email	Mary Mello, FTA	Amishi Castelli, FRA cc: Susan Anderson, JV; Selina Zapata Bur, JV	Further signature req'd on NEC F PA	Reply
7/26/2016	Incoming	email	Mary Mello, FTA	Amishi Castelli, FRA cc: Susan Anderson, JV; Selina Zapata Bur, JV	Further signature req'd on NEC F PA	Relaying remainder of signed state-specific appendices

Table GG-3: Section 106 Correspondence (continued)

DATE	IN / OUT	VIA	FROM (Name, Organization)	TO (Name, Organization)	RE	Notes
7/26/2016	Outgoing	email	Amishi Castelli, FRA	Mary Mello, FTA cc: Susan Anderson, JV; Selina Zapata Bur, JV	Further signature req'd on NEC F PA	Reply
7/28/2016	Outgoing	email and letter	Amishi Castelli, FRA	Charlene Vaughn, ACHP; Kelly Fanizzo, ACHP; Chris Wilson, ACHP cc: Rebecca Reyes-Alicea, FRA; Laura Shick, FRA; Susan Anderson, JV; Selina Zapata Bur, JV	NEC FUTURE PA for signature	Transmitting materials for signature of PA
8/2/2016	Outgoing	email	Amishi Castelli, FRA	Charlene Vaughn, ACHP; Kelly Fanizzo, ACHP; Chris Wilson, ACHP	NEC FUTURE PA for signature	Follow-up on requested signature
8/3/2016	Incoming	email	Christopher Wilson, ACHP	Amishi Castelli, FRA; Charlene Vaughn, ACHP; Kelly Fanizzo, ACHP	NEC FUTURE PA for signature	Noting ACHP is last to sign PA
8/23/2016	Outgoing	email and letter	Amishi Castelli	Charlene Vaughn, ACHP; Kelly Fanizzo, ACHP; Chris Wilson, ACHP cc: Rebecca Reyes-Alicea, FRA; Laura Shick, FRA; Susan Anderson, JV; Selina Zapata Bur, JV	NEC FUTURE PA for signature	Transmitting materials for signature of PA
8/25/2016	Outgoing	email and letter	Amishi Castelli	John Bonafide, NY SHPO cc: Ruth Pierpont, NY SHPO; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Selina Zapata Bur, JV	NEC Future PA - a change	Transmitting revised language for Appendix L for concurrence
8/25/2016	Incoming	email, letter, and signature pages	Charlene Vaughn, ACHP	Rebecca Reyes-Alicea, FRA; Amishi Castelli, FRA cc: John Bonafide, NY SHPO; Chris Wilson, ACHP	NEC Future Investment Program-ACHP Signature Pages	Relaying fully-executed PA
8/26/2016	Incoming	email	John Bonafide, NY SHPO	Amishi Castelli, FRA cc: Ruth Pierpont, NY SHPO; Ruby Siegel, JV; Rebecca Reyes-Alicea, FRA; Susan Anderson, JV; Selina Zapata Bur, JV	NEC Future PA - a change	Relaying no issue with revised language

Source: NEC FUTURE team, 2016



A Rail Investment Plan for
the Northeast Corridor

TIER 1
FINAL
ENVIRONMENTAL
IMPACT
STATEMENT

VOLUME 1 (PREFERRED ALTERNATIVE)

Appendix GG,
Section 106
Programmatic
Agreement

DECEMBER 2016



U.S. Department of Transportation
Federal Railroad Administration

1 PROGRAMMATIC AGREEMENT AMONG
 2
 3 THE FEDERAL RAILROAD ADMINISTRATION,
 4 THE FEDERAL TRANSIT ADMINISTRATION,
 5 THE ADVISORY COUNCIL ON HISTORIC PRESERVATION,
 6 AND THE STATE HISTORIC PRESERVATION OFFICERS OF:
 7 CONNECTICUT,
 8 DELAWARE,
 9 DISTRICT OF COLUMBIA,
 10 MARYLAND,
 11 MASSACHUSETTS,
 12 NEW JERSEY,
 13 NEW YORK,
 14 PENNSYLVANIA, AND
 15 RHODE ISLAND
 16

17 REGARDING
 18 COMPLIANCE WITH SECTION 106 OF THE NATIONAL HISTORIC PRESERVATION ACT
 19 AS IT PERTAINS TO
 20 THE NEC FUTURE INVESTMENT PROGRAM

21 WHEREAS, the Northeast Corridor (“NEC”) is the rail spine that runs from Washington, D.C.,
 22 through New York City to Boston, MA, passing through the District of Columbia and the states of
 23 Maryland, Delaware, New Jersey, Pennsylvania, New York, Connecticut, Rhode Island and
 24 Massachusetts;

25 WHEREAS, the Federal Railroad Administration (“FRA”) is carrying out a planning process, known as
 26 the “NEC FUTURE process”, in which FRA is developing and adopting a program of improvements to
 27 passenger rail service and infrastructure in the NEC through 2040 and beyond (hereinafter referred
 28 to as the “NEC FUTURE Investment Program”);

29 WHEREAS, FRA has determined that the development and adoption of the NEC FUTURE Investment
 30 Program is an undertaking subject to Section 106 of the National Historic Preservation Act (“Section
 31 106”) (16 U.S.C. § 470f, as amended) and its implementing regulations “Protection of Historic
 32 Properties” (36 C.F.R. Part 800);

33 WHEREAS, FRA is preparing a Tier 1 Environmental Impact Statement (“EIS”) for the NEC FUTURE
 34 Investment Program in compliance with the National Environmental Policy Act, 42 U.S.C. § 4321 et
 35 seq., (“NEPA”);

36 WHEREAS, the Federal Transit Administration (“FTA”) is serving as a cooperating agency in the
 37 preparation of the Tier 1 EIS for the NEC FUTURE Investment Program;

38 WHEREAS, the Tier 1 EIS evaluates a No Action Alternative and a range of action alternatives
39 (including a Preferred Alternative) for improving passenger rail service on the NEC, consisting of
40 various combinations of improvements to the existing NEC rail spine and/or construction on new
41 right-of-way;

42 WHEREAS, in addition to preparing the Tier 1 EIS, FRA is currently conducting project-specific
43 environmental review processes for certain projects with independent utility on the NEC
44 (hereinafter referred to as "related projects"), and the environmental review processes for those
45 projects have been coordinated with the NEC FUTURE process;

46 WHEREAS, if FRA issues a Tier 1 Record of Decision (ROD) that approves a program of
47 improvements to the NEC, FRA, FTA, and potentially other federal agencies will carry out
48 subsequent environmental reviews, using a tiered approach in accordance with 40 C.F.R. § 1502.20,
49 for one or more individual projects that implement the NEC FUTURE Investment Program and that
50 rely upon the Tier 1 ROD for their justification (hereinafter referred to as "NEC Tier 2 Projects");

51 WHEREAS, FRA, FTA and other federal agencies may in the future conduct environmental review
52 processes for additional projects on the NEC that are separate from the NEC FUTURE process,
53 without relying upon any decisions that may be made in the Tier 1 ROD for the NEC FUTURE
54 Investment Program (hereinafter referred to as "independent projects on the NEC");

55 WHEREAS, FRA has determined that the NEC FUTURE Investment Program has the potential to
56 affect properties that are listed in or eligible for the National Register of Historic Places (NRHP)
57 (hereafter referred to as "historic properties");

58 WHEREAS, FRA and FTA have determined that the development of a Programmatic Agreement
59 ("PA") in accordance with 36 C.F.R. § 800.14 is appropriate for the NEC FUTURE Investment
60 Program because the Program's potential effects on historic properties are multi-state in scope;

61 WHEREAS, the purpose of this PA is to establish the framework for compliance with Section 106
62 during future environmental review processes for NEC Tier 2 Projects;

63 WHEREAS, for purposes of this PA, the term "Tier 1 undertaking" refers to the NEC FUTURE
64 Investment Program, and the term "Tier 2 undertaking" refers to an NEC Tier 2 Project;

65 WHEREAS, the term "NEC Tier 2 Project" does not include any "independent projects on the NEC"
66 as those terms are used in this PA;

67 WHEREAS, FRA is carrying out the Section 106 process for the NEC FUTURE Investment Program
68 using a phased approach in accordance with 36 C.F.R. §§ 800.4(b)(2) and 800.5(a)(3);

69 WHEREAS, in accordance with the phased approach to Section 106 consultation, FRA has taken
70 steps during the Tier 1 process to begin identifying historic properties and assessing potential
71 effects on historic properties, as further described in the Tier 1 EIS, including (1) determining a
72 Preliminary Area of Potential Effects ("Preliminary APE") for the alternatives considered in the Tier 1
73 EIS (see Appendix B (Map of Preliminary APE), (2) identifying known historic properties within the

74 Preliminary APE, based on existing records, and (3) identifying known historic properties located
 75 within the Representative Route of each Tier 1 alternative, as a basis for assessing potential effects
 76 of the alternatives on historic properties;

77 WHEREAS, in accordance with 36 C.F.R. § 800.2 and 800.3, FRA has invited State Historic
 78 Preservation Officers, Indian Tribes, representatives of local governments, potential applicants for
 79 federal assistance and other approvals, and others to participate as consulting parties in in the
 80 development of this PA as further described herein, and a complete list of those invited to serve as
 81 consulting parties is included in Appendix E;

82 WHEREAS, FRA has consulted to develop this PA pursuant to 36 C.F.R. § 800.14(b)(3) with the
 83 Advisory Council on Historic Preservation (“ACHP”) and with the Connecticut State Historic
 84 Preservation Officer (“SHPO”), Delaware SHPO, District of Columbia SHPO, Maryland SHPO,
 85 Massachusetts SHPO, New Jersey SHPO, New York SHPO, Pennsylvania SHPO, and Rhode Island
 86 SHPO (collectively, “SHPOs”), who are signatories to this PA in accordance with 36 C.F.R. §
 87 800.6(c)(1);

88 WHEREAS, because the FTA may be responsible for NEPA and Section 106 compliance for one or
 89 more NEC Tier 2 Projects, such as actions related to commuter rail, FRA has invited FTA to be a
 90 signatory to this PA pursuant to 36 C.F.R. § 800.6(c)(2);

91 WHEREAS, because the National Conference of State Historic Preservation Officers (NCSHPO) has
 92 expertise in consultations involving undertakings that affect multiple states, including experience in
 93 resolving issues in dispute among SHPOs, FRA has invited NCSHPO to be a consulting party in
 94 consultation regarding the Tier 1 undertaking, and has invited the NCSHPO to concur in this PA in
 95 accordance with 36 C.F.R. § 800.6(c)(3);

96 WHEREAS, while NEC Tier 2 Projects are not expected to occur on or affect historic properties on
 97 Tribal lands as defined in Section 301(14) of the National Historic Preservation Act (NHPA), FRA has
 98 consulted with Indian Tribes that attach religious and cultural significance to historic properties that
 99 may be affected by the Tier 1 undertaking, and of those Tribes, FRA has invited the Mashantucket
 100 (Western) Pequot Tribal Nation, the Mohegan Tribe, the Narragansett Indian Tribe of Rhode Island,
 101 the Shinnecock Indian Nation, the Wampanoag Tribe of Gay Head (Aquinnah) of Massachusetts, the
 102 Mashpee Wampanoag Tribe, the Stockbridge-Munsee Community Band of Mohicans, the Delaware
 103 Tribe of Indians, the Absentee-Shawnee Tribe of Oklahoma, the Shawnee Tribe, and the Delaware
 104 Nation to sign this PA as concurring parties, based on their interest in the undertaking, given the
 105 proximity of the Tier 1 EIS alternatives to areas in which the Tribes have expressed an interest in
 106 further consultation under Section 106;

107 WHEREAS, based on consultation with the SHPOs, NCSHPO, and the ACHP, FRA has also invited
 108 local historic preservation commissions in metropolitan areas to participate as consulting parties in
 109 the Section 106 process for the Tier 1 undertaking;

110 WHEREAS, FRA has invited the New York City Landmarks Preservation Commission to concur in this
 111 PA in accordance with 36 C.F.R. § 800.6(c)(3), and will offer other local historic preservation
 112 commissions the opportunity to concur in this PA after it is executed;

113 WHEREAS, FRA has invited potential future applicants for federal financial assistance for Tier 2
114 undertakings to participate as consulting parties in Section 106 consultation for the Tier 1
115 undertaking, including the operator of intercity passenger rail service on the NEC (Amtrak) as well
116 as the operators of commuter rail services on the NEC in each state;

117 WHEREAS, based on consultation with the SHPOs, NCSHPO, and the ACHP, FRA has also invited
118 statewide historic preservation organizations to participate as consulting parties in Section 106
119 consultation for the Tier 1 undertaking;

120 WHEREAS, FRA utilized a variety of outreach efforts to inform and engage the public, elected
121 officials, government agencies, private businesses and individuals during the NEC FUTURE process
122 via the project website (www.necfuture.com), and via numerous public meetings and workshops,
123 stakeholder meetings, and agency meetings in each of the corridor's eight states and the District of
124 Columbia (District), and has included information about the Section 106 process as part of these
125 outreach efforts, which were carried out in compliance with NEPA;

126 WHEREAS, FRA included a draft of this PA in Appendix G of the Tier 1 Draft EIS, to provide the public
127 with an opportunity to comment on the draft PA as part of the public comment period on the Tier 1
128 Draft EIS, in accordance with 36 C.F.R. § 800.14(b)(2);

129 WHEREAS, Section 11504 of the Fixing America's Surface Transportation Act ("FAST Act"), enacted
130 December 4, 2016, requires the U.S. Department of Transportation (U.S. DOT) to submit a proposed
131 Section 106 exemption for railroad rights-of-way to ACHP within one year after enactment of the
132 FAST Act, and requires the ACHP to issue a final exemption within 180 days after U.S. DOT's
133 submittal of the proposed exemption;

134 WHEREAS, the purpose of this PA is to provide an overall framework and common terminology to
135 be used in Section 106 consultation for any Tier 2 undertakings, while allowing the Section 106
136 consultation process for Tier 2 undertakings to be adapted as necessary based on each State or the
137 District's requirements; and

138 WHEREAS, the signatories intend that the Section 106 regulations (36 C.F.R. Part 800) apply to the
139 Tier 2 undertakings, except as specifically provided in this PA;

140 NOW, THEREFORE, the signatories agree that, upon execution of this PA, FRA has satisfied its
141 obligations under Section 106 with regard to the Tier 1 undertaking and further agree that Tier 2
142 undertakings shall be implemented in accordance with the following stipulations to consider the
143 effect of those undertakings on historic properties in accordance with Section 106 of the NHPA.

STIPULATIONS

144 The FRA, FTA, or, as applicable, any other federal agency responsible for Section 106 compliance for
 145 a NEC Tier 2 Project, shall ensure the following:

146 I. APPLICABILITY

147 A. Applicability to Tier 2 Undertakings

148 1. This PA shall apply to FRA's compliance with Section 106 for any Tier 2 undertaking for
 149 which FRA has a Section 106 responsibility, except as provided in paragraphs A.3 and E of
 150 this Stipulation.

151 2. This PA also shall apply to FTA's compliance with Section 106 for any Tier 2 undertaking for
 152 which FTA has a Section 106 responsibility, except as provided in paragraphs A.3 and E of
 153 this Stipulation.

154 3. For any Tier 2 undertaking, the FRA and FTA (individually or jointly) may elect to satisfy their
 155 Section 106 responsibilities by following the process set forth in the Section 106 regulations
 156 (36 C.F.R. Part 800), in lieu of following this PA. When making such an election, FRA and FTA,
 157 as applicable, shall notify the ACHP, applicable SHPO(s), applicable Indian Tribe(s) and Tribal
 158 Historic Preservation Officer(s) (THPO), and consulting parties for that Tier 2 undertaking (if
 159 any), in writing that this PA does not apply to the undertaking. Such notification shall be
 160 provided as early as practicable in the environmental review process for the undertaking.

161 B. If any federal agency other than FRA or FTA has a Section 106 responsibility for a Tier 2
 162 undertaking, that federal agency may satisfy its Section 106 responsibilities by accepting and
 163 complying with the terms of this PA, in accordance with this paragraph.

164 1. The federal agency shall notify FRA, FTA, ACHP, applicable SHPO(s), and applicable Indian
 165 Tribe(s) and THPO(s), in writing of its intent to use this PA to achieve compliance with
 166 Section 106 requirements for one or more Tier 2 undertakings.

167 2. If none of the notified agencies objects within 30 days of receipt of notification, the federal
 168 agency may then carry out its Section 106 obligations in accordance with this PA.

169 3. If any of the notified agencies objects in writing within 30 days, the federal agency shall
 170 meet with the objecting agency or agencies and seek their consent to proceed under this
 171 PA. If the objecting agency or agencies grant their consent, the federal agency may then
 172 carry out its Section 106 responsibilities in accordance with this PA. If the objection is not
 173 resolved, the dispute resolution procedures in Stipulation XVI.B may be invoked by any
 174 signatory that is a party to the dispute.

175 4. Upon determining that the requirements of paragraphs I.B.1 through I.B.3 have been met,
 176 the federal agency shall provide written notice to the FRA, FTA, ACHP, applicable SHPO(s),

177 applicable Indian Tribe(s) and THPO(s), and consulting parties for that Tier 2 undertaking (if
178 any), confirming that it will be following this PA for purposes of the specified undertaking or
179 undertakings.

180 5. A federal agency's decision to follow this PA, in accordance with the procedures outlined in
181 this section, does not give that agency the status of a signatory to this PA. A federal agency
182 may become a signatory to this PA only by execution of an amendment to the PA in
183 accordance with Stipulation XVI.C.

184 C. Nothing in this PA precludes FRA, FTA, or any other federal agency from delegating its
185 responsibilities under this PA to a State, local, or Tribal government in accordance with federal
186 law, pursuant to 36 C.F.R. § 800.2(a).

187 D. If one federal agency is designated by one or more other federal agencies to serve as the lead
188 federal agency for a Tier 2 undertaking, that agency (for example, FRA or FTA) will act on the
189 collective behalf of those other agencies to fulfill all Section 106 responsibilities by complying
190 with the terms of this PA. When one federal agency is not designated the lead federal agency
191 for Section 106, all federal agencies remain individually responsible for their compliance with
192 Section 106 and 36 C.F.R. Part 800.

193 E. This PA shall not apply to undertakings that occur on or affect Tribal lands as defined in Section
194 301(14) of the NHPA. If such undertakings are proposed, the federal agency or agencies with
195 Section 106 responsibilities for the Tier 2 undertaking shall follow the applicable procedures in
196 36 C.F.R. Part 800 for projects on or affecting Tribal lands.

197 II. ROLES AND RESPONSIBILITIES

198 A. Federal Agency

199 The FRA, FTA, or another federal agency, as applicable, shall be responsible for carrying out the
200 responsibilities of the federal agency under this PA with regard to a Tier 2 undertaking, unless
201 the agency determines that the PA does not apply to the undertaking as provided in Stipulation
202 I.A.3.

203 B. ACHP

204 For Tier 2 undertakings, the ACHP shall be responsible for providing technical guidance,
205 participating in dispute resolutions if needed, and notifying the federal agency if ACHP will
206 participate in consultation for a Memorandum of Agreement (MOA) prepared for the Tier 2
207 undertaking.

208 C. SHPOs

209 1. For Tier 2 undertakings, each SHPO shall participate in the Section 106 consultation process
210 for projects located in the SHPO's State. In consultation with that SHPO, the federal agency

211 shall determine whether to invite the SHPO in adjacent State or States to participate in
 212 consultation for the Tier 2 undertaking.

213 2. For any Tier 2 undertakings in which the SHPO is participating in Section 106 consultation,
 214 the SHPO shall be responsible for reviewing project documentation in a timely manner
 215 (generally, within 30 days after receipt), unless another time period has been agreed upon
 216 and participating in consultation as set forth in this PA, including State-specific appendices.

217 **III. PROFESSIONAL STANDARDS**

218 **A. Qualifications**

219 1. All actions prescribed by this PA that involve the identification, evaluation, analysis,
 220 recording, treatment, or monitoring, or disposition of historic properties, or that involve
 221 reporting or documentation of such actions in the form of reports, forms, or other records,
 222 shall be carried out by or under the direct supervision of a person or persons who meet, at a
 223 minimum, the Secretary of the Interior’s Historic Preservation Professional Qualification
 224 Standards, for the applicable discipline (e.g., archaeology, architectural history, historic
 225 architecture) consistent with the proposal at 62 Fed. Reg. 33708 – 33723 (June 20, 1997).

226 2. The qualification standards described in III.A.1 do not apply to individuals recognized by
 227 relevant Indian Tribes to have expertise in identification, evaluation, assessment of effect,
 228 and treatment of effects to historic properties of religious and cultural significance to their
 229 Tribes. The signatories to this PA acknowledge that Tribal representatives possess special
 230 expertise in assessing the eligibility of historic properties that may possess religious and
 231 cultural significance to their Tribe.

232 **B. Standards & Guidelines**

233 All evaluation methods and criteria shall be consistent with the Secretary of the Interior’s
 234 Standards and Guidelines for Evaluation found at
 235 www.nps.gov/history/local-law/arch_stnds_3.htm, with the ACHP’s Section 106 Archaeology
 236 Guidance found at www.achp.gov/archguide, and with any additional requirements specified in
 237 a State-specific appendix to this PA, as applicable.

238 **IV. GENERAL PROVISIONS**

239 **A. Applicability of Section 106 Regulations**

240 The Section 106 consultation for the Tier 2 undertakings shall follow the procedures set forth in
 241 36 C.F.R. Part 800, except as specifically provided in this PA.

242 B. Applicability of Section 106 Exemption for Railroad Rights-of-Way

243 As part of Section 106 consultation for a Tier 2 undertaking, the federal agency shall take into
244 account, where applicable, any exemption for railroad rights-of-way that has been issued by
245 ACHP pursuant to Section 11504 of the FAST Act.

246 C. Definitions

247 The terms used in this PA shall have the meanings given in Appendix A.

248 D. Government-to-Government Consultation with Indian Tribes

249 For each Tier 2 undertaking, the federal agency shall consult on a government-to-government
250 basis, in accordance with 36 C.F.R. § 800.2(c)(2), with any federally recognized Indian Tribes that
251 attach religious and cultural significance to historic properties that may be affected by the
252 undertaking. The federal agency shall comply with any additional requirements specified in a
253 State-specific appendix to this PA with regard to consultation with Indian Tribes.

254 E. State-Specific Appendices

255 This PA includes State-specific appendices, which include additional requirements that apply to
256 NEC Tier 2 Projects within an individual State. State-specific appendices (and any amendments
257 thereto) require signature by the FRA, ACHP, and the SHPO from the applicable State, and by
258 FTA.

259 F. Applicability of Program Alternatives

260 This PA does not preclude the application in Tier 2 studies of any program alternatives that may
261 come into effect during the term of this PA pursuant to 36 C.F.R. § 800.14, including but not
262 limited to programmatic agreements or program comments that apply to Section 106
263 consultation for railroad infrastructure projects.

264 V. INITIATION OF CONSULTATION PROCESS

265 A. Invitation of Consulting Parties

266 1. For each Tier 2 undertaking, the federal agency shall invite consulting parties (including the
267 applicable SHPO(s), applicable Indian Tribe(s) and THPO(s), and local governments, as well
268 as other organizations and individuals as appropriate) to participate in consultation for that
269 undertaking, in accordance with 36 C.F.R. § 800.3 and this PA, including any additional
270 requirements specified in a State-specific appendix to this PA.

271 2. The invitation to participate in Section 106 consultation shall specifically identify the
272 undertaking as an NEC Tier 2 Project, for which consultation will be carried out in
273 accordance with this PA. The invitation also shall include the federal agency's proposed
274 schedule for completing the environmental review process, including dates for key
275 milestones such as publication of NEPA documents for public comment.

- 276 3. The federal agency shall also invite the applicant for federal funding or approval (and, if
 277 different, the operator(s) of intercity passenger rail or commuter rail service on the railroad
 278 on which the improvement is proposed to be made) to participate as a consulting party for
 279 any Tier 2 undertaking.

280 VI. IDENTIFICATION AND EVALUATION OF HISTORIC PROPERTIES

281 A. Process for Identification and Evaluation of Historic Properties in Tier 2

- 282 1. As part of Section 106 consultation for each Tier 2 undertaking, the federal agency shall
 283 define an APE specifically for that undertaking (a “project-specific APE”).
- 284 2. The federal agency shall complete determinations of eligibility for historic properties within
 285 the project-specific APE as part of the Section 106 process for each Tier 2 undertaking, in
 286 accordance with 36 C.F.R. § 800.4. The passage of time, changing perceptions of
 287 significance, or incomplete prior evaluations may require the federal agency official (during
 288 Tier 2) to reevaluate properties previously determined eligible or ineligible.
- 289 3. Where appropriate, the identification and evaluation of historic properties may be phased
 290 for an individual Tier 2 undertaking.

291 B. Additional Provisions

292 The following special provisions shall apply to the identification and evaluation of historic properties
 293 during the Tier 2 studies, as provided below.

294 1. Requests for Determination of Eligibility

295 During Section 106 consultation for a Tier 2 undertaking, the federal agency shall forward a
 296 request for a Determination of Eligibility to the Keeper of the National Register (“Keeper”),
 297 in accordance with 36 C.F.R. § 800.4(c)(2), if requested by the ACHP or the Secretary of the
 298 Interior, or if:

- 299 a. The applicable SHPO(s), applicable Indian Tribe(s) and THPO(s), a federal agency with
 300 jurisdiction over the property, or an Indian Tribe that ascribes traditional religious and
 301 cultural significance to the property, objects to a finding of eligibility or ineligibility by
 302 the federal agency; and
- 303 b. The federal agency determines that it is not able to resolve that objection through
 304 consultation with the applicable SHPO(s), applicable Indian Tribe(s) and THPO(s), the
 305 objecting party, and other consulting parties as appropriate.

306 2. Tribal Involvement in Archaeological Investigations in Tier 2

307 Except as otherwise provided in a State-specific appendix to this PA, the federal agency shall
 308 ensure that Tribes participating in Section 106 consultation for a Tier 2 undertaking have the
 309 opportunity to:

- 310 a. Be notified of the schedule for any archaeological fieldwork that involves resources of
311 religious and cultural significance to the Tribe;
- 312 b. Review the study protocol for any archaeological fieldwork that involve resources of
313 religious and cultural significance to the Tribe, prior to the commencement of that
314 fieldwork;
- 315 c. Make arrangements for a Tribal monitor to attend any archaeological fieldwork that
316 involve resources of religious and cultural significance to the Tribe;
- 317 d. Retain any artifacts that are of religious and cultural significance to the Tribe, in
318 accordance with applicable federal and State laws; and
- 319 e. Be notified immediately of the discovery of any burial sites of Native American origin
320 encountered during construction on lands associated with the Tribe. (See also,
321 Stipulation X, Human Remains).

322 VII. ASSESSMENT OF EFFECTS

323 A. Process for Assessment of Effects in Tier 2

- 324 1. The federal agency shall apply the criteria of adverse effect (under 36 C.F.R. § 800.5) to each
325 NRHP-eligible or NRHP-listed property as part of the Tier 2 process for each Tier 2
326 undertaking.
- 327 2. Where appropriate, the application of the criteria of adverse effects to historic properties
328 may be phased for an individual Tier 2 undertaking.

329 B. Additional Provisions

330 1. Conditional Findings of No Adverse Effect

331 In accordance with 36 C.F.R. § 800.5(b) and (d)(1), the federal agency may determine that
332 there is no adverse effect on a historic property when the federal agency agrees to
333 conditions required by the applicable SHPO(s) and/or applicable THPO(s) as the basis for its
334 concurrence in a finding of no adverse effects.

335 2. Cumulative Effects

336 In accordance with 36 C.F.R. § 800.5(a)(1), the application of the criteria of adverse effect
337 shall take into account cumulative effects. The cumulative effects analysis for an NEC Tier 2
338 Project will include, but is not necessarily limited to, the effects of other actions carried out
339 as part of the NEC FUTURE Investment Program.

340 VIII. RESOLUTION OF ADVERSE EFFECTS ON HISTORIC PROPERTIES

341 A. Process for Resolution of Adverse Effects in Tier 2

- 342 1. The resolution of adverse effects will occur through Section 106 consultation for individual
 343 Tier 2 undertakings.
- 344 2. The federal agency shall be responsible for determining, in consultation with the applicable
 345 SHPO(s), applicable Indian Tribe(s) and THPO(s), and other consulting parties, how to
 346 resolve any adverse effects for an NEC Tier 2 Project.
- 347 B. Additional Provisions
- 348 1. Use of Standard Treatment Measures to Resolve Adverse Effects
- 349 a. The federal agency may resolve adverse effects on historic properties in Tier 2 by
 350 adopting Standard Treatment Measures listed in Appendix C or listed in a State-specific
 351 appendix.
- 352 b. If the federal agency proposes to use Standard Treatment Measures to resolve adverse
 353 effects of a Tier 2 undertaking on a historic property, it shall provide notice to the
 354 applicable SHPO(s), applicable Indian Tribe(s) and THPO(s), and other consulting parties
 355 involved in the Section 106 process for that Tier 2 undertaking.
- 356 c. If a SHPO or other consulting party objects to the use of a Standard Treatment Measure
 357 within 30 days after receiving such notice, the federal agency shall consult with the
 358 consulting party regarding the objection.
- 359 d. If consensus cannot be reached within 30 days, the dispute resolution procedures in
 360 Stipulation XVI.B may be invoked by any signatory that is a party to the dispute. The
 361 federal agency shall be responsible for resolving any objections to the use of Standard
 362 Treatment Measures through the dispute resolution process.
- 363 2. Other Methods for Resolving Adverse Effects
- 364 a. If adverse effects on one or more historic properties are not resolved by adoption of
 365 standard treatments, the federal agency shall consult to resolve adverse effects on
 366 those historic properties in accordance with 36 C.F.R. § 800.6.
- 367 b. A template MOA for Tier 2 undertakings is provided in Appendix D. This template may
 368 be modified as appropriate by agreement among the signatories to the MOA for a Tier 2
 369 undertaking, or a PA may be used to resolve the adverse effects of the Tier 2
 370 undertaking.
- 371 c. The federal agency shall consider the views of the public, pursuant to 36 C.F.R. §
 372 800.6(a)(4), when developing an MOA or PA for a Tier 2 undertaking. Considering the
 373 views of the public shall include consideration of any comments submitted on Tier 2
 374 NEPA documents, in addition to any comments submitted specifically as part of the
 375 Section 106 process for the Tier 2 undertaking.

- 376 d. Execution of an MOA or PA for a Tier 2 undertaking and filing of the MOA or PA with the
377 ACHP, will be considered evidence of compliance with Section 106 for that Tier 2
378 undertaking.
- 379 e. Nothing in this PA shall be construed to preclude any procedural option that is available
380 under the Section 106 regulations, including the option of termination under 36 C.F.R. §
381 800.7 for Tier 2 undertakings.
- 382 3. Resolution of Effects on National Historic Landmarks
- 383 a. If a National Historic Landmark may be affected, the federal agency shall comply with
384 applicable requirements in Section 110(f) of the National Historic Preservation Act and
385 36 C.F.R. § 800.10, including but not limited to: notifying the Secretary of the Interior
386 represented by the National Park Service (NPS) regional office's program coordinator,
387 involving NPS in the consultation process, and notifying the ACHP and inviting them to
388 consult on the Tier 2 undertaking, and planning to avoid adverse effects.

389 IX. CONFIDENTIALITY

390 All parties to this PA shall ensure that shared data, including data concerning the precise location
391 and nature of historic properties and properties of religious and cultural significance, shall be
392 protected from public disclosure to the greatest extent permitted by law, including conformance to
393 Section 304 of the NHPA, as amended, Section 9 of the Archaeological Resource Protection Act, and
394 Executive Order on Indian Sacred Sites, No. 13007, 61 Fed. Reg. 26771 (May 29, 1996).
395 State-specific data sharing agreements are referenced and summarized in the State-specific
396 appendices.

397 X. HUMAN REMAINS

398 Except as otherwise provided in State-specific appendices to this PA, or in an MOA or PA for a Tier 2
399 undertaking, the following stipulations apply to the discovery of human remains.

400 A. Notification and Treatment

- 401 1. If human remains are discovered during construction activities, all construction shall cease
402 within 100 feet in all directions of the human remains and the federal agency (or its
403 designee, such as the project sponsor) shall immediately notify the appropriate parties in
404 accordance with the applicable State laws. Human remains and grave goods shall be treated
405 in accordance with applicable State laws.
- 406 2. The federal agency shall be responsible for curation of all records and other items resulting
407 from identification and data-recovery efforts on federal lands within the agency's
408 jurisdiction.
- 409 3. The federal agency shall be responsible for ensuring that the disposition of any human
410 remains and associated funerary objects of Native American origin encountered on federal

411 land during any action subject to this PA complies with § 3(c)-(d) of the National American
 412 Graves Protection and Repatriation Act (NAGPRA), and its implementing regulations codified
 413 at 43 C.F.R. § 10.

414 B. Final Disposition of Human Remains

415 All human remains shall be treated in a manner consistent with the ACHP "Policy Statement
 416 regarding Treatment of Human Burial Sites, Human Remains and Funerary Objects" (February 23,
 417 2007; www.achp.gov/docs/hrpolicy0207.pdf).

418 XI. CURATION

419 A. Collections from Federal Lands

420 The federal agency shall be responsible for curation of all records and other archaeological
 421 items resulting from identification and data-recovery efforts on federal lands and that curation
 422 is completed in accordance with 36 C.F.R. Part 79. This includes private lands that have been
 423 acquired by a federal agency for the purposes of implementing the NEC FUTURE Investment
 424 Program. If the items are determined to be of Native American origin and are located on federal
 425 land, the agencies shall follow NAGPRA regulations and procedures set forth in 43 C.F.R. Part 10.

426 B. Collections from Private Lands

427 In cases where archaeological survey, testing, and data recovery are conducted on private land,
 428 any recovered collections remain the property of the land owner. In such instances, the federal
 429 agency, in coordination with the applicable SHPO(s) and applicable Indian Tribe(s) and THPO(s),
 430 shall encourage the land owner to donate the collection to an appropriate public or Tribal
 431 entity. In cases where the land owner wishes to transfer ownership of the collection to a public
 432 or Tribal entity, the federal agency shall ensure that recovered artifacts and related
 433 documentation are curated in a suitable repository as agreed to by the federal agency,
 434 applicable SHPO(s), and applicable Indian Tribe(s) and THPO(s), and following applicable State
 435 or Tribal guidelines.

436 C. State Requirements

437 Refer to Appendices CT-RI for state-specific requirements.

438 XII. EMERGENCIES

439 Except as otherwise provided in State-specific appendices to this PA, or in an MOA or PA for a Tier 2
 440 undertaking, the following provisions shall apply during implementation of a Tier 2 undertaking:

441 A. Emergency actions are those actions deemed necessary by the federal agency as an immediate
 442 and direct response to an emergency situation, which is a disaster or emergency declared by
 443 the President, Tribal government, or the governor of the state, or other immediate threats to
 444 life or property. Emergency actions under this PA are only those implemented within thirty (30)

- 445 calendar days after the disaster or emergency has been formally declared by the appropriate
446 authority.
- 447 B. If the emergency action has the potential to affect historic properties, the federal agency shall
448 notify the applicable SHPO(s), applicable Indian Tribe(s) and THPO(s) and other parties as
449 appropriate (including any Indian Tribes with an interest in the potentially affected historic
450 properties) prior to undertaking the action, when feasible. As part of the notification, the
451 federal agency shall provide a plan to the applicable SHPO(s) and THPO(s) and other parties to
452 address the emergency.
- 453 1. The applicable SHPO(s) and THPO(s) and other parties shall have seven (7) calendar days to
454 review and comment on the plan to address the emergency.
- 455 2. If the applicable SHPO(s) and THPO(s) and other parties do not comment or object to the
456 plan within the review period, the federal agency may implement the proposed plan. If they
457 do comment, the federal agency shall take into account all timely comments received in
458 reviewing and implementing the proposed plan. If an objection is not resolved, the dispute
459 resolution procedures in Stipulation XVI.B may be invoked by any signatory that is a party to
460 the dispute.
- 461 C. If the federal agency is unable to consult with the applicable SHPO(s) and THPO(s) and other
462 parties prior to carrying out emergency actions, the federal agency shall notify the applicable
463 SHPO(s) and THPO(s) and other parties as appropriate within forty-eight (48) hours after the
464 initiation of the emergency action. This notification shall include a description of the emergency
465 action taken, the effects of the action(s) to historic properties, and, where appropriate, any
466 further proposed measures to avoid, minimize, or mitigate potential adverse effects to historic
467 properties. The applicable SHPO(s) and THPO(s) and other parties shall have seven (7) calendar
468 days to review and comment on the proposal where further action is required to address the
469 emergency. If the applicable SHPO(s) and THPO(s) and other parties do not object to the plan
470 within the review period, the federal agency shall implement the proposed plan.
- 471 D. Where possible, the federal agency shall ensure that such emergency actions are undertaken in
472 a manner that does not foreclose future preservation or restoration of historic properties. Such
473 emergency actions shall be undertaken in a manner consistent with the Secretary of the
474 Interior's Standards for the Treatment of Historic Properties.
475 (www.nps.gov/tps/standards.htm). In addition, where possible, the federal agency shall ensure
476 that such actions are done with on-site monitoring by the appropriate preservation professional
477 who meets, at a minimum, the Professional Qualifications Standards in his or her field of
478 expertise.
- 479 E. Where the applicable SHPO(s) and THPO(s) and/or any other party has reason to believe that a
480 historic property may be adversely affected by an emergency action, the party shall consult with
481 the federal agency to determine how to expedite review and comment on the action.
- 482 F. Immediate rescue and salvage operations conducted to preserve life or property are exempt
483 from these and all other provisions of this PA.

484 XIII. UNANTICIPATED DISCOVERIES

- 485 A. If a previously undiscovered historic property (including archaeological property) is encountered
- 486 during implementation of a Tier 2 undertaking, or an adverse effect that was not anticipated is
- 487 found to exist, the discovery shall be addressed in accordance with the applicable provisions in
- 488 the MOA or PA for the Tier 2 undertaking.
- 489 B. If there is no MOA or PA for the Tier 2 undertaking, or if that MOA or PA does not address
- 490 unanticipated discoveries, the federal agency shall comply with 36 C.F.R. § 800.13(b).

491 XIV. DOCUMENTATION STANDARDS

492 All documentation that supports the findings and determinations developed under this PA shall be
 493 in accordance with 36 C.F.R. § 800.11.

494 XV. AUTHORITIES

495 Compliance with the provisions of this PA does not relieve the FRA or other federal agencies of their
 496 responsibilities to comply with other legal requirements, including those imposed by the NAGPRA
 497 (25 U.S.C. § 3001 and 43 C.F.R. § 10), the ARPA (16 U.S.C. § 470 aa-47011), NEPA, applicable
 498 Executive Orders, and applicable State laws.

499 XVI. ADMINISTRATIVE STIPULATIONS

500 A. Review Process

- 501 1. FRA shall conduct a review process for this PA every 2 years after the execution of this PA.
- 502 The FRA shall ensure that the review process is conducted under the supervision of FRA's
- 503 Federal Preservation Officer and includes (1) a status report prepared by FRA, summarizing
- 504 pending NEC Tier 2 Projects, including the status of Section 106 consultation for each of
- 505 those projects, and (2) an opportunity for signatories to submit comments regarding
- 506 experience to date with the PA, including any recommendations for improving the process.
- 507 2. Any amendments resulting from the review shall be executed in accordance with Stipulation
- 508 XVI.C.

509 B. Dispute Resolution

510 The following procedures shall be used to resolve disputes among signatories regarding Section
 511 106 consultation for Tier 2 undertakings under this PA:

- 512 1. If any signatory to this PA objects within 30 days to any action proposed, or any document
- 513 provided for review pursuant to this PA by providing written notice of the objection to the
- 514 federal agency, the federal agency shall consult with the objecting signatory to resolve the
- 515 objection.

- 516 2. If the federal agency determines that the objection cannot be resolved within 45 days, the
517 federal agency shall forward all documentation relevant to the dispute, including the federal
518 agency's proposed resolution, to the ACHP. The federal agency also shall provide a copy to
519 all signatories and consulting parties for the undertaking.
- 520 3. The ACHP shall provide the federal agency with its advice on the resolution of the objection
521 within 30 days of receiving all documentation relevant to the dispute from the federal
522 agency under paragraph 2 of this section.
- 523 4. The federal agency shall prepare a written response to the objection that takes into account
524 any timely advice or comments regarding the dispute from the signatories and consulting
525 parties, including Indian Tribes, and provide them with a copy of this written response,
526 which shall constitute the federal agency's decision regarding the objection. The federal
527 agency may then proceed according to its final decision.
- 528 5. If the ACHP does not provide its advice regarding the dispute within 30 days, the federal
529 agency may make a final decision on the dispute and proceed accordingly. The federal
530 agency shall document its final decision in a written response to the objection that takes
531 into account any timely comments regarding the dispute from the signatories and
532 consulting parties for the undertaking, and provide them and the ACHP with a copy of such
533 written response.

534 C. Amendment

- 535 1. The signatories anticipate that amendments to this PA are likely to be needed from time to
536 time over the 20-year duration of this PA. Any signatory to this PA may make a request to
537 the FRA and the other signatories to amend it, whereupon the signatories shall consult to
538 consider the amendment(s). Concurring Parties may suggest proposed amendments to the
539 signatories, who shall consult to consider them. This PA may be amended when such an
540 amendment is agreed to in writing by all signatories. The amendment shall be effective
541 upon execution by all of the signatories and a copy of the fully executed amendment shall
542 be filed with the ACHP.
- 543 2. A State-specific appendix can be amended at any time through agreement between the
544 FRA, ACHP, and the applicable SHPO, after consultation with any applicable Indian Tribe(s)
545 and THPO(s).

546 D. Termination

- 547 1. If any signatory to this PA determines that its terms will not or cannot be carried out, that
548 party shall immediately consult with the other parties to attempt to develop an amendment
549 under Stipulation XVI.C. If within thirty (30) days (or another time period agreed to by all
550 signatories) an amendment cannot be reached, any signatory may terminate the PA upon
551 written notification to the other signatories.

552 2. Once the PA is terminated, and prior to work continuing on the NEC Tier 2 Projects, FRA
 553 must either (a) execute a new PA pursuant to 36 C.F.R. § 800.14(b) or (b) comply with 36
 554 C.F.R. Part 800 for remaining NEC FUTURE undertakings. FRA shall notify the signatories
 555 which course of action it will pursue.

556 E. Withdrawal

557 1. An individual SHPO may withdraw from the PA upon written notice to all signatories after
 558 having consulted with them for at least 30 days to attempt to find a way to avoid the
 559 withdrawal.

560 2. Upon withdrawal, and prior to continuing work on the undertaking in the relevant State, the
 561 federal agency shall comply with Section 106 for any Tier 2 undertakings in accordance with
 562 36 C.F.R. §§ 800.3 through 800.7 or execute a new agreement in accordance with 36 C.F.R. §
 563 800.14(b) for that State.

564 3. This PA shall remain in effect with regard to any Tier 2 undertakings located in the
 565 jurisdiction of the SHPO(s) that have not withdrawn from the PA.

566 4. If all SHPOs withdraw from the PA, the PA shall be considered terminated; the termination
 567 shall become effective 30 days after the final SHPO withdraws from the PA.

568 F. Duration

569 1. This PA shall become effective upon execution by all signatories and shall remain in effect
 570 for a period of 20 years from the effective date.

571 2. The signatories may extend the duration of the PA prior to its expiration by executing an
 572 amendment in accordance with Stipulation XVI.C.

573 3. If the signatories do not amend the PA to extend its duration, the PA shall expire and FRA
 574 shall memorialize the expiration of the PA in a letter to the signatories and concurring
 575 parties. If FRA or another federal agency party to this PA chooses to continue with the
 576 undertaking following termination of the PA, it shall review the undertaking in accordance
 577 with 36 C.F.R. Part 800.

578 XVII. EXECUTION AND IMPLEMENTATION

579 Execution of this PA by the FRA, the Connecticut SHPO, the Delaware SHPO, the District of Columbia
 580 SHPO, the Maryland SHPO, the Massachusetts SHPO, the New Jersey SHPO, the New York SHPO, the
 581 Pennsylvania SHPO, the Rhode Island SHPO, and the ACHP, and implementation of its terms is
 582 evidence that FRA has taken into account the effects of the Tier 1 undertaking on historic properties
 583 and afforded the ACHP an opportunity to comment, in accordance with Section 106 and the Section
 584 106 regulations.

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PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL RAILROAD ADMINISTRATION,
THE FEDERAL TRANSIT ADMINISTRATION,
THE ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AND THE STATE HISTORIC PRESERVATION OFFICERS OF:
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RHODE ISLAND

REGARDING
COMPLIANCE WITH SECTION 106 OF THE NATIONAL HISTORIC PRESERVATION ACT
AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

606

SIGNATORY

607 **Federal Railroad Administration**

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By:  Date: 8/22/2016
Michael Johnsen
Acting Division Chief, Environmental and Corridor Planning Division
Office of Railroad Policy and Development

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RHODE ISLAND

REGARDING
COMPLIANCE WITH SECTION 106 OF THE NATIONAL HISTORIC PRESERVATION ACT
AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

633

SIGNATORY

634 **Advisory Council on Historic Preservation**

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By: John M. Fowler Date: 8/25/16
John M. Fowler Executive Director
Name (printed) Title (printed)

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PROGRAMMATIC AGREEMENT AMONG
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RHODE ISLAND
REGARDING
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AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

660

INVITED SIGNATORY

661

Federal Transit Administration

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664

By: Mary Beth Mello Date: 7/18/16
Mary Beth Mello
Region 1 Administrator*

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*On behalf of FTA Regions 1, 2 and 3

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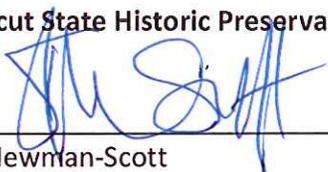
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RHODE ISLAND
REGARDING
COMPLIANCE WITH SECTION 106 OF THE NATIONAL HISTORIC PRESERVATION ACT
AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

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SIGNATORY

689 **Connecticut State Historic Preservation Officer**

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By:  Date: 7/20/16
Kristina Newman-Scott
State Historic Preservation Officer

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RHODE ISLAND

REGARDING
COMPLIANCE WITH SECTION 106 OF THE NATIONAL HISTORIC PRESERVATION ACT
AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

SIGNATORY

Delaware State Historic Preservation Officer

By:  _____ Date: 7.13.16
Timothy A. Slavin
Director, Division of Historical and Cultural Affairs
and Delaware State Historic Preservation Officer

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PROGRAMMATIC AGREEMENT AMONG
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RHODE ISLAND
REGARDING
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AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

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SIGNATORY

744 **District of Columbia State Historic Preservation Officer**

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By:  _____ Date: 7/19/2016
David Maloney
District of Columbia State Historic Preservation Officer

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RHODE ISLAND

REGARDING
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AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

770

SIGNATORY

771 **Maryland State Historic Preservation Officer**

772 By: Elizabeth Hughes Date: 7.12.16
773 Elizabeth Hughes

774 Director / Maryland State Historic Preservation Officer
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PROGRAMMATIC AGREEMENT AMONG
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RHODE ISLAND

REGARDING
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AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

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SIGNATORY

798 **Massachusetts State Historic Preservation Office**

799 By: Brona Simon Date: 7/12/16
800 Brona Simon

801 _____, Massachusetts Historical Commission

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PROGRAMMATIC AGREEMENT AMONG
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RHODE ISLAND
REGARDING
COMPLIANCE WITH SECTION 106 OF THE NATIONAL HISTORIC PRESERVATION ACT
AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

SIGNATORY

New Jersey State Historic Preservation Officer

By: *Katherine J. Marcopul* Date: *7/20/2016*
Katherine J. Marcopul
Deputy State Historic Preservation Officer

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PROGRAMMATIC AGREEMENT AMONG
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RHODE ISLAND
REGARDING
COMPLIANCE WITH SECTION 106 OF THE NATIONAL HISTORIC PRESERVATION ACT
AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

851

SIGNATORY

852 **New York State Historic Preservation Officer**

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By: Ruth L. Pierpont Date: 7/14/16
Ruth L. Pierpont
Deputy Commissioner for Historic Preservation/Deputy NYS SHPO

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PROGRAMMATIC AGREEMENT AMONG

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RHODE ISLAND

REGARDING
COMPLIANCE WITH SECTION 106 OF THE NATIONAL HISTORIC PRESERVATION ACT
AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

877

SIGNATORY

878 Pennsylvania State Historic Preservation Officer

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By: Andrea L. MacDonald Date: 7/13/2014
Andrea L. MacDonald
Deputy Pennsylvania State Historic Preservation Officer

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PROGRAMMATIC AGREEMENT AMONG
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RHODE ISLAND

REGARDING
COMPLIANCE WITH SECTION 106 OF THE NATIONAL HISTORIC PRESERVATION ACT
AS IT PERTAINS TO
THE NEC FUTURE INVESTMENT PROGRAM

SIGNATORY

Rhode Island State Historic Preservation Officer

By:  Date: 7/18/2016

Edward F. Sanderson
State Historic Preservation Officer

910 List of Appendices

- 911 Appendix A: Definitions
- 912 Appendix B: Map of Tier 1 EIS Alternatives
- 913 Maps of Preliminary Area of Potential Effects
- 914 Appendix C: Standard Treatment Measures
- 915 Appendix D: Tier 2 MOA Template
- 916 Appendix E: Tier 1 Consulting Parties List
- 917 Appendix F: Section 106 Consultation for Tier 2 Undertakings in Connecticut
- 918 Appendix G: Section 106 Consultation for Tier 2 Undertakings in District of Columbia
- 919 Appendix H: Section 106 Consultation for Tier 2 Undertakings in Delaware
- 920 Appendix I: Section 106 Consultation for Tier 2 Undertakings in Massachusetts
- 921 Appendix J: Section 106 Consultation for Tier 2 Undertakings in Maryland
- 922 Appendix K: Section 106 Consultation for Tier 2 Undertakings in New Jersey
- 923 Appendix L: Section 106 Consultation for Tier 2 Undertakings in New York
- 924 Appendix M: Section 106 Consultation for Tier 2 Undertakings in Pennsylvania
- 925 Appendix N: Section 106 Consultation for Tier 2 Undertakings in Rhode Island
- 926

1 APPENDIX A: Definitions

2 Terms defined in the Section 106 regulations (36 C.F.R. Part 800) shall have the meanings given in
 3 those regulations. The following additional terms shall have the meanings set forth below:

4 1. "Applicable SHPO(s)" means the State Historic Preservation Officer (SHPO) or SHPOs for the State or
 5 States in which a Tier 2 undertaking is located.

6 2. "Applicable Indian Tribe(s) and THPO(s)" means the any Indian Tribe or Tribes that attach religious
 7 and cultural significance to historic properties that may be affected by a Tier 2 undertaking and any
 8 Tribal Historic Preservation Officer (THPO) or THPOs with jurisdiction over the undertaking.

9 3. "Independent project on the NEC" means a future project that is located on the NEC but is
 10 developed through a NEPA process that is independent from the Tier 1 ROD - that is, the NEPA
 11 process for the project is *not* tiered to the Tier 1 ROD.

12 4. "NEC FUTURE Process" means the process through which FRA is developing the Tier 1 EIS for the
 13 NEC FUTURE Investment Program.

14 5. "NEC FUTURE Investment Program" means as a program of improvements to passenger rail service
 15 and infrastructure in the NEC through 2040 and beyond, which will be selected by FRA through the
 16 NEC FUTURE Process.

17 6. "NEC Tier 2 Project" means a future project that is located on the NEC and implements the NEC
 18 FUTURE Investment Program, and for which a Tier 2 NEPA document is prepared. This term does not
 19 include "independent projects on the NEC" as those terms are used in this PA.

20 7. "NEPA" means the National Environmental Policy Act, 42 U.S.C. 4321 *et seq.*

21 8. "Preliminary APE" means the area of potential effects for the alternatives in the Tier 1 EIS, as shown
 22 in Appendix B to this PA.

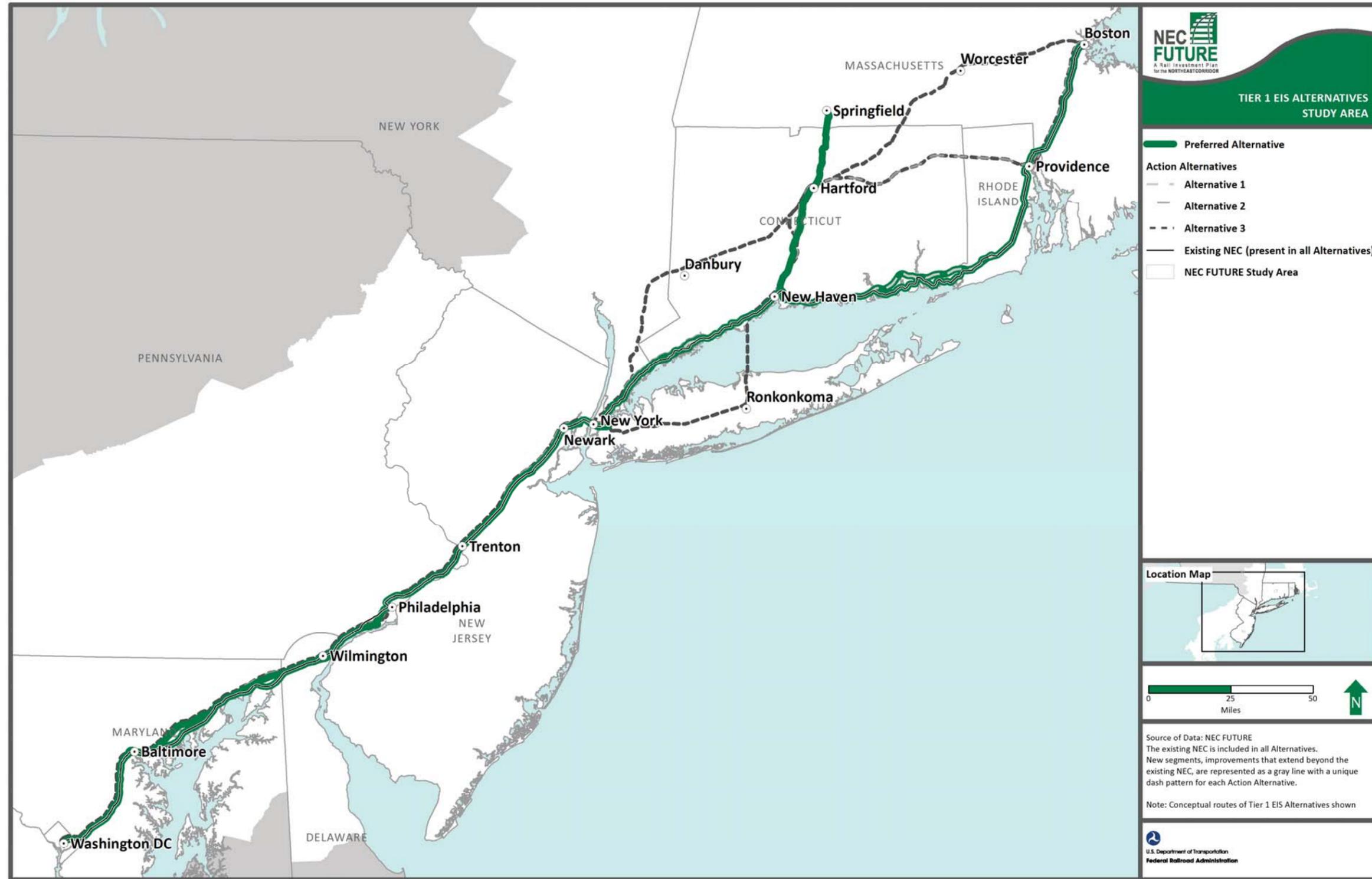
23 9. "Related project" means a project on the NEC that is being studied by FRA in a separate NEPA
 24 process concurrently with the NEC FUTURE Process - for example, the B&P Tunnel Project in
 25 Baltimore. For purposes of this PA, a related project may be treated as an NEC Tier 2 Project or an
 26 independent project on the NEC.

27 10. "Representative Route" is a term used in the Tier 1 EIS to refer the potential physical footprint of
 28 the Preferred Alternative and Action Alternatives. The Representative Route was used in the Tier 1
 29 EIS as the basis for estimating the potential impacts of the Preferred Alternative and Action
 30 Alternatives.

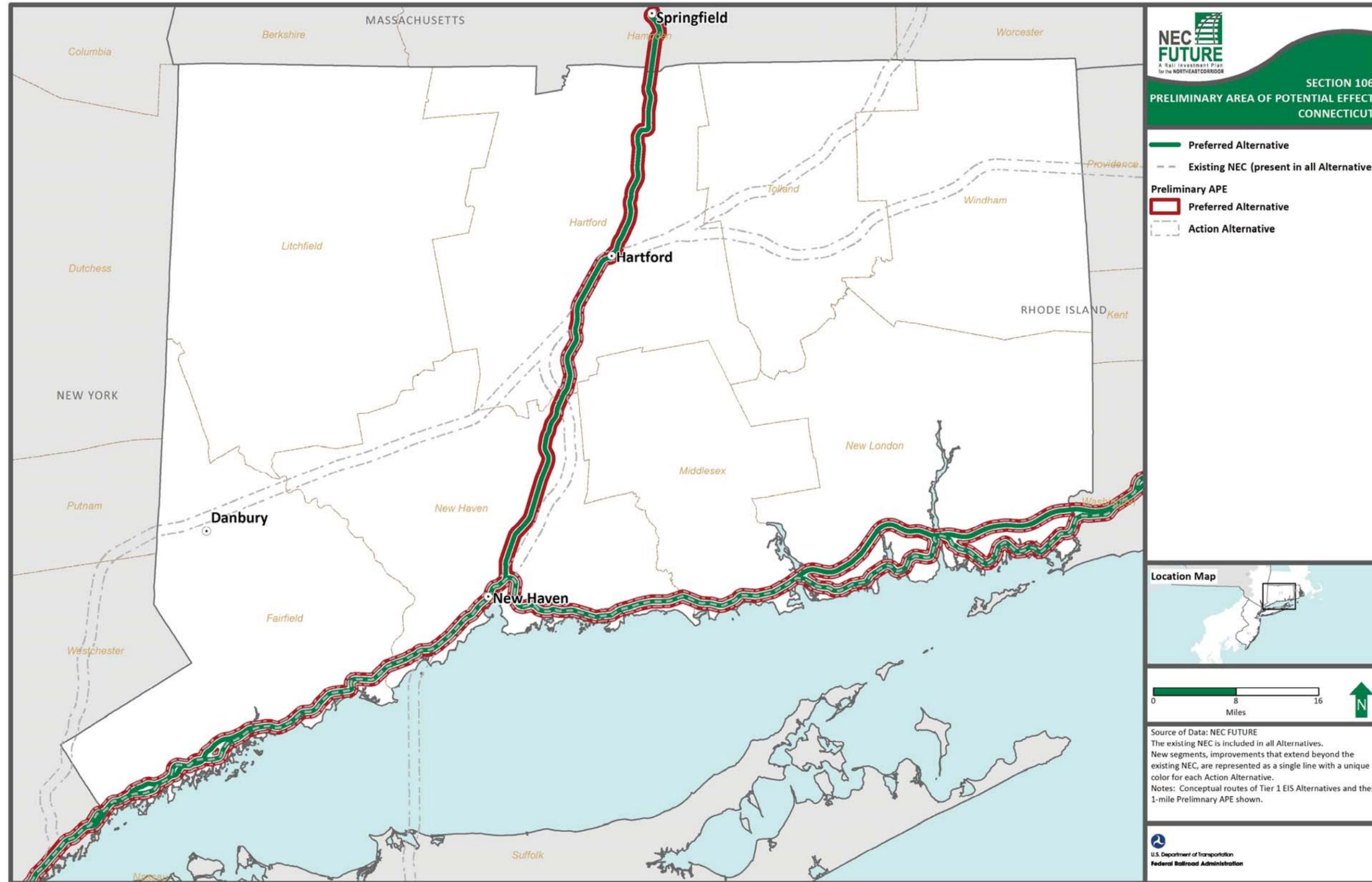
31 11. "State-Specific Appendix" refers to an appendix to this PA that includes requirements that apply
 32 only within a specific State. State-specific appendices (and any amendments thereto) require
 33 signature by the FRA, ACHP, and the SHPO from the applicable State, and by FTA.

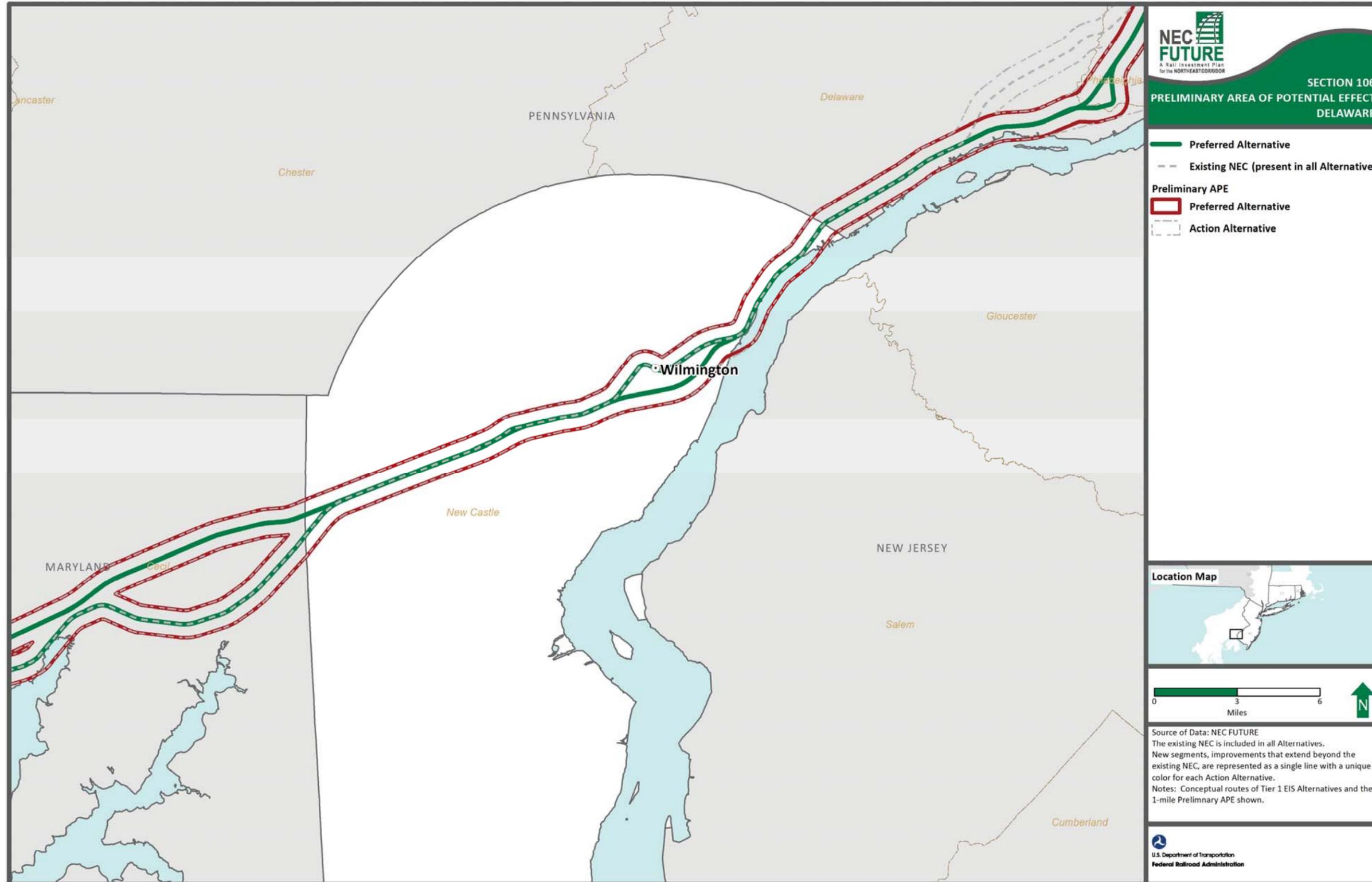
- 34 12. "Tier 1 process" refers to the process for preparing the Tier 1 EIS for the NEC FUTURE Investment
35 Program.
- 36 13. "Tier 1 ROD" means a Record of Decision issued at the conclusion of the Tier 1 process, approving
37 an NEC FUTURE Investment Program.
- 38 14. "Tier 1 undertaking" means the NEC FUTURE Investment Program.
- 39 15. "Tier 2 NEPA document" means an environmental document (categorical exclusion, environmental
40 assessment, or environmental impact statement) that is prepared under NEPA and that is explicitly
41 tiered to - that is, based on - the Tier 1 Record of Decision issued in the NEC FUTURE Process, in
42 accordance with the tiering regulations in 40 C.F.R. § 1502.20 (or successor regulations).
- 43 16. "Tier 2 process" refers to the process for preparing a Tier 2 NEPA document for an NEC Tier 2
44 Project.
- 45 17. "Tier 2 undertaking" means any NEC Tier 2 Project; this term does not include "independent
46 projects on the NEC" as that term is used in this PA.
- 47 18. "Tribal lands" means, as defined in Section 301(14) of the NHPA, (1) all lands within the exterior
48 boundaries of any Indian reservation; and, (2) all dependent Indian communities.

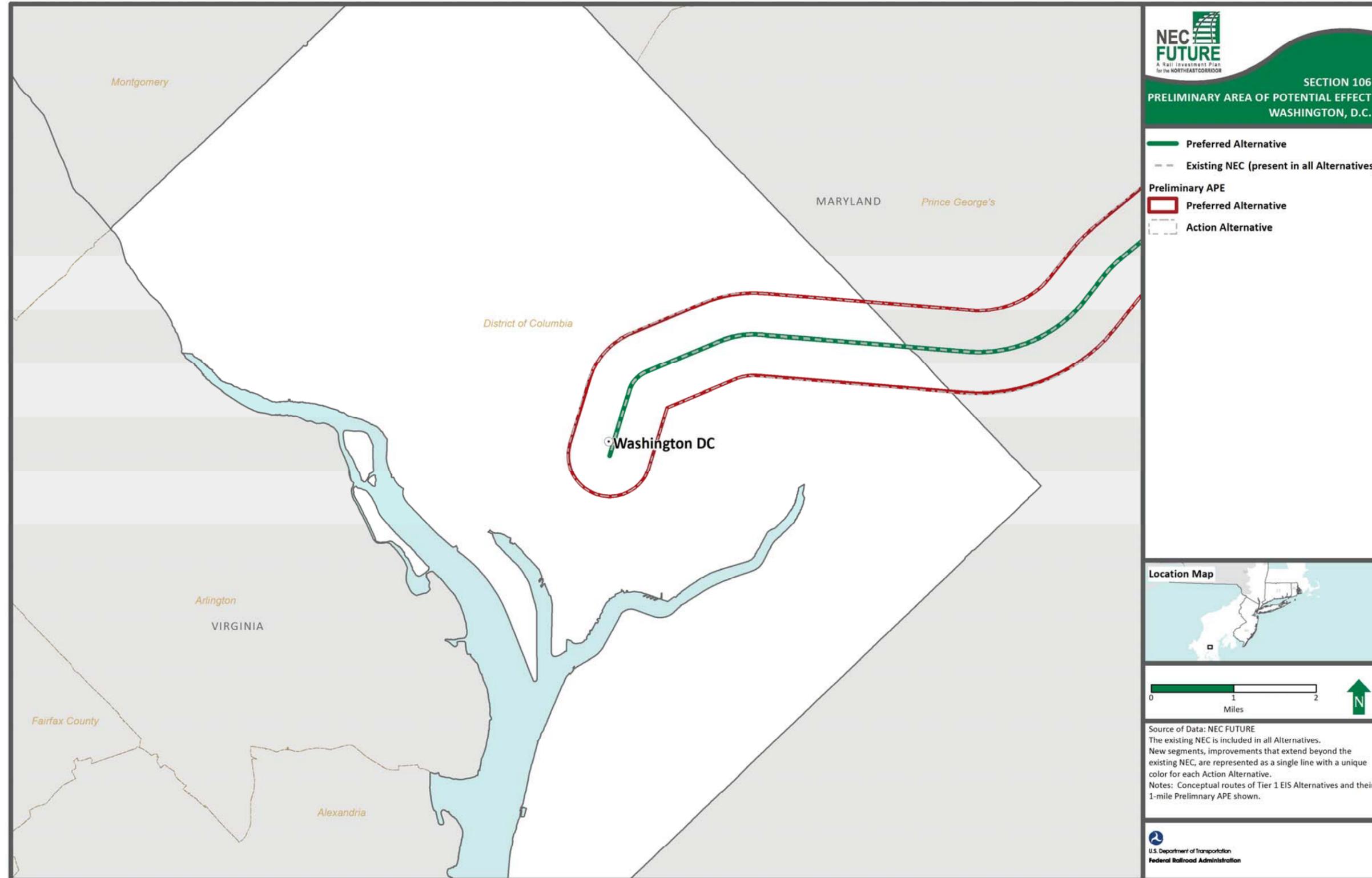
APPENDIX B: NEC FUTURE Tier 1 EIS Alternatives Map

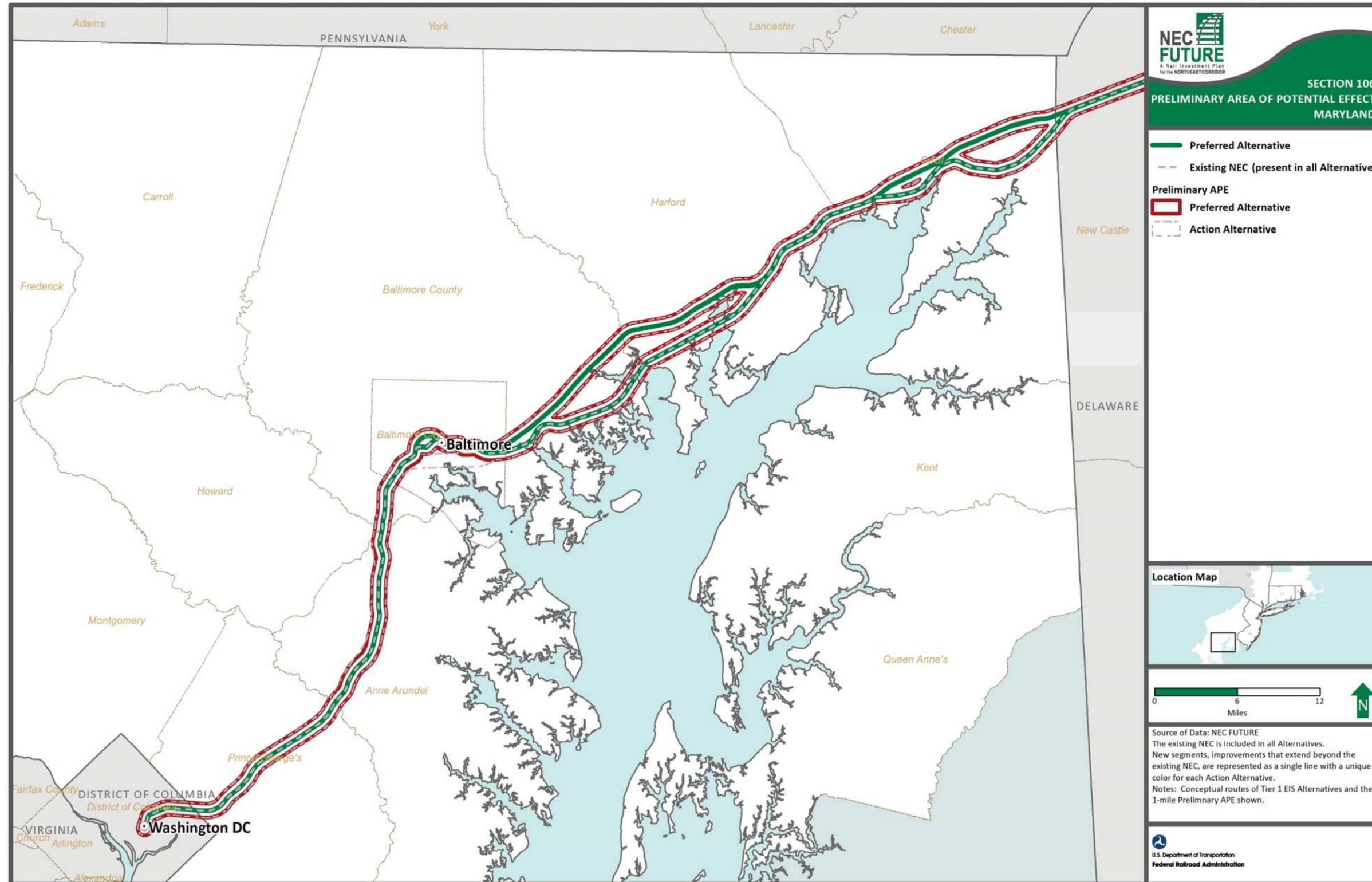


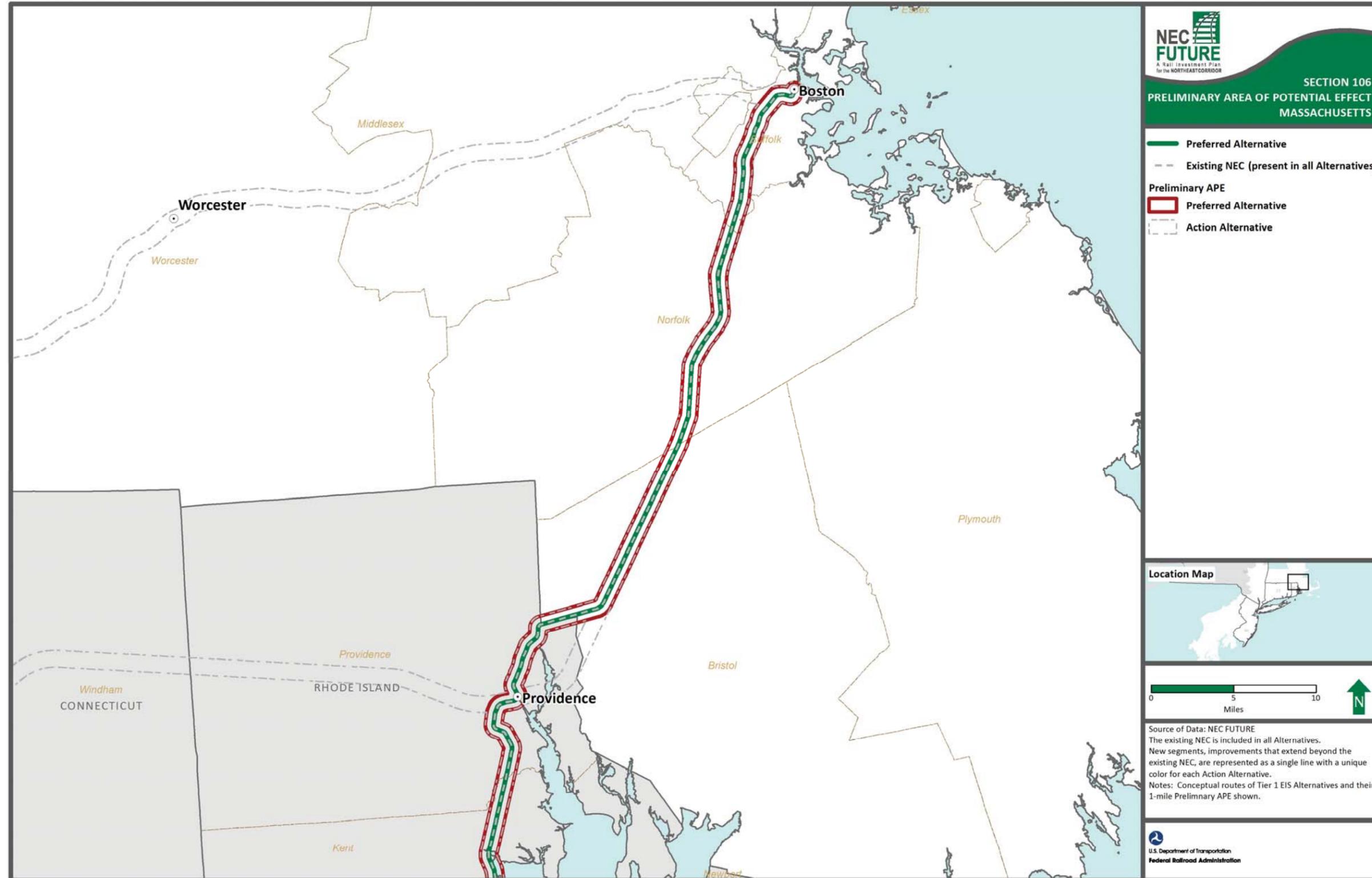
APPENDIX B: Preliminary Area of Potential Effects

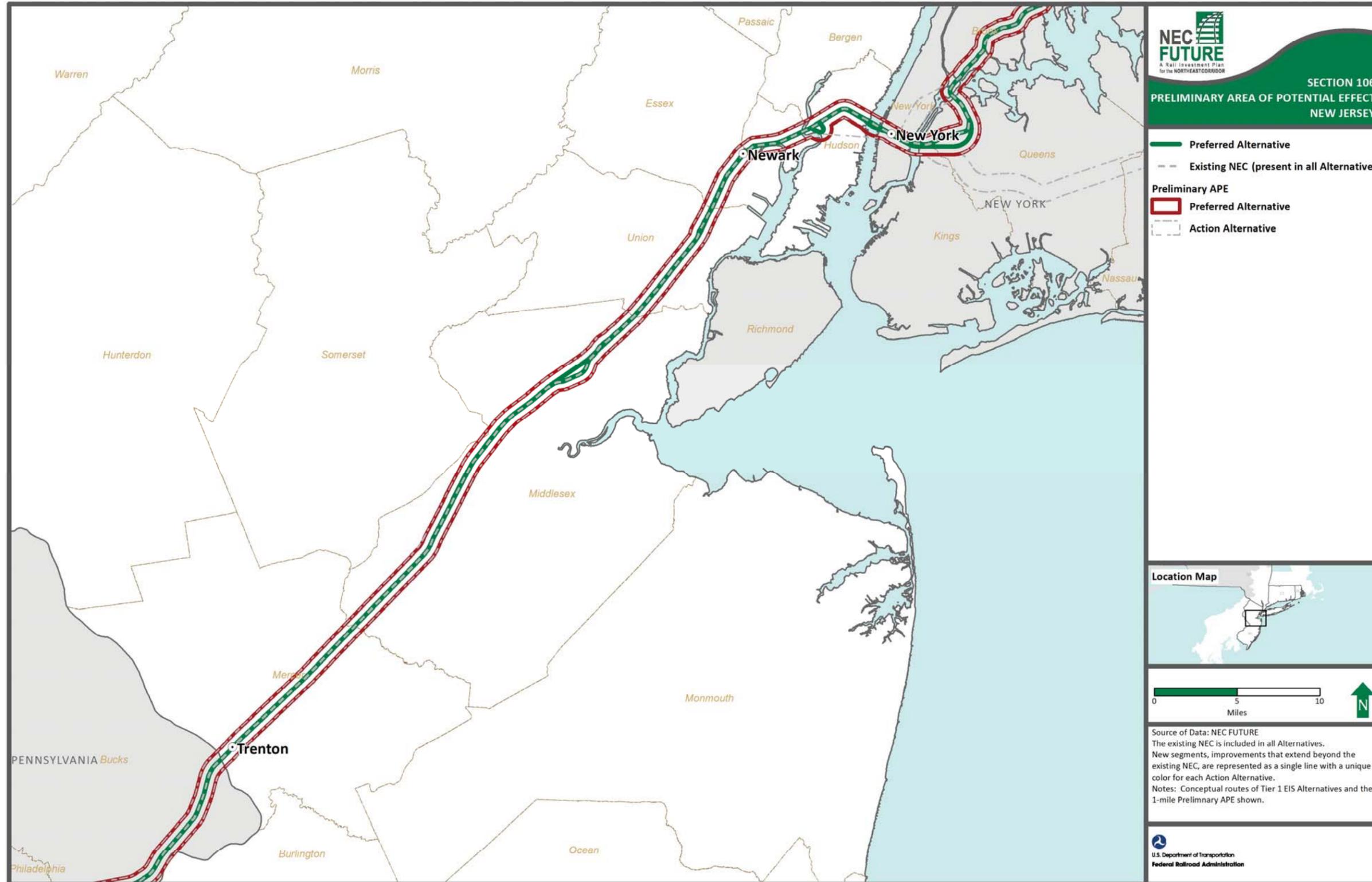




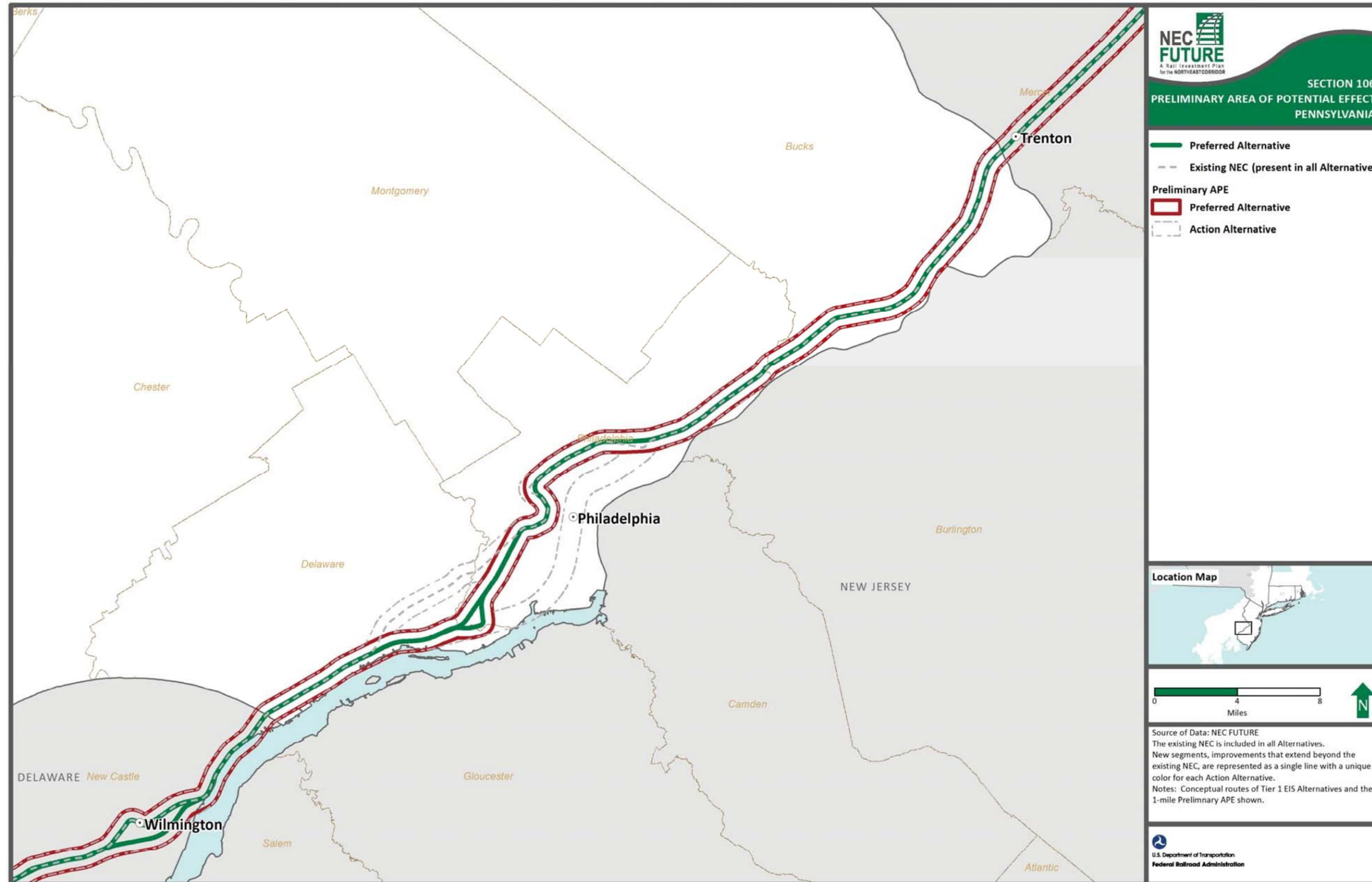


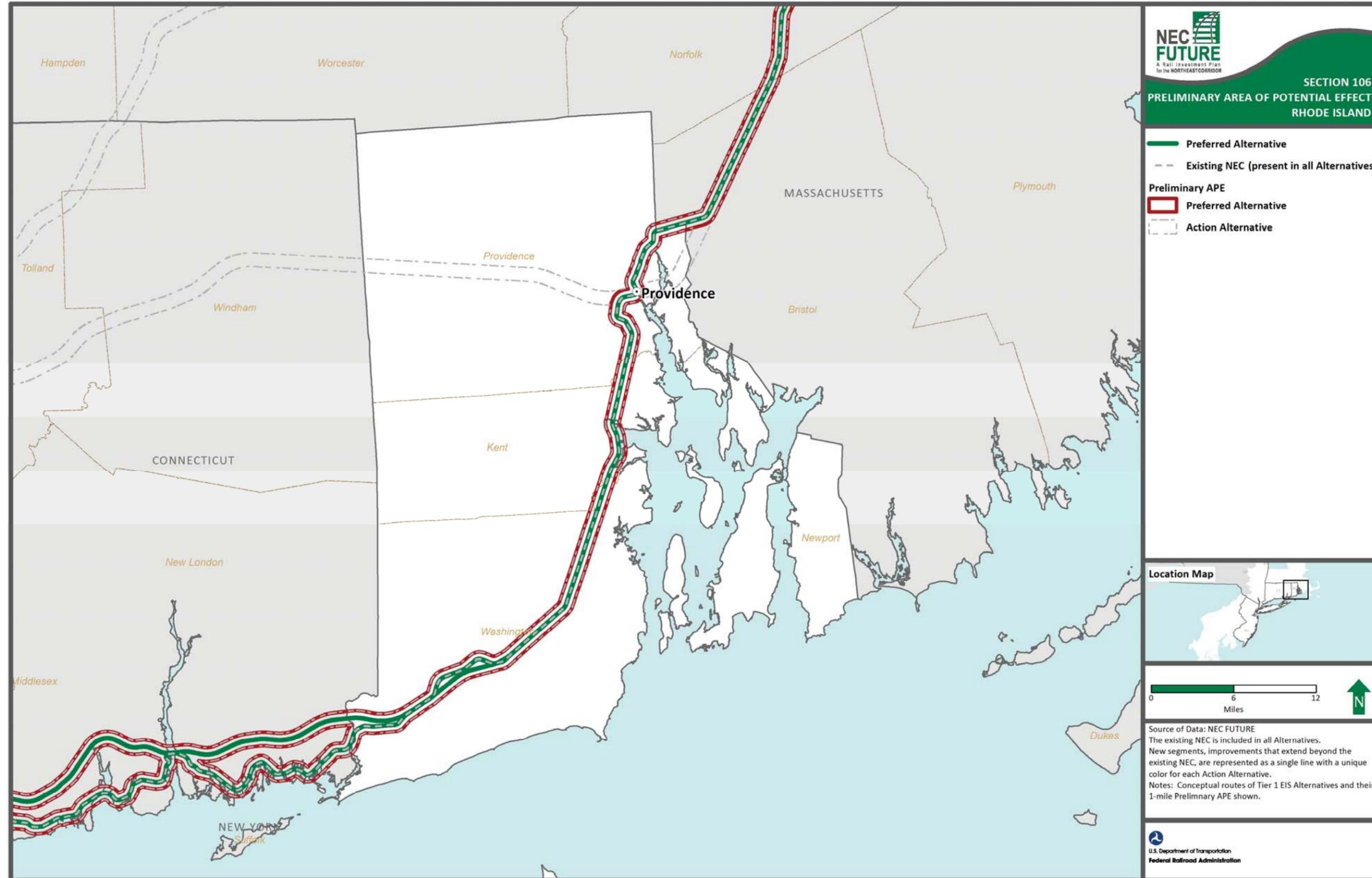












1 APPENDIX C: Standard Treatment Measures

2 In accordance with Stipulation VIII.B of this PA, the federal agency may use one or more of the
 3 Standard Treatment Measures below to resolve the adverse effect on historic properties and, if
 4 applicable, may also use additional Standard Treatment Measures in a State-Specific Appendix to
 5 resolve adverse effects for projects located in the applicable State.

6 A. Standard Treatment #1: Existing NEC Buildings and Structures

7 1. This standard treatment may be used for adverse effects to existing NEC railway, buildings,
 8 and structures (e.g., bridges and tunnels) that have been listed in or determined eligible for
 9 listing in the NRHP (hereafter called "existing NEC historic properties").

10 2. The federal agency shall make a reasonable and good faith effort in consultation with the
 11 applicable SHPO(s), applicable Indian tribe(s) and THPO(s), and other consulting parties to
 12 construct improvements to existing NEC historic properties in accordance with the Secretary
 13 of the Interior's Standards (36 C.F.R. Part 68). This includes but is not limited to
 14 rehabilitation of existing buildings and structures and adjacent new constructions, such as
 15 fencing.

16 3. If the federal agency determines in consultation with the applicable SHPO(s), applicable
 17 Indian tribe(s) and THPO(s), and other consulting parties that demolition is required, the
 18 federal agency shall then consult with those same parties and the National Park Service
 19 (NPS) (if applicable) regarding:

20 a. Whether the affected NEC historic property should be recorded prior to its demolition;

21 b. What type of recordation will be performed, specifically including consideration of the
 22 Historic American Building Survey (HABS) standards for buildings, and the Historic
 23 American Engineering Record (HAER) standards for structures;

24 c. Which agency or agencies shall be responsible for approving the adequacy of the
 25 documentation prior to filing;

26 d. Where the original recordation documents will be deposited, specifically including
 27 consideration of the NPS as a repository for HABS/HAER documentation; and

28 e. Which additional repositories, if any, will receive copies of the original recordation
 29 documents;

30 4. If the federal agency elects to proceed with this standard treatment, the federal agency
 31 shall prepare a written recordation plan addressing each of the issues listed in paragraph (3)
 32 and shall submit the plan to the applicable SHPO(s), applicable Indian Tribe(s) and THPO(s),
 33 and other consulting parties for review. If the applicable SHPO(s) and applicable Indian
 34 Tribe(s) and THPO(s) concur in the recordation plan, the federal agency shall then carry out
 35 recordation in accordance with the plan.

- 36 B. Standard Treatment # 2: Buildings and Structures in Close Proximity to the Existing NEC
- 37 1. Many buildings and structures listed in or eligible for the NRHP have long been in close
38 proximity to existing NEC facilities and operations, but may be affected by NEC FUTURE
39 related improvements. The federal agency shall consult with applicable SHPO(s), applicable
40 Indian Tribe(s) and THPO(s), other consulting parties, and appropriate corridor stakeholders
41 to implement the NEC improvements in a contextually sensitive design, taking into account
42 the character defining features of the nearby historic buildings and structures that would be
43 affected.
- 44 2. If a building or structure that is a historic property must be physically altered by NEC
45 FUTURE related improvements, the federal agency shall make a reasonable and good faith
46 effort in consultation with the applicable SHPO(s), applicable Indian Tribe(s) and THPO(s),
47 and other consulting parties to rehabilitate it in accordance with the Secretary of the
48 Interior's Standards.
- 49 3. If the federal agency determines in consultation with the applicable SHPO(s), applicable
50 Indian Tribe(s) and THPO(s), and other consulting parties that demolition is required, the
51 federal agency shall then consult with those same parties and the NPS (if applicable)
52 regarding:
- 53 a. Whether the affected NEC historic property should be recorded prior to its demolition;
- 54 b. What type of recordation will be performed, specifically including consideration of the
55 HABS standards for buildings, and the HAER standards for structures;
- 56 c. Which agency or agencies shall be responsible for approving the adequacy of the
57 documentation prior to filing;
- 58 d. Where the original recordation documents will be deposited, specifically including
59 consideration of the NPS as a repository for HABS/HAER documentation; and
- 60 e. Which additional repositories, if any, will receive copies of the original recordation
61 documents.
- 62 4. If the federal agency elects to proceed with this standard treatment, the federal agency
63 shall prepare a written recordation plan addressing each of the issues listed in paragraph (3)
64 and shall submit the plan to the applicable SHPO(s), applicable Indian Tribe(s) and THPO(s),
65 and other consulting parties for review. If the applicable SHPO(s) and applicable Indian
66 Tribe(s) and THPO(s) concur in the recordation plan, the federal agency shall then carry out
67 recordation in accordance with the plan.
- 68 C. Standard Treatment # 3: Relocation of Architectural Resources
- 69 The federal agency shall consider the relocation of architectural historic properties (buildings
70 and structures) as a mitigation treatment on a case-by-case basis when requested by the
71 applicable SHPO(s), applicable Indian Tribe(s) and THPO(s), or any consulting party. If relocation

72 is feasible and agreed upon by the applicable SHPO(s) and applicable Indian Tribe(s) and
 73 THPO(s) as the preferred treatment, the federal agency in consultation with those parties shall
 74 develop a marketing plan and proposal.

75 D. Standard Treatment # 4: Archaeological Data Recovery

76 The federal agency shall make a reasonable and good faith effort in consultation with the
 77 applicable SHPO(s), applicable Indian Tribe(s) and THPO(s), and other consulting parties to avoid
 78 and minimize effects to National Register listed or eligible archaeological sites.

79 1. If adverse effects cannot be avoided, the federal agency, in consultation with the applicable
 80 SHPO(s), applicable Indian Tribe(s) and THPO(s), and other consulting parties, shall consider
 81 data-recovery excavations as the standard treatment.

82 2. If the federal agency determines in consultation with the SHPO(s), applicable Indian Tribe(s)
 83 and THPO(s), and other consulting parties that data recovery is the appropriate treatment,
 84 the federal agency shall then consult with those same parties regarding:

85 a. The methods of data recovery that will be used;

86 b. Which SHPO(s), THPO(s) and/or Indian Tribe(s) will be responsible for approving the
 87 data-recovery plan (pursuant to paragraph 3);

88 c. The repository or repositories at which the recovered artifacts and associated records
 89 will be deposited; and

90 d. The applicable federal, state and Tribal requirements, standards and guidelines for
 91 conducting archaeological investigations and for curating any recovered artifacts and
 92 associated records.

93 3. If the federal agency elects to proceed with this standard treatment, the federal agency
 94 shall:

95 a. Prepare a written data-recovery plan, including a plan for curation, that incorporates
 96 the input from paragraph (2); and

97 b. Submit the plan to the applicable SHPO(s), applicable Indian Tribe(s) and THPO(s),
 98 and other consulting parties for review.

99 If the applicable SHPO(s) and applicable Indian Tribe(s) and THPO(s) concur in the
 100 data-recovery plan, the federal agency shall then carry out data recovery in accordance with
 101 the plan.

102 4. The federal agency shall ensure that data-recovery excavations are completed prior to
 103 construction.

104

1 APPENDIX D – MOA Template

2 MEMORANDUM OF AGREEMENT AMONG

3
4 THE FEDERAL RAILROAD ADMINISTRATION

5 *[or]*

6 THE FEDERAL TRANSIT ADMINISTRATION,

7 *[or]*

8 [NAME OF OTHER FEDERAL AGENCY],

9 [NAME OF PROJECT SPONSOR],

10
11 THE ADVISORY COUNCIL ON HISTORIC PRESERVATION *[if participating],*

12
13 THE STATE HISTORIC PRESERVATION OFFICER[S] OF:

14 *[affected states,]*

15
16 AND THE *[affected tribes or consulting parties, if any]*

17
18 REGARDING

19 COMPLIANCE WITH SECTION 106 OF THE NATIONAL HISTORIC PRESERVATION ACT
20 AS IT PERTAINS TO

21
22 THE *[name of the Tier 2 undertaking]*
23 [NEC FUTURE INVESTMENT PROGRAM]
24

25 WHEREAS, the *[name of the Tier 2 undertaking]* is a Tier 2 undertaking related to the Northeast
26 Corridor (NEC) FUTURE Program, a rail investment program for the rail spine which runs from
27 Washington, D.C., through New York, New York, to Boston, Massachusetts; and

28 WHEREAS, to comply with Section 106 of the National Historic Preservation Act, a Programmatic
29 Agreement (PA) was executed for the NEC FUTURE Investment Program on *[date of execution]*
30 among the Federal Railroad Administration (FRA), the Federal Transit Administration (FTA), the
31 Advisory Council on Historic Preservation (ACHP), and the State Historic Preservation Officers
32 (SHPO) of Connecticut, Delaware, District of Columbia, Maryland, Massachusetts, New Jersey, New
33 York, Pennsylvania, and Rhode Island; and

34 WHEREAS, the *[Agency responsible the Tier 2 undertaking]* has complied with the stipulations in the
35 PA related to the delineation of the Area of Potential Effects, consultation with signatories to the PA
36 and other consulting parties, identification of historic properties, and assessment of effects, and
37 through consultation has determined that the reference undertaking would result in an adverse
38 effect upon the following historic property(ies): *[list the historic properties with adverse effects that*
39 *cannot be resolved with standard treatments];* and

40 WHEREAS, the *[FTA, FRA or other federal agency]* has consulted with *[list the signatories to this*
41 *MOA]* on measures to avoid, minimize or mitigate the adverse effects on historic properties; and

42 NOW, THEREFORE, the signatories to this MOA agree that the *[name of the Tier 2 undertaking]* shall
43 be implemented in accordance with the following stipulations in order to resolve the adverse
44 effects on historic properties.

STIPULATIONS

45 *[Federal agency]* has a statutory obligation to fulfill the NHPA requirements of Section 106;
46 therefore, *[agency]* shall ensure that the measures in the following parts are carried out.

47 I. TREATMENT OF HISTORIC PROPERTIES

48 *(List agreed upon stipulations to resolve adverse effects on each historic property here.)*

49 II. RESOLVING OBJECTIONS

50 *(Provide provisions for resolving objections should they arise during the life of the document.*
51 *Distinguish FRA's/FTA's/other agency's and SHPO's roles in resolving objections and specific*
52 *timeframes for responses. Note that other aspects of the agreement not in dispute will remain in*
53 *effect.)*

54 III. AMENDMENTS

55 *(Provide provisions for amending the document, including consultation procedures with signatories*
56 *and consulting parties)*

57 IV. TERMINATION

58 *(Provide provisions for terminating the document, including notification procedures to signatories*
59 *and consulting parties.)*

60 V. DURATION OF THE MOA.

61 *(Provide a duration period for the document (e.g., two years) within which all the provisions of the*
62 *agreement will be completed. If the duration of the MOA is expected to be longer than five years, a*
63 *regular review process shall be included in the MOA in order for the signatories to evaluate its*
64 *effectiveness and seek amendment if necessary.)*

65 VI. EFFECTIVE DATE OF THIS MOA

66 This MOA shall take effect on the date that it is executed by the *[federal agency]* and the SHPO and
67 shall be filed with the ACHP.

68 EXECUTION of this MOA by the [federal agency], [project sponsor], the SHPO(s), and any other
69 signatories its transmittal to the ACHP in accordance with 36 C.F.R. § 800.6(b)(1)(iv), and
70 subsequent implementation of its terms, shall evidence, pursuant to 36 C.F.R. § 800.6(c), that the
71 [federal agency] has afforded the ACHP an opportunity to comment on the *[name of the Tier 2*
72 *undertaking]* and its effects on historic properties, and that the [federal agency] has taken into
73 account the effects of the *[name of the Tier 2 undertaking]* on historic properties.

74 SIGNATORY PARTIES:

75 [Federal Agency]

76 By: _____ Date: _____

77 [State] State Historic Preservation Officer

78 By: _____ Date: _____

79 [Project Sponsor]

80 By: _____ Date: _____

81 Advisory Council on Historic Preservation *[if participating]*

82 By: _____ Date: _____

83

APPENDIX E – Tier 1 Consulting Parties List

The table in this appendix includes a list of all entities that have been invited in Tier 1 to participate as consulting parties in Section 106 consultation for the NEC FUTURE program. The table indicates which entities have accepted the invitation to serve as consulting parties. The table also indicates which entities have accepted the invitation to be a Signatory or Concurring Party to the PA. Appendices F – N identify potential consulting parties to participate in Section 106 consultation for Tier 2 projects located in each state and the District of Columbia.

	List of Tribes or Agencies	Status of invitation acceptance
Tribes: Initiated Consultation June 2012 Invited to be a Consulting Party October 2014 Invited to be a Concurring Party to the PA 2014-2016	Mashantucket (Western) Pequot Tribal Nation	Concurring Party to the PA
	Mashpee Wampanoag Tribe	Consulting Party
	The Mohegan Tribe	Concurring Party to the PA
	Narragansett Indian Tribe of Rhode Island	Consulting Party
	Shinnecock Indian Nation	
	Stockbridge-Munsee Community Band of Mohicans	Concurring Party to the PA
	Wampanoag Tribe of Gay Head (Aquinnah) of Massachusetts	
	Delaware Tribe of Indians	Consulting Party
	Absentee-Shawnee Tribe of Oklahoma	
	Delaware Nation	
	Shawnee Tribe	
	Tribes: Initiated Consultation June 2012 Invited to be a Consulting Party October 2014	Cayuga Nation of New York
Eastern Shawnee Tribe of Oklahoma		
Oneida Nation of New York		
Oneida Tribe of Indians of Wisconsin		
Onodaga Nation of New York		
Seneca Nation of New York		
Seneca-Cayuga Tribe of Oklahoma		
Saint Regis Mohawk Tribe		
Towanda Band of Seneca Indians of New York		
Tuscarora Nation of New York		
SHPOs: Initiated Consultation June 2012 Invited to be a Consulting Party October 2014 Invited to be a Signatory to the PA December 2014	District of Columbia Historic Preservation Office	
	Maryland Historical Trust	Signatory to the PA
	Delaware Division of Historical & Cultural Affairs	Signatory to the PA
	Pennsylvania Historical and Museum Commission	Signatory to the PA
	New Jersey Department of Environmental Protection Historic Preservation	Signatory to the PA
	NYS Office of Parks, Recreation & Historic Preservation	Signatory to the PA
	Connecticut Department of Economic and Community Development - Historic Preservation and Museum Division	
	Rhode Island Historical Preservation and Heritage Commission	Signatory to the PA
Massachusetts Historical Commission	Signatory to the PA	

	List of Tribes or Agencies	Status of invitation acceptance
Other Corridor-Wide Agencies: Invited to be a Concurring Party to the PA March 2015	National Conference of State Historic Preservation Officers	Concurring Party
Other State and Local Agencies: Invited to be a Consulting Party October 2014 – May 2016	District Department of Transportation	Consulting Party
	District of Columbia Historic Preservation Review Board	
	Maryland Department of Transportation	
	Baltimore City Commission for Historical and Architectural Preservation	
	Delaware Department of Transportation (DELDOT)	Consulting Party
	New Castle County Historic Review Board	
	City of Wilmington Design Review and Preservation Commission	
	Pennsylvania Department of Transportation (PennDOT)	
	The Philadelphia Historical Commission	
	New Jersey Department of Transportation (NJDOT)	
	NJ TRANSIT	Consulting Party
	Newark (New Jersey) Landmarks & Historic Preservation Commission	
	City of New Brunswick Historical Association	Declined invitation
	New York City Landmarks Preservation Commission	Concurring Party to the PA
	NYS Department of Transportation	
	Connecticut Department of Transportation	Consulting Party
	The Connecticut Trust For Historic Preservation	Consulting Party
	Rhode Island Department of Transportation	Consulting Party
	Princeton Historic Preservation Commission	
	Providence Historic District Commission	
Massachusetts Department of Environmental Protection		
Massachusetts Department of Transportation		
Boston Landmarks Commission	Consulting Party	
Intercity, Commuter, and Freight Rail Operators Invited to be a Consulting Party August 2015	AMTRAK	Consulting Party
	MDOT – Maryland Transit Administration (for MARC service)	Consulting Party
	Southeastern Pennsylvania Transportation Authority	
	Metropolitan Transportation Authority	
	Long Island Rail Road	
	Metro-North Railroad	Consulting Party
	Massachusetts Bay Transportation Authority	
	CSX Transportation	Consulting Party
	Norfolk Southern Corporation	Declined invitation
Consolidated Rail Corporation	Declined invitation	
Providence & Worcester Railroad Company		

	List of Tribes or Agencies	Status of invitation acceptance
Nonprofit Organizations Invited to be a Consulting Party September 2015	National Trust for Historic Preservation (and state partners)	

Source: NEC FUTURE team, 2015

Note: Blank cells indicate that no formal response has been received.

Appendix F: Section 106 Consultation for Tier 2 Undertakings in Connecticut

1 The Federal agency shall comply with the stipulations in this appendix when carrying out Section 106
 2 consultation with the Connecticut State Historic Preservation Office (CTSHPO), for a Tier 2 undertaking
 3 in the State of Connecticut.

I. Project Initiation

4 The Federal agency shall initiate CTSHPO review by submitting a Project Review Form and requested
 5 attachments, including but not limited to a project description, project map, photographs, and a project
 6 contact. Submissions should be in hard copy.

Data Sources

7 The Federal agency shall use the following data sources, as applicable, as part of the Section 106
 8 consultation process for a Tier 2 undertaking, except as otherwise determined by the Federal agency in
 9 consultation with the CTSHPO:

Property Type	Source	Description
Historic Properties	Site files located at the CTSHPO in Hartford	National and State Register of Historic Places forms, as well as the Statewide Historic Resource Inventory (SHRI) are kept on file and must be requested in person.
Historic Properties	Historic properties surveys on file at the University of Connecticut Libraries Dodd Center in Storrs	Surveys are indexed by town. A list of all surveys is available on the Dodd Center website; actual surveys are in hard copy and must be requested in person.
Archaeological Resources	Relevant site files available at the Office of the State Archaeologist at the University of Connecticut in Storrs or CTSHPO in Hartford	Hard copy files may be viewed in person at the Office of the State Archaeologist or at CTSHPO.
Archaeological Resources	Archaeological surveys on file at the University of Connecticut Libraries Dodd Center in Storrs or CTSHPO in Hartford	Surveys are indexed by town. A list of all surveys is available on the Dodd Center website; actual surveys are in hard copy and must be requested in person.

10 CTSHPO personnel may advise of additional data sources to be reviewed as part of the research process,
 11 including outreach and consultation with Local Historical Commissions and with local groups and
 12 individuals who may possess knowledge or specialized information on cultural resources within project
 13 areas.

II. Inviting Consulting Parties

14 The Federal agency shall consult with the CTSHPO to identify potential consulting parties, which may
15 include the following organizations, to participate in Section 106 consultation for all Tier 2 projects
16 located in Connecticut:

- 17 ▶ Connecticut Department of Energy and Environmental Protection (CT DEEP)
- 18 ▶ Connecticut Department of Transportation (ConnDOT)

19 The Federal agency shall consult with the CTSHPO to identify potential consulting parties, which may
20 include the following organizations, to participate in Section 106 consultation for Tier 2 projects when
21 applicable, taking into account the location and anticipated impacts of the project:

- 22 ▶ AMTRAK
- 23 ▶ Berlin Historical Society
- 24 ▶ Bridgeport CLG & LHD Committee
- 25 ▶ Brookfield Historic District Commission
- 26 ▶ Capital Region Council of Governments (COG)
- 27 ▶ Central Connecticut Regional Planning Agency
- 28 ▶ Connecticut Eastern Railway Museum (Eastern Connecticut Chapter of the National Railway
29 Historical Society)
- 30 ▶ Connecticut Trust for Historic Preservation
- 31 ▶ City of Danbury
- 32 ▶ City of Milford
- 33 ▶ City of Stamford
- 34 ▶ Consolidated Rail Corporation
- 35 ▶ Council of Governments of the Central Naugatuck Valley
- 36 ▶ CSX Transportation
- 37 ▶ Danbury Railway Museum
- 38 ▶ East Hartford Historic District Commission
- 39 ▶ East Lyme Historic Properties Commission
- 40 ▶ Greater Bridgeport Regional Council
- 41 ▶ Greenwich Historic District Commission
- 42 ▶ Greenwich Preservation Trust
- 43 ▶ Guilford Historic District Commission
- 44 ▶ Guilford Preservation Alliance
- 45 ▶ Housatonic Valley Council of Elected Officials
- 46 ▶ Lower Connecticut River Valley Council of Governments
- 47 ▶ Merritt Parkway Conservancy
- 48 ▶ Metro-North Railroad
- 49 ▶ Metropolitan Transportation Authority
- 50 ▶ Milford Preservation Trust
- 51 ▶ New Canaan Historical Society
- 52 ▶ New Canaan Preservation Alliance
- 53 ▶ New Haven Historic District Commission

- 54 ▶ New Haven Preservation Trust
- 55 ▶ New London Landmarks
- 56 ▶ New London Office of Development & Planning
- 57 ▶ Norwalk Historical Commission
- 58 ▶ Norfolk Southern Corporation
- 59 ▶ Norwalk Preservation Trust
- 60 ▶ Old Lyme Historic District Commission
- 61 ▶ Orange Historic Commission
- 62 ▶ Providence & Worcester Railroad Company
- 63 ▶ Quinebaug-Shetucket Rivers Valley National Heritage Corridor
- 64 ▶ Railroad Museum of New England
- 65 ▶ SONO Switch Tower Museum (Western Connecticut Chapter of the National Railway Historical Society)
- 66 ▶ South Central Regional Council of Governments (COG)
- 67 ▶ South Western Regional Planning Agency
- 68 ▶ Southbury Historic District Commission
- 69 ▶ Southeastern Connecticut Council of Governments (COG)
- 70 ▶ Stamford Historic Neighborhood Preservation
- 71 ▶ Stamford Historic Preservation Advisory Commission
- 72 ▶ Town of Berlin
- 73 ▶ Town of Clinton
- 74 ▶ Town of Fairfield
- 75 ▶ Town of Groton Historic District Commission
- 76 ▶ Town of Hamden
- 77 ▶ Town of Killingly
- 78 ▶ Town of Suffield
- 79 ▶ Town of Tolland
- 80 ▶ Town of Vernon
- 81 ▶ Valley Council of Governments (COG)
- 82 ▶ Waterford Historic Properties Commission
- 83 ▶ Westport Historic District Commission
- 84 ▶ Windsor Historic District Commission
- 85 ▶ Connecticut League of History Organizations
- 86 ▶ Connecticut Preservation Action
- 87 ▶ Historic Neighborhood Preservation Program

89 The Federal agency shall invite the following federally-recognized Indian tribes to participate in Section
 90 106 consultation for those Tier 2 projects when applicable, taking into account the location and
 91 anticipated impacts of the project:

- 92 ▶ Delaware Tribe of Indians
- 93 ▶ Mashantucket (Western) Pequot Tribal Nation
- 94 ▶ Narragansett Indian Tribe of Rhode Island
- 95 ▶ The Mohegan Tribe

96 The Federal agency also shall request updated consulting parties information from the CTSHPPO at the
97 onset of future Tier 2 projects, so as to ensure that the most up-to-date consulting parties and their
98 contacts are included in the consultation process. If the updated list varies from the list provided in this
99 Appendix, the Federal agency shall follow the updated list.

III. Defining the Area of Potential Effects

100 The Federal agency shall ensure that the area of potential effects (APE) for any Tier 2 project will be
101 defined and provided in consultation with the CTSHPPO.

IV. Identifying and Evaluating Historic Properties

102 The Federal agency shall consult with the CTSHPPO to confirm the most up to date forms other resources
103 necessary to identify and evaluate historic properties and archaeological resources.

104 The Federal agency shall obtain an archaeological permit from the CTSHPPO for any archaeological field
105 studies on state lands or designated state archaeological preserves, as defined by Connecticut General
106 Statutes, Section 10-386-1 to 10-386-5.

V. Documentation Requirements

107 The Federal agency shall consult with the CTSHPPO to confirm the most up to date guidelines and
108 requirements necessary to submit documents to the CTSHPPO for a Tier 2 undertaking in the State of
109 Connecticut.

VI. Document Review Process

110 The Federal agency shall comply with the following requirements when submitting documents to the
111 CTSHPPO for review:

- 112 ▶ The Federal agency shall send final reports to the CTSHPPO for review in hard copy.
- 113 ▶ The CTSHPPO shall review and comment on all adequately documented project submittals within 30
114 calendar days of receipt.

VII. Public Involvement

115 The Federal agency shall ensure that the Section 106 consultation process includes opportunities for
116 involvement by the public, as appropriate, in accordance with 36 C.F.R. 800.2(d). The federal agency
117 may provide such opportunities as part public involvement efforts carried out as part of the
118 environmental review process under the National Environmental Policy Act.

119 The Federal agency also shall consult with the CTSHPD regarding the process to be used for involving the
 120 public in Section 106 consultation.

VIII. Standard Treatments

121 The Federal agency may resolve adverse effects by adopting any of the Standard Treatments provided in
 122 Appendix C of this PA, or any additional treatments identified in consultation with the CTSHPD.

IX. Curation

123 The Federal agency shall comply with any specific requirements identified in consultation with the
 124 CTSHPD with regard to curation.

X. Confidentiality and Data Sharing

125 The Federal agency shall consult with the CTSHPD, as necessary, to update any information about
 126 confidentiality and data sharing of Tier 2 project information.

XI. Amendments

127 This Appendix may be amended by written agreement of the signatories of this Appendix, without the
 128 need for concurrence of other signatories of the PA for the NEC FUTURE Investment Program.

129 **SIGNATORY PARTIES**

130 **Federal Railroad Administration**

131 By: Michael Johnsen Date: 8/22/2016

132 Michael Johnsen

133 Acting Division Chief, Environmental and Corridor Planning Division

Office of Railroad Policy and Development

134 **Advisory Council on Historic Preservation**

135 By: John M. Fowler Date: 8/25/16

136 John M. Fowler Executive Director

137 Name (printed)

Title (printed)

139 **Federal Transit Administration**

140 By: Mary Beth Mello Date: 7/26/16

141 Mary Beth Mello

142 Region 1 Administrator*

143 *On behalf of FTA Regions 1, 2 and 3

144 **Connecticut State Historic Preservation Officer**

145 By: Kristina Newman-Scott Date: 7/25/16

146 Kristina Newman-Scott

147 State Historic Preservation Officer

Appendix G: Section 106 Consultation for Tier 2 Undertakings in the District of Columbia

1 The Federal agency shall comply with the stipulations in this appendix when carrying out Section 106
 2 consultation with the District of Columbia State Historic Preservation Office (DCSHPO), for a Tier 2
 3 undertaking in the District of Columbia.

I. Project Initiation

4 The Federal agency shall initiate DCSHPO review by submitting necessary documentation, specifics of
 5 which should be confirmed with the DCSHPO prior to the time of submission.

Data Sources

6 The Federal agency shall use the following data sources, as applicable, as part of the Section 106
 7 consultation process for a Tier 2 undertaking, except as otherwise determined by the Federal agency in
 8 consultation with the DCSHPO:

Property Type	Source	Description
Historic Properties	Historic properties site forms and surveys on file at the DCSHPO in Washington, D.C.	Some historic district materials are available online, all other materials need to be researched in person
Archaeological Resources	Archaeological site forms and surveys on file at the DCSHPO in Washington, D.C.	All materials need to be researched in person

9 The Federal agency shall consult with the DCSHPO prior to the onset of Tier 2 undertakings to confirm
 10 the status of data sources for the District of Columbia.

11 DCSHPO personnel may advise of additional data sources to be reviewed as part of the research process,
 12 including outreach and consultation with Local Historical Commissions and with local groups and
 13 individuals who may possess knowledge or specialized information on cultural resources within project
 14 areas.

II. Inviting Consulting Parties

15 The Federal agency shall consult with the DCSHPO to identify potential consulting parties, which may
 16 include the following organizations, to participate in Section 106 consultation for all Tier 2 projects
 17 located in the District of Columbia:

- 18 ▶ District Department of Transportation
- 19 ▶ Historic Preservation Review Board

- 20 ▶ National Capital Planning Commission
- 21 ▶ Office of Planning
- 22 ▶ Washington Metro Area Transit Authority

23 The Federal agency shall consult with the DCSHPO to identify potential consulting parties, which may
24 include the following organizations, to participate in Section 106 consultation for Tier 2 projects when
25 applicable, taking into account the location and anticipated impacts of the project:

- 26 ▶ Akridge
- 27 ▶ AMTRAK
- 28 ▶ Ashkenazy Acquisition Corporation
- 29 ▶ Capitol Hill Business Improvement District
- 30 ▶ Capitol Hill Restoration Society
- 31 ▶ Committee of 100 on the Federal City
- 32 ▶ Consolidated Rail Corporation
- 33 ▶ CSX Transportation
- 34 ▶ District Department of Energy and Environment
- 35 ▶ DC Preservation League
- 36 ▶ Eckington Civic Association
- 37 ▶ Executive Office of the Mayor
- 38 ▶ Jones Lang LaSalle
- 39 ▶ Maryland Transit Administration
- 40 ▶ National Park Service
- 41 ▶ National Railway Historical Society Washington DC Chapter
- 42 ▶ National Trust for Historic Preservation
- 43 ▶ NoMa Business Improvement District
- 44 ▶ Norfolk Southern Corporation
- 45 ▶ Office of Advisory Neighborhood Commissions
- 46 ▶ Providence & Worcester Railroad Company
- 47 ▶ The Baltimore & Ohio Railroad Museum
- 48 ▶ The Historical Society of Washington DC
- 49 ▶ Union Station Redevelopment Corporation
- 50 ▶ U.S. Commission of Fine Arts

51 The Federal agency shall consult with the DCSHPO to determine whether any Indian tribes should be
52 invited to participate in the Section 106 process. At this time there are no federally-recognized Indian
53 tribes for the District of Columbia.

54 The Federal agency also shall request updated consulting parties information from the DCSHPO at the
55 onset of future Tier 2 projects, so as to ensure that the most up-to-date consulting parties and their
56 contacts are included in the consultation process. If the updated list varies from the list provided in this
57 Appendix, the Federal agency shall follow the updated list.

III. Defining the Area of Potential Effects

58 The Federal agency shall ensure that the area of potential effects (APE) for any Tier 2 project will be
59 defined and provided in consultation with the DCSHPO.

IV. Identifying and Evaluating Historic Properties

60 The Federal agency shall consult with the DCSHPO to confirm the most up to date forms other resources
61 necessary to identify and evaluate historic properties and archaeological resources.

V. Documentation Requirements

62 The Federal agency shall consult with the DCSHPO to confirm the most up to date guidelines and
63 requirements necessary to submit documents to the DCSHPO for a Tier 2 undertaking in the District of
64 Columbia. These may include:

- 65 ▶ Guidelines for Archaeological Investigations in the District of Columbia (1998)
- 66 ▶ DC Determination of Eligibility (DOE) Form and "How to Complete a DC DOE Form"

VI. Document Review Process

67 The Federal agency shall comply with the following requirements when submitting documents to the
68 DCSHPO for review:

- 69 ▶ The Federal agency shall send DOEs and draft final reports to the DCSHPO for review in electronic
70 format. DOEs and draft final reports should be forwarded in Microsoft Word so comments can be
71 provided in "Track Changes" format.
- 72 ▶ The DCSHPO shall review and comment on all adequately documented project submittals within 30
73 calendar days of receipt.

VII. Public Involvement

74 The Federal agency shall ensure that the Section 106 consultation process includes opportunities for
75 involvement by the public, as appropriate, in accordance with 36 C.F.R. 800.2(d). The federal agency
76 may provide such opportunities as part public involvement efforts carried out as part of the
77 environmental review process under the National Environmental Policy Act.

78 The Federal agency also shall consult with the DCSHPO regarding the process to be used for involving
79 the public in Section 106 consultation.

VIII. Standard Treatments

80 The Federal agency may resolve adverse effects by adopting any of the Standard Treatments provided in
81 Appendix C of this PA, and/or any additional treatments identified in consultation with the DCSHPO.

IX. Curation

82 The Federal agency shall comply with any specific requirements identified in consultation with the
83 DCSHPO with regard to curation.

X. Confidentiality and Data Sharing

84 The Federal agency shall consult with the DCSHPO, as necessary, to update any information about
85 confidentiality and data sharing of Tier 2 project information.

XI. Amendments

86 This Appendix may be amended by written agreement of the signatories of this Appendix, without the
87 need for concurrence of other signatories of the PA for the NEC FUTURE Investment Program.

88 **SIGNATORY PARTIES**

89 **Federal Railroad Administration**

90 By: Michael M. Johns Date: 8/22/2016

91 Michael Johnsen
92 Acting Division Chief, Environmental and Corridor Planning Division
Office of Railroad Policy and Development

93 **Advisory Council on Historic Preservation**

94 By: John M. Fowler Date: 8/25/16

95 John M. Fowler Executive Director
96 Name (printed) Title (printed)

98 **Federal Transit Administration**

99 By: Mary Beth Mello Date: 7/26/16

100 Mary Beth Mello
101 Region 1 Administrator*

102
103 *On behalf of FTA Regions 1, 2 and 3

104 **District of Columbia State Historic Preservation Officer**

105 By: David Maloney Date: 7/19/2016

106 David Maloney
107 District of Columbia State Historic Preservation Officer

Appendix H: Section 106 Consultation for Tier 2 Undertakings in Delaware

1 The Federal agency shall comply with the stipulations in this appendix when carrying out Section 106
2 consultation with the Delaware State Historic Preservation Office (DESHPO), for a Tier 2 undertaking in
3 the State of Delaware.

4 I. Project Initiation

5 The Federal agency shall initiate DESHPO review by submitting necessary documentation, specifics of
6 which should be confirmed with the DESHPO prior to the time of submission.

7 At a minimum, the initiation documentation shall include:

- 8 ▶ A list of all the federal or state agencies involved, as known at the time of initiation, and indication
9 of which agency will be the lead for Section 106 consultation.
- 10 ▶ A description of the nature of the federal involvement, including funding, permits, licenses or other
11 authorizations that require compliance with Section 106 of the National Historic Preservation Act,
12 and its implementing regulations, 36 CFR Part 800.
- 13 ▶ Description of the nature and extent of the undertaking; provide location map, and conceptual
14 plans, if available.
- 15 ▶ A list of the consulting parties that will be contacted.
- 16 ▶ A description of the lead Agency's proposed limits of the APE, with explanation of why the Agency
17 believes these limits are appropriate (see definition of APE in 800.16(d)); include a map of sufficient
18 scale to illustrate the proposed APE.
- 19 ▶ The level of effort the Agency plans to undertake in order to identify historic properties.

Data Sources

20 The Federal agency shall use the following data sources, as applicable, as part of the Section 106
21 consultation process for a Tier 2 undertaking, except as otherwise determined by the Federal agency in
22 consultation with the DESHPO:

Property Type	Source	Description
Historic Properties	Cultural and Historical Resources Information System (CHRIS) available online at https://chris-users.delaware.gov/	Online database containing information about historic properties. The system is a work in progress; not all data have been entered.
Historic Properties	Additional data on file at the DESHPO's Historic Property Research Center in Dover	Information not yet entered into CHRIS may be viewed in hard copy, along with other research materials
Archaeological Resources	Cultural and Historical Resources Information System (CHRIS) available online at https://chris-users.delaware.gov/	Online database containing information about archaeological resources. The system is a work in progress; not all data have been entered. Access to the archaeology database requires a password from the DESHPO.
Archaeological Resources	Additional data on file at the DESHPO's Historic Property Research Center in Dover	Information not yet entered into CHRIS may be viewed in hard copy, along with other research materials

23

24 DESHPO is in the process of converting hard copy materials to electronic files. The Federal agency shall
 25 consult with the DESHPO prior to initiating Tier 2 undertakings to confirm the status of data sources for
 26 Delaware.

27 DESHPO personnel may advise of additional data sources to be reviewed as part of the research process,
 28 including outreach and consultation with Local Historical Commissions and with local groups and
 29 individuals who may possess knowledge or specialized information on cultural resources within project
 30 areas.

II. Inviting Consulting Parties

31 The Federal agency shall consult with the DESHPO to identify potential consulting parties, which may
 32 include the following organizations, to participate in Section 106 consultation for all Tier 2 projects
 33 located in Delaware:

- 34 ▶ Delaware Department of Transportation (DelDOT)
- 35 ▶ Delaware Division of Historical & Cultural Affairs, as the parent agency of the DESHPO, if any agency-
 36 owned properties are in the APE
- 37 ▶ Delaware Department of Natural Resources and Environmental Control

38 The Federal agency shall consult with the DESHPO to identify potential consulting parties, which may
 39 include the following organizations, to participate in Section 106 consultation for Tier 2 projects when
 40 applicable, taking into account the location and anticipated impacts of the project:

- 41 ▶ AMTRAK
- 42 ▶ City of Wilmington Office of the Mayor
- 43 ▶ City of Wilmington Design Review and Preservation Commission
- 44 ▶ City of Wilmington’s Historic Preservation Planner
- 45 ▶ Claymont Renaissance Development Corporation
- 46 ▶ Consolidated Rail Corporation
- 47 ▶ CSX Transportation
- 48 ▶ Delaware Historical Society
- 49 ▶ National Railway Historical Society Wilmington Chapter
- 50 ▶ New Castle County Historic Review Board
- 51 ▶ New Castle County Historic Preservation Planner
- 52 ▶ Newark Historical Society
- 53 ▶ Newark Planning and Development Department
- 54 ▶ Norfolk Southern Corporation
- 55 ▶ Preservation Delaware, Inc.
- 56 ▶ Providence & Worcester Railroad Company
- 57 ▶ Southeastern Pennsylvania Transportation Authority
- 58 ▶ The Archaeological Society of Delaware
- 59 ▶ Lenape Indian Tribe of Delaware
- 60 ▶ Nanticoke Indian Tribe

61 The Federal agency shall invite the following federally-recognized Indian tribe to participate in Section
 62 106 consultation for those Tier 2 projects when applicable, taking into account the location and
 63 anticipated impacts of the project:

- 64 ▶ Delaware Tribe of Indians
- 65 ▶ Delaware Nation

66 The Federal agency also shall request updated consulting parties information from the DESHPO at the
 67 onset of future Tier 2 projects, so as to ensure that the most up-to-date consulting parties and their
 68 contacts are included in the consultation process. If the updated list varies from the list provided in this
 69 Appendix, the Federal agency shall follow the updated list.

III. Defining the Area of Potential Effects

70 The Federal agency shall define the area of potential effects (APE) for any Tier 2 project in consultation
 71 with the DESHPO.

IV. Identifying and Evaluating Historic Properties

72 The Federal agency shall consult with the DE SHPO to determine the appropriate level of effort required
 73 to perform identification surveys and evaluation studies. The identification survey data regarding
 74 potential historic properties and archaeological resources shall be entered using the CHRIS online portal.
 75 The Federal agency shall ensure that all surveys are carried out by or under the direct supervision of a

76 person or persons meeting the relevant Secretary of the Interior's Historic Preservation Professional
77 Qualification Standards, and that all work meets the Secretary of the Interior's Standards and Guidelines
78 for Identification and Evaluation as well as the Delaware survey guidelines referenced in section V of this
79 Appendix. Further:

- 80 ▶ Survey proposals shall include a research design that stipulates: objectives, methods, and expected
81 results; production of draft and final reports; and when applicable, preparation of archaeological
82 materials for curation, including budgeting for initial conservation assessments and treatment.
- 83 ▶ The Federal agency's qualified staff or designee shall prepare reports on identification and
84 evaluation surveys and shall submit the reports to the SHPO (and affected Tribes, as applicable) for
85 review and concurrence. The Federal agency will take into account comments received and will
86 recommend any next steps.

V. Documentation Requirements

87 The Federal agency shall comply with the following DESHPO requirements (or their successors), as
88 applicable, when submitting documents to DESHPO for a Tier 2 undertaking in the State of Delaware,
89 except as otherwise determined by the Federal agency in consultation with the DESHPO:

- 90 ▶ Architectural Survey in Delaware, 2015
- 91 ▶ Archaeological Survey in Delaware, 2015

92 These documents are available on the DESHPO's webpage:
93 <http://history.delaware.gov/preservation/surveys.shtml>

VI. Document Review Process

94 The Federal agency shall comply with the following requirements when submitting documents to the
95 DESHPO for review:

- 96 ▶ The Federal agency shall consult with the DESHPO to determine the preferred method for
97 submission of project documents for review, which may include both hard copies and electronic
98 copies.
- 99 ▶ The DESHPO shall review and comment on all adequately documented project submittals within 30
100 calendar days of receipt.
- 101 ▶ If the undertaking will have an adverse effect on historic properties, the federal agency shall submit
102 the required documentation to the ACHP in accordance with 36 CFR 800.11(e).

VII. Public Involvement

103 The Federal agency shall ensure that the Section 106 consultation process includes opportunities for
104 involvement by the public, as appropriate, in accordance with 36 C.F.R. 800.2(d). The federal agency

105 may provide such opportunities as part public involvement efforts carried out as part of the
 106 environmental review process under the National Environmental Policy Act.

107 The Federal agency also shall consult with the DESHPO regarding the process to be used for involving
 108 the public in Section 106 consultation.

VIII. Assessing Effects and Resolving Adverse Effects, including Standard Treatments

109 The Federal agency shall, in consultation with the DE SHPO, determine if historic properties in the APE
 110 will be affected, and if so whether or not the effects will be adverse. The Federal agency shall provide to
 111 the DE SHPO an adequately documented finding of effect, as defined in 36 CFR Part 800.11(d) or
 112 800.11(e), as applicable.

113 The Federal agency shall endeavor to avoid adversely affecting historic properties. If unavoidable, the
 114 agency may resolve adverse effects by employing a Standard Treatment provided in Appendix C of this
 115 PA, in accordance with Stipulation VIII.B.1 of the PA. If a Standard Treatment is not employed, the
 116 federal agency shall consult to resolve adverse effects on those historic properties in accordance with 36
 117 C.F.R. § 800.6, as provided in Stipulation VIII.B.2 of this PA, including a Memorandum of Agreement
 118 (MOA) where appropriate. The MOA may include traditional treatments, such as documentation or
 119 archaeological data recovery, or alternative treatments identified in consultation with and approval by
 120 the DESHPO and other consulting parties. The Federal agency shall ensure that adequate resources are
 121 committed to carry out the MOA.

IX. Curation

122 The Federal agency shall comply with the following requirements identified in consultation with the
 123 DESHPO with regard to curation:

- 124 ▶ *Guidelines and Standards for the Curation of Archaeological Collections* (or its successor)

X. Confidentiality and Data Sharing

125 The Federal agency shall consult with the DESHPO, as necessary, to update any information about
 126 confidentiality and data sharing of Tier 2 project information.

XI. Discovery of Human Remains

127 If human remains are discovered, the Federal agency shall, in addition to the provisions of Stipulation X
 128 of the PA, immediately (within 24 hours) notify the local law enforcement office, the medical examiner
 129 or the Director of the Division of Historical and Cultural Affairs (the Delaware State Historic Preservation
 130 Officer) in accordance with the Delaware Unmarked Human Burials and Skeletal Remains Act (Delaware

131 Code: Title 7, Chapter 54; 66 Del. Laws, c. 38. § 1; 75 Del. Laws., c. 153 §§ 4, 5.), and protect the remains
132 from any further exposure of and damage to the remains. The Federal agency shall consult with the DE
133 SHPO to develop and implement an appropriate treatment plan, in compliance with the above cited
134 state law. No work shall resume in the affected area until such consultation is complete.

135 If the human remains are of Native American affiliation, then the Federal agency will also immediately
136 (within 24 hours) notify applicable Indian tribes referenced in section II of this Appendix or identified
137 through Section 106 consultation for the Tier 2 project with the DESHPO. As soon as possible, within a
138 period no longer than two (2) weeks, the Federal agency will forward information regarding the
139 discovery to the Indian tribes, the DE SHPO and any other consulting parties for review and comments.
140 The Federal agency will request that the parties comment on the information within two (2) weeks of
141 receipt. The Federal agency will then consult with the Indian tribes, the DE SHPO and any other
142 consulting parties to determine an appropriate course of action in accordance with 36 CFR 800, and
143 taking into account the above cited state law. No work shall resume in the affected area until such
144 consultation is complete.

XII. Amendments

145 This Appendix may be amended by written agreement of the signatories of this Appendix, without the
146 need for concurrence of other signatories of the PA for the NEC FUTURE Investment Program.

147 **SIGNATORY PARTIES**

148 **Federal Railroad Administration**

149 By: Michael Johnson Date: 8/22/2016
150 Michael Johnson
151 Acting Division Chief, Environmental and Corridor Planning Division
152 Office of Railroad Policy and Development

152 **Advisory Council on Historic Preservation**

153 By: John M. Fowler Date: 8/25/16
154 John M. Fowler Executive Director
155 Name (printed) Title (printed)
156

157 **Federal Transit Administration**

158 By: Mary Beth Mello Date: 7/26/16
159 Mary Beth Mello
160 Region 1 Administrator*
161
162 *On behalf of FTA Regions 1, 2 and 3

163 **Delaware State Historic Preservation Officer**

164 By: Timothy A. Slavin Date: 7.13.16
165 Timothy A. Slavin
166 Director, Division of Historical and Cultural Affairs and
167 Delaware State Historic Preservation Officer

Appendix I: Section 106 Consultation for Tier 2 Undertakings in Massachusetts

- 1 The Federal agency shall comply with the stipulations in this appendix when carrying out Section 106
- 2 consultation with the office of the Massachusetts Historic Preservation Officer (MASHPO) for a Tier 2
- 3 undertaking in the Commonwealth of Massachusetts.

I. Project Initiation

- 4 The Federal agency shall initiate MASHPO review by submitting a completed "Project Notification Form"
- 5 (PNF) to the MASHPO by mail or courier.

Data Sources

- 6 The Federal agency shall use the following data sources, as applicable, as part of the Section 106
- 7 consultation process for a Tier 2 undertaking, except as otherwise determined by the Federal agency in
- 8 consultation with the MASHPO:

Property Type	Source	Description
Historic Properties	Massachusetts Cultural Resource Information System" (MACRIS)	MACRIS is indexed by town. It does not include information on all historic properties and areas in Massachusetts, nor does it reflect all the information on file on historic properties and areas at the MASHPO.
Historic Properties	Massachusetts Historical Commission (MHC) Archives - Inventory of Historic and Archaeological Assets of the Commonwealth	The MHC archives include additional information about historic properties not found in MACRIS; the archives can be researched in person.
Archaeological Resources	MHC Archives - Inventory of Historic and Archaeological Assets of the Commonwealth	Information about archaeological resources is not available to the general public, and must be researched at the MHC archives.

- 9 MASHPO personnel may advise of additional data sources to be reviewed as part of the research
- 10 process, including outreach and consultation with Local Historical Commissions and with local groups
- 11 and individuals who may possess knowledge or specialized information on cultural resources within
- 12 project areas.

II. Inviting Consulting Parties

13 The Federal agency shall invite the following organizations to participate in Section 106 consultation for
14 all Tier 2 projects located in Massachusetts:

- 15 ▶ Massachusetts State Historic Preservation Officer (MASHPO)
- 16 ▶ Massachusetts Department of Transportation (MassDOT)
- 17 ▶ Massachusetts Department of Environmental Protection (MassDEP)

18 The Federal agency shall invite the following organizations to participate in Section 106 consultation for
19 Tier 2 projects when applicable, taking into account the location and anticipated impacts of the project:

- 20 ▶ AMTRAK
- 21 ▶ Boston Region MPO
- 22 ▶ Boston Preservation Alliance
- 23 ▶ Boston Landmarks Commission
- 24 ▶ Brookline Preservation Commission
- 25 ▶ Central Massachusetts Regional Planning Commission
- 26 ▶ Consolidated Rail Corporation
- 27 ▶ CSX Transportation
- 28 ▶ Grafton Historical Commission
- 29 ▶ Massachusetts Bay Transportation Authority
- 30 ▶ Newton
- 31 ▶ Norfolk Southern Corporation
- 32 ▶ Old Colony Planning Council
- 33 ▶ Preservation Massachusetts
- 34 ▶ Pioneer Valley Planning Commission
- 35 ▶ Providence & Worcester Railroad Company
- 36 ▶ Southeastern Regional Planning and Economic Development District
- 37 ▶ The Trustees of Reservations
- 38 ▶ Worcester

39 The Federal agency shall invite the following federally-recognized Indian tribes to participate in Section
40 106 consultation for those Tier 2 projects when applicable, taking into account the location and
41 anticipated impacts of the project:

- 42 ▶ Delaware Tribe of Indians
- 43 ▶ Narragansett Indian Tribe of Rhode Island
- 44 ▶ Wampanoag Tribe of Gay Head (Aquinnah) of Massachusetts
- 45 ▶ Mashpee Wampanoag Tribe
- 46 ▶ Stockbridge-Munsee Community Band of Mohicans

47 The Federal agency also shall request updated consulting parties information from the MASHPO at the
48 onset of future Tier 2 projects, so as to ensure that the most up-to-date consulting parties and their

49 contacts are included in the consultation process. If the updated list varies from the list provided in this
 50 Appendix, the Federal agency shall follow the updated list.

III. Defining the Area of Potential Effects

51 The Federal agency shall ensure that the area of potential effects (APE) for any Tier 2 project is
 52 developed in consultation with the MASHPO and other consulting parties, as appropriate.

IV. Identifying and Evaluating Historic Properties

53 Historic property surveys shall comply with MASHPO procedures as documented in MHC's "Historic
 54 Properties Survey Manual."

55 Archaeological investigations, including archaeological reconnaissance surveys that may be required for
 56 portions of the project in Massachusetts, shall be conducted under a State Archaeologist's permit (950
 57 CMR 70). A State Archaeologist's permit application shall be submitted to the MHC by a qualified
 58 professional archaeologist with relevant previous experience in the region and glaciated Northeast
 59 retained by the project proponent. The State Archaeologist shall be consulted concerning an
 60 appropriate curatorial facility for all collections from field investigations conducted under permit.

61 Within Massachusetts portions of the project impact area on non-federal lands, identified human
 62 remains shall be protected and treated consistently with the Massachusetts Unmarked Burial Law
 63 (Massachusetts General Laws, Chapter 38, § 6; Chapter 9, § 26A and 27C; and,
 64 Chapter 7, § 38A; all as amended). Any non-Native American human remains shall be treated in
 65 accordance with the Massachusetts Historical Commission "Policy and Guidelines for Non-Native Human
 66 Remains Which Are Over 100 Years Old or Older."

V. Documentation Requirements

67 The Federal agency shall comply with the following MASHPO requirements, as applicable, when
 68 submitting documents to MASHPO for a Tier 2 undertaking in the Commonwealth of Massachusetts,
 69 except as otherwise determined by the Federal agency in consultation with the MASHPO:

- 70 ▶ All submittals to the MASHPO shall be in paper format.
- 71 ▶ Plans and specifications submitted to the MASHPO shall measure no larger than 11" x 17" paper
 72 format (unless another format is specified in consultation).
- 73 ▶ Any project documents intended for public review shall not include sensitive archaeological site
 74 locational information. Any documentation prepared with this information should be prominently
 75 labeled "Confidential. Not for Public Release," and the distribution strictly controlled. The Federal
 76 agency shall consult with the MASHPO prior to disseminating this information.

- 77 ▶ Historic property survey reports shall be prepared using the applicable MHC Survey and Inventory
78 Forms: A (Area), B (Building), C (Object), E (Burial Ground), F (Structure/Bridge), and H (Parks and
79 Landscapes).
- 80 ▶ Project planners should consult the "Frequently Asked Questions" portion of the MASHPO's
81 website: <http://www.sec.state.ma.us/mhc/mhcrevcom/revcomidx.htm>, prior to submitting
82 documentation to the MASHPO for review and comment.

VI. Document Review Process

- 83 The Federal agency shall comply with the following requirements when submitting documents to the
84 MASHPO for review:
- 85 ▶ All submittals to the MASHPO shall be delivered to the MASHPO's office by US Mail, or by a delivery
86 service, or by hand, not by email.
- 87 ▶ Pursuant to 36 CFR 800.3(c)(4), the MASHPO shall review and comment on all adequately
88 documented project submittals within 30 calendar days of receipt.

VII. Public Involvement

- 89 The Federal agency shall ensure that the Section 106 consultation process includes opportunities for
90 involvement by the public, as appropriate, in accordance with 36 C.F.R. 800.2(d). The federal agency
91 may provide such opportunities as part public involvement efforts carried out as part of the
92 environmental review process under the National Environmental Policy Act.
- 93 The Federal agency also shall consult with the MASHPO regarding the process to be used for involving
94 the public in Section 106 consultation.

VIII. Standard Treatments

- 95 The Federal agency may resolve adverse effects by adopting any of the Standard Treatments provided in
96 Appendix C of this PA, or any additional treatments identified in consultation with the MASHPO.

IX. Curation

- 97 The Federal agency shall adhere to MASHPO requirements that specimens and research records
98 resulting from a project shall be deposited at an institution with permanent curatorial facilities.
- 99 The State Archeologist shall keep a record of the disposition of collections resulting from field
100 investigations conducted under permit.

X. Confidentiality and Data Sharing

101 The Federal agency shall ensure that any project documents do not reveal the locations of any sensitive
102 archaeological site locations, including human burials. Any documentation prepared with this
103 information should be prominently labeled "Confidential. Not for Public Release." and the distribution
104 strictly controlled.

XI. Amendments

105 This Appendix may be amended by written agreement of the signatories of this Appendix, without the
106 need for concurrence of other signatories of the PA for the NEC FUTURE Investment Program.

107 **SIGNATORY PARTIES**

108 **Federal Railroad Administration**

109 By: Michael Johnson Date: 8/22/2016
110 Michael Johnson

111 Acting Division Chief, Environmental and Corridor Planning Division,
Office of Railroad Policy and Development

112 **Advisory Council on Historic Preservation**

113 By: John M. Fowler Date: 8/25/16
114 John M. Fowler Executive Director
115 _____
116 Name (printed) Title (printed)

117 **Federal Transit Administration**

118 By: Mary Beth Mello Date: 7/26/16
119 Mary Beth Mello
120 Region 1 Administrator*

121 *On behalf of FTA Regions 1, 2 and 3

122 **Massachusetts State Historic Preservation Officer**

123 By: Brona Simon Date: 7/19/16
124 Brona Simon
125 _____, Massachusetts Historical Commission

126

Appendix J: Section 106 Consultation for Tier 2 Undertakings in Maryland

- 1 The Federal agency shall comply with the stipulations in this appendix when carrying out Section 106
- 2 consultation with the Maryland State Historic Preservation Office (MDSHPO), for a Tier 2 undertaking in
- 3 the State of Maryland.

I. Project Initiation

- 4 The Federal agency shall initiate MDSHPO review by submitting a completed Project Review Form or
- 5 cover letter and requested attachments, including but not limited to a project description, project map,
- 6 photographs, and a project contact. The Federal agency shall consult with the MDSHPO to confirm the
- 7 most up to date requirements (content, format, and mode) for project review submittals for a Tier 2
- 8 undertaking in the State of Maryland.

Data Sources

- 9 The Federal agency shall use the following data sources, as applicable, as part of the Section 106
- 10 consultation process for a Tier 2 undertaking, except as otherwise determined by the Federal agency in
- 11 consultation with the MDSHPO:

Property Type	Source	Description
Historic Properties including Archaeological Resources	Medusa, Maryland's Cultural Resources Information System, which includes GIS layers, online databases, scanned inventory forms and documents – Medusa is available for viewing online, at the MDSHPO Library, or for purchase to qualified researchers	Medusa contains maps and information about resources recorded in the Maryland Inventory of Historic Properties (MIHP) and the National Register of Historic Places (NRHP), as well as properties on which the MDSHPO holds preservation easements and information on resources that have been evaluated for National Register eligibility (Determinations of Eligibility, or DOEs) [Note: Access to all material relating to Maryland's archeological sites is only available to qualified researchers]
Historic Properties including Archaeological Resources	Additional data on file at the MDSHPO Library	Inventory forms, reports, and other resource documentation, as well as additional research materials, may be viewed in person at the MDSHPO Library [Note: Access to all material relating to Maryland's archeological sites is only available to qualified researchers]

12 The Federal agency shall consult with the MDSHPO prior to the onset of Tier 2 undertakings to confirm
13 the status and accessibility of data sources for Maryland.

14 MDSHPO personnel may advise of additional data sources to be reviewed as part of the research
15 process, including outreach and consultation with Local Historical Commissions and with local groups
16 and individuals who may possess knowledge or specialized information on cultural resources within
17 project areas.

II. Inviting Consulting Parties

18 The Federal agency shall consult with the MDSHPO to identify potential consulting parties, which may
19 include the following organizations, to participate in Section 106 consultation for all Tier 2 projects
20 located in Maryland:

21 ▶ Maryland Department of Transportation (MDOT)

22 The Federal agency shall consult with the MHT to identify potential consulting parties, which may
23 include the following organizations, to participate in Section 106 consultation for Tier 2 projects when
24 applicable, taking into account the location and anticipated impacts of the project:

- 25 ▶ AMTRAK
- 26 ▶ Anacostia Trails Heritage Area, Inc.
- 27 ▶ Anne Arundel County Planning and Zoning Department -Cultural Resources Division
- 28 ▶ Baltimore City -Department of Transportation
- 29 ▶ Baltimore City - Planning Department
- 30 ▶ Baltimore City Commission for Historical and Architectural Preservation
- 31 ▶ Baltimore County Department of Planning
- 32 ▶ Baltimore Heritage Area Association
- 33 ▶ Baltimore Heritage, Inc.
- 34 ▶ Cecil County Historic District Commission
- 35 ▶ City of Aberdeen, City Manager
- 36 ▶ City of Aberdeen, Planning & Community Development
- 37 ▶ City of Aberdeen - Office of the Mayor
- 38 ▶ City of College Park, Office of the Mayor
- 39 ▶ City of College Park Planning and Development
- 40 ▶ City of Havre de Grace, Office of the Mayor
- 41 ▶ City of Havre de Grace, Historic Preservation Commission
- 42 ▶ City of Havre de Grace, Planning & Zoning
- 43 ▶ Consolidated Rail Corporation
- 44 ▶ CSX Transportation
- 45 ▶ Harford County Department of Planning & Zoning
- 46 ▶ Hyattsville Planning Committee
- 47 ▶ Maryland Commission on Indian Affairs
- 48 ▶ Maryland Transit Administration

- 49 ▶ National Railway Historical Society Baltimore and Perryville Chapters
- 50 ▶ Norfolk Southern Corporation
- 51 ▶ Office of the Mayor City of Baltimore
- 52 ▶ Office of the Mayor-Hyattsville
- 53 ▶ Office of the Mayor-Laurel
- 54 ▶ Preservation Maryland
- 55 ▶ Providence & Worcester Railroad Company
- 56 ▶ Piscataway Conoy Confederacy and Subtribes of Maryland and the Piscataway Conoy Tribe of
- 57 Maryland, including the Cedarville Band of the Piscataway and the Choptico Band of the Piscataway
- 58 (state-recognized Indian tribe)
- 59 ▶ Piscataway Indian Nation (state-recognized Indian tribe)
- 60 ▶ Prince George’s County Planning Department Historic Preservation
- 61 ▶ The Aberdeen Room Archives and Museum, Inc.
- 62 ▶ The Baltimore City Historical Society
- 63 ▶ The City of Laurel Historic District Commission (HDC)
- 64 ▶ The City of Laurel Planning Commission
- 65 ▶ The Historical Society of Cecil County
- 66 ▶ The Historical Society of Harford County, Inc.
- 67 ▶ The Lower Susquehanna Heritage Greenway, Inc.
- 68 ▶ Town of Bel Air
- 69 ▶ Town of Bel Air - Historic Preservation Department of Planning & Community Development
- 70 ▶ Town of Elkton
- 71 ▶ Town of Elkton Department of Planning
- 72 ▶ University of Maryland, Federal Relations

73 The Federal agency shall invite the following federally-recognized Indian tribes to participate in Section
 74 106 consultation for those Tier 2 projects when applicable, taking into account the location and
 75 anticipated impacts of the project:

- 76 ▶ Absentee-Shawnee Tribe of Oklahoma
- 77 ▶ Delaware Tribe of Indians
- 78 ▶ Eastern Shawnee Tribe of Oklahoma
- 79 ▶ Shawnee Tribe

80 The Federal agency also shall request updated consulting parties information from the MDSHPO at the
 81 onset of future Tier 2 projects, so as to ensure that the most up-to-date consulting parties and their
 82 contacts are included in the consultation process. If the updated list varies from the list provided in this
 83 Appendix, the Federal agency shall follow the updated list.

III. Defining the Area of Potential Effects

84 The Federal agency shall ensure that the area of potential effects (APE) for any Tier 2 project will be
 85 defined and provided in consultation with the MDSHPO.

IV. Identifying and Evaluating Historic Properties

86 The Federal agency shall consult with the MDSHPO to confirm the most up to date forms and other
87 resources necessary to identify and evaluate historic properties and archaeological resources for a Tier 2
88 undertaking in the State of Maryland.

89 The Federal agency shall obtain an archaeological permit from the MDSHPO for any archaeological field
90 investigations on state-owned lands, state-controlled lands, in caves, and on certain private properties,
91 including state-owned or state-controlled submerged lands, pursuant to State Finance and Procurement
92 §§ 5A-341, 5A-342, 5A-343, and 5A-345 of the Annotated Code of Maryland.

V. Documentation Requirements

93 The Federal agency shall consult with the MDSHPO to confirm the most up to date guidelines and
94 requirements necessary to submit documents to the MDSHPO for a Tier 2 undertaking in the State of
95 Maryland.

VI. Document Review Process

96 The Federal agency shall comply with the following requirements when submitting documents to the
97 MDSHPO for review:

- 98 ▶ The Federal agency shall consult with the MDSHPO to determine the preferred method for
99 submission of project documents for review, which may include both hard copies and electronic
100 copies.
- 101 ▶ The MDSHPO shall review and comment on all adequately documented project submittals within 30
102 calendar days of receipt.

VII. Public Involvement

103 The Federal agency shall ensure that the Section 106 consultation process includes opportunities for
104 involvement by the public, as appropriate, in accordance with 36 C.F.R. 800.2(d). The federal agency
105 may provide such opportunities as part public involvement efforts carried out as part of the
106 environmental review process under the National Environmental Policy Act.

107 The Federal agency also shall consult with the MDSHPO regarding the process to be used for involving
108 the public in Section 106 consultation.

VIII. Standard Treatments

109 The Federal agency may resolve adverse effects by adopting any of the Standard Treatments provided in
110 Appendix C of this PA, or any additional treatments identified in consultation with the MDSHPO.

IX. Curation

111 The Federal agency shall comply with the following requirements identified in consultation with the
 112 MDSHPO with regard to curation: All materials and records resulting from archeological investigations
 113 conducted for the project will be curated in accordance with 36 CFR 79 at the Maryland Archeological
 114 Conservation Laboratory (MAC Lab) and current MDSHPO requirements, unless clear title, Deed of Gift,
 115 or federal curation agreement for the collection cannot be obtained. The Federal agency shall consult
 116 with the MDSHPO regarding the appropriate disposition of any materials or records not proposed for
 117 curation at the MAC Lab.

X. Confidentiality and Data Sharing

118 The Federal agency shall consult with the MDSHPO, as necessary, to update any information about
 119 confidentiality and data sharing of Tier 2 project information.

XI. Amendments

120 This Appendix may be amended by written agreement of the signatories of this Appendix, without the
 121 need for concurrence of other signatories of the PA for the NEC FUTURE Investment Program.

XII. Coordination with the Maryland Historical Trust Act of 1985

122 The MDSHPO agrees that fulfillment of the terms of this PA will satisfy the responsibilities of the
 123 Maryland Department of Transportation and any Maryland state agency under the requirements of the
 124 Maryland Historical Trust Act of 1985, as amended (Sections 5A-325 and 5A-326 of the State Finance
 125 and Procurement Article, Annotated Code of Maryland), for any components of a Tier 2 undertaking in
 126 the State of Maryland that require licensing, permitting and/or funding actions from Maryland state
 127 agencies.

XIII. Human Remains

128 In addition to the provisions specified in Stipulation X of the PA, the following measures apply to the
 129 discovery of human remains for a Tier 2 undertaking in the State of Maryland: If human remains are
 130 discovered during planning or construction activities for a Tier 2 undertaking in the State of Maryland,
 131 the Federal agency shall consult with the MDSHPO and other interested parties, as appropriate, to
 132 develop a plan for the appropriate treatment of the remains and comply with the Maryland State burial
 133 law (Title 10 Subtitle 4 Parts 10-401 through 10-404 of the Annotated Code of Maryland), or any
 134 replacement or subsequent revision to this law.

135 **SIGNATORY PARTIES**

136 **Federal Railroad Administration**

137 By: Michael M. Johnsen Date: 8/22/2016
138 Michael Johnsen
139 Acting Division Chief, Environmental and Corridor Planning Division
Office of Railroad Policy and Development

140 **Advisory Council on Historic Preservation**

141 By: John M. Fowler Date: 8/25/16
142 John M. Fowler Executive Director
143
144 Name (printed) Title (printed)

145 **Federal Transit Administration**

146 By: Mary Beth Mello Date: 7/26/16
147 Mary Beth Mello
148 Region 1 Administrator*

149 *On behalf of FTA Regions 1, 2 and 3

150 **Maryland State Historic Preservation Officer**

151 By: Elizabeth Hughes Date: 7.12.16
152 Elizabeth Hughes
153 Director / Maryland State Historic Preservation Officer

Appendix K: Section 106 Consultation for Tier 2 Undertakings in New Jersey

1 The Federal agency shall comply with the stipulations in this appendix when carrying out Section 106
 2 consultation with the New Jersey State Historic Preservation Office (NJSHPO), for a Tier 2 undertaking in
 3 the State of New Jersey.

I. Project Initiation

4 The Federal agency shall initiate NJSHPO review by submitting necessary documentation, specifics of
 5 which should be confirmed with the NJSHPO prior to the time of submission.

Data Sources

6 The Federal agency shall use the following data sources, as applicable, as part of the Section 106
 7 consultation process for a Tier 2 undertaking, except as otherwise determined by the Federal agency in
 8 consultation with the NJSHPO:

Property Type	Source	Description
Historic Properties	Index of National and State Registers of Historic Places listed and eligible resources available online at http://www.nj.gov/dep/hpo/1identify/nrsr_lists.htm	Resources are indexed by county and municipality. Hard copies of files are available at the NJSHPO and available for inspection by appointment.
Historic Properties	Historic properties surveys and additional data on file at the NJSHPO in Trenton	Surveys are indexed by county and municipality. Materials are in hard copy and must be requested in person.
Historic Properties	NJDEP's Geo Web public GIS viewer: http://www.nj.gov/dep/gis/geoweb splash.htm	Contains all known above-ground historic properties (archaeological properties are restricted).
Archaeological Resources	Archaeological site files available at the New Jersey State Museum in Trenton	Hard copy files may be viewed in person at the New Jersey State Museum.
Archaeological Resources	Archaeological surveys on file at NJSHPO in Trenton	Surveys are indexed by county and municipality. Materials are in hard copy and must be requested in person.

9 NJSHPO personnel may advise of additional data sources to be reviewed as part of the research process,
 10 including outreach and consultation with Local Historical Commissions, Historic Preservation
 11 Commissions, Certified Local Governments, and with local groups and individuals who may possess
 12 knowledge or specialized information on cultural resources within project areas.

II. Inviting Consulting Parties

13 The Federal agency shall consult with the NJSHPO to identify potential consulting parties, which may
14 include the following organizations, to participate in Section 106 consultation for all Tier 2 projects
15 located in New Jersey:

- 16 ▶ The Port Authority of New York and New Jersey
- 17 ▶ NJ TRANSIT
- 18 ▶ New Jersey Department of Transportation (NJDOT)

19 The Federal agency shall consult with the NJSHPO to identify potential consulting parties, which may
20 include the following organizations, to participate in Section 106 consultation for Tier 2 projects when
21 applicable, taking into account the location and anticipated impacts of the project:

- 22 ▶ AMTRAK
- 23 ▶ Bergen County Historical Society
- 24 ▶ Burlington County Historical Society
- 25 ▶ City of Newark
- 26 ▶ City of Newark Office of the Mayor
- 27 ▶ City of Trenton Division of Planning
- 28 ▶ City of Trenton Office of the Mayor
- 29 ▶ Consolidated Rail Corporation
- 30 ▶ CSX Transportation
- 31 ▶ Historical Society of Princeton
- 32 ▶ Hudson County Division of Planning
- 33 ▶ Mercer County Cultural and Heritage Commission
- 34 ▶ Mercer County Planning Division Transportation Development District
- 35 ▶ Middlesex County Cultural and Heritage Commission
- 36 ▶ Newark Landmarks & Historic Preservation Commission
- 37 ▶ City of New Brunswick Historical Association
- 38 ▶ New Jersey Essex County Department of Public Works
- 39 ▶ New Jersey State Museum Archaeology and Ethnography
- 40 ▶ New Jersey Turnpike Authority
- 41 ▶ Norfolk Southern Corporation
- 42 ▶ Passaic County Historical Society
- 43 ▶ Pennsylvania Railroad Technical & Historical Society
- 44 ▶ Princeton Historic Preservation Commission
- 45 ▶ Princeton Planning Board
- 46 ▶ Princeton Office of the Mayor
- 47 ▶ Providence & Worcester Railroad Company
- 48 ▶ Somerset County Historical Society of New Jersey
- 49 ▶ South Brunswick Historic Preservation Commission
- 50 ▶ The New Jersey Historical Society
- 51 ▶ The Newark Preservation & Landmarks Committee (NPLC)
- 52 ▶ Trenton Landmarks Commission for Historic Preservation

- 53 ▶ United Railroad Historical Society of New Jersey, Inc. (URHS)
- 54 ▶ Archaeological Society of New Jersey
- 55 ▶ New Jersey Historical Commission

56 The Federal agency shall invite the following federally-recognized Indian tribes to participate in Section
 57 106 consultation for those Tier 2 projects when applicable, taking into account the location and
 58 anticipated impacts of the project:

- 59 ▶ Absentee-Shawnee Tribe of Oklahoma
- 60 ▶ Delaware Nation
- 61 ▶ Delaware Tribe of Indians
- 62 ▶ Eastern Shawnee Tribe of Oklahoma
- 63 ▶ Shawnee Tribe
- 64 ▶ Stockbridge-Munsee Community Band of Mohicans

65 The Federal agency also shall request updated consulting parties information from the NJSHPO at the
 66 onset of future Tier 2 projects, so as to ensure that the most up-to-date consulting parties and their
 67 contacts are included in the consultation process. If the updated list varies from the list provided in this
 68 Appendix, the Federal agency shall follow the updated list.

III. Defining the Area of Potential Effects

69 The Federal agency shall ensure that the area of potential effects (APE) for any Tier 2 project will be
 70 defined and provided in consultation with the NJSHPO.

IV. Identifying and Evaluating Historic Properties

71 The Federal agency shall consult with the NJSHPO and the New Jersey State Museum to confirm the
 72 most up to date forms and other resources necessary to identify and evaluate historic properties and
 73 archaeological resources.

V. Documentation Requirements

74 The Federal agency shall consult with the NJSHPO to confirm the most up to date guidelines and
 75 requirements necessary to submit documents to the NJSHPO for a Tier 2 undertaking in the State of
 76 New Jersey. These guidelines may include:

- 77 ▶ Guidelines for Phase I Archaeological Investigations: Identification of Archaeological Resources
 78 (NJSHPO 1996).
- 79 ▶ Guidelines for Preparing Cultural Resources Management Archaeological Reports Submitted to the
 80 Historic Preservation Office (NJSHPO 2000).
- 81 ▶ Guidelines for Architectural Survey (NJSHPO 1999).

VI. Document Review Process

82 The Federal agency shall comply with the following requirements when submitting documents to the
83 NJSHPO for review:

- 84 ▶ The Federal agency shall send final reports to the NJSHPO for review in hard copy as well as one
85 digital copy (PDF format).
- 86 ▶ The NJSHPO shall review and comment on all adequately documented project submittals within 30
87 calendar days of receipt.

VII. Public Involvement

88 The Federal agency shall ensure that the Section 106 consultation process includes opportunities for
89 involvement by the public, as appropriate, in accordance with 36 C.F.R. 800.2(d). The federal agency
90 may provide such opportunities as part public involvement efforts carried out as part of the
91 environmental review process under the National Environmental Policy Act.

92 The Federal agency also shall consult with the NJSHPO regarding the process to be used for involving the
93 public in Section 106 consultation.

VIII. Standard Treatments

94 The Federal agency may resolve adverse effects by adopting any of the Standard Treatments provided in
95 Appendix C of this PA, or any additional treatments identified in consultation with the NJSHPO.

IX. Curation

96 The Federal agency shall comply with any specific requirements identified in consultation with the
97 NJSHPO with regard to curation, including requirements in 36 C.F.R. Part 79.

X. Confidentiality and Data Sharing

98 The Federal agency shall consult with the NJSHPO, as necessary, to update any information about
99 confidentiality and data sharing of Tier 2 project information.

XI. Amendments

100 This Appendix may be amended by written agreement of the signatories of this Appendix, without the
101 need for concurrence of other signatories of the PA for the NEC FUTURE Investment Program.

102 **SIGNATORY PARTIES**

103 **Federal Railroad Administration**

104 By: Michael A. Johnsen Date: 8/22/2016
105 Michael Johnsen
106 Acting Division Chief, Environmental and Corridor Planning Division
Office of Railroad Policy and Development

107 **Advisory Council on Historic Preservation**

108 By: John M. Fowler Date: 8/25/16
109 John M. Fowler Executive Director
110 Name (printed) Title (printed)
111

112 **Federal Transit Administration**

113 By: Mary Beth Mello Date: 7/26/16
114 Mary Beth Mello
115 Region 1 Administrator*

116 *On behalf of FTA Regions 1, 2 and 3

117 **New Jersey State Historic Preservation Officer**

118 By: Katherine J. Marcopul Date: 9/30/2016
119 Katherine J. Marcopul
120 Deputy State Historic Preservation Officer

Appendix L: Section 106 Consultation for Tier 2 Undertakings in New York

1 The Federal agency shall comply with the stipulations in this appendix when carrying out Section 106
 2 consultation with the New York State Office of Parks, Recreation, and Historic Preservation (NYSOPRHP),
 3 also known as the New York State Historic Preservation Office (NYSHPO), for a Tier 2 undertaking in the
 4 State of New York. The Federal agency also shall consult with the New York City Landmarks Preservation
 5 Commission (LPC) for any projects that affect a New York City Landmarked property or historic district.

I. Project Initiation

6 The Federal agency shall initiate NYSHPO review by submitting a consultation request, including project
 7 initiation materials, as requested, through the online Cultural Resource Information System (CRIS) portal
 8 at <https://cris.parks.ny.gov/>. The Federal agency shall initiate LPC review by submitting project
 9 materials, as requested, via hard copy.

Data Sources

10 The Federal agency shall use the following data sources, as applicable, as part of the Section 106
 11 consultation process for a Tier 2 undertaking, except as otherwise determined by the Federal agency in
 12 consultation with the NYSHPO and the LPC:

Property Type	Source	Description
Historic Properties	Cultural Resource Information System (CRIS) portal at https://cris.parks.ny.gov/	CRIS contains information on most historic properties but there may be data gaps as the rollout is being completed; users should confirm with the NYSHPO whether there are additional materials not yet available on CRIS.
Historic properties	New York City Landmarks Preservation Commission (LPC) designation survey reports at http://www.nyc.gov/html/lpc/html/publications/landmark_designations.shtml	New York City Landmarks each have a designation report available through the LPC.
Archaeological Resources	Cultural Resource Information System (CRIS) portal at https://cris.parks.ny.gov/	CRIS contains information on most archaeological resources but there may be data gaps as the rollout is being completed; users should confirm with the NYSHPO whether there are additional materials not yet available on CRIS.

Property Type	Source	Description
Archaeological Resources	New York City Landmarks Preservation Commission (LPC) archaeological survey reports at http://www.nyc.gov/html/lpc/html/publications/archaeology_reports.shtml	Additional archaeological survey reports for projects within New York City may be accessed online.

13 NYSHPO and LPC personnel may advise of additional data sources to be reviewed as part of the research
 14 process, including outreach and consultation with Local Historical Commissions and with local groups
 15 and individuals who may possess knowledge or specialized information on cultural resources within
 16 project areas.

II. Inviting Consulting Parties

17 The Federal agency shall consult with the NYSHPO and LPC to identify potential consulting parties, which
 18 may include the following organizations, to participate in Section 106 consultation for all Tier 2 projects
 19 located in New York:

- 20 ▶ New York State Department of Environmental Conservation (NYSDEC)
- 21 ▶ New York State Department of Transportation (NYSDOT)

22 The Federal agency shall consult with the NYSHPO and LPC to identify potential consulting parties, which
 23 may include the following organizations, to participate in Section 106 consultation for Tier 2 projects
 24 when applicable, taking into account the location and anticipated impacts of the project:

- 25 ▶ AMTRAK
- 26 ▶ Bronx Borough President
- 27 ▶ City of New Rochelle
- 28 ▶ Consolidated Rail Corporation
- 29 ▶ CSX Transportation
- 30 ▶ Long Island Rail Road
- 31 ▶ Manhattan Borough President
- 32 ▶ Metro-North Railroad
- 33 ▶ Metropolitan Transportation Authority
- 34 ▶ Nassau County
- 35 ▶ New York City Department of City Planning
- 36 ▶ New York City Department of Parks and Recreation
- 37 ▶ New York City Department of Transportation
- 38 ▶ New York City Economic Development Corporation
- 39 ▶ New York City Office of the Mayor
- 40 ▶ New York Metropolitan Transportation Council
- 41 ▶ Norfolk Southern Corporation
- 42 ▶ Providence & Worcester Railroad Company

- 43 ▶ Putnam County
- 44 ▶ Queens Borough President
- 45 ▶ Suffolk County
- 46 ▶ Town of North Castle
- 47 ▶ Westchester County

48 The Federal agency shall invite the following federally-recognized Indian tribes to participate in Section
 49 106 consultation for those Tier 2 projects when applicable, taking into account the location and
 50 anticipated impacts of the project:

- 51 ▶ Shinnecock Indian Nation
- 52 ▶ Stockbridge-Munsee Community Band of Mohicans
- 53 ▶ Cayuga Nation of New York
- 54 ▶ Delaware Nation
- 55 ▶ Delaware Tribe of Indians
- 56 ▶ Oneida Nation of New York
- 57 ▶ Oneida Tribe of Indians of Wisconsin
- 58 ▶ Onodaga Nation of New York
- 59 ▶ Seneca Nation of New York
- 60 ▶ Saint Regis Mohawk Tribe
- 61 ▶ Towanda Band of Seneca Indians of New York
- 62 ▶ Tuscarora Nation of New York

63 The Federal agency also shall request updated consulting parties information from the NYSHPO and LPC
 64 at the onset of future Tier 2 projects, so as to ensure that the most up-to-date consulting parties and
 65 their contacts are included in the consultation process. If the updated list varies from the list provided in
 66 this Appendix, the Federal agency shall follow the updated list.

III. Defining the Area of Potential Effects

67 The Federal agency shall ensure that the area of potential effects (APE) for any Tier 2 project will be
 68 defined and provided in consultation with the NYSHPO and the LPC.

IV. Identifying and Evaluating Historic Properties

69 The Federal agency shall identify and evaluate historic properties and archaeological resources using
 70 online site data submission pages that shall be entered using the CRIS portal.

71 Projects in the City of New York may require coordination with the LPC if there is potential to affect an
 72 individual landmark or a building in a historic district.

V. Documentation Requirements

73 The Federal agency shall comply with the following NYSHPO and LPC requirements, as applicable, when
74 submitting documents to NYSHPO and LPC for a Tier 2 undertaking in the State of New York and the City
75 of New York, except as otherwise determined by the Federal agency in consultation with the NYSHPO
76 and the LPC:

- 77 ▶ Recommended Standards for Historic Resources Surveys (NYSHPO 2010)
- 78 ▶ Phase I Archaeological Report Format Requirements Guidelines (NYSHPO 2005)
- 79 ▶ Standards for Cultural Resource Investigations and the Curation of Archaeological Collections in New
80 York State (NYAC 1994)
- 81 ▶ Landmarks Preservation Commission Guidelines for Archaeological Work in New York City
82 (LPC 2002)
- 83 ▶ City Environmental Quality Review (CEQR) Technical Manual (NYC Mayor's Office 2014)

84 The Federal agency shall consult with the NYSHPO and LPC prior to the onset of Tier 2 projects to ensure
85 that these regulations are up to date.

VI. Document Review Process

86 The Federal agency shall comply with the following requirements when submitting documents to the
87 NYSHPO and LPC for review:

- 88 ▶ The Federal agency shall send final reports to the NYSHPO for review by uploading to CRIS in
89 electronic copy.
- 90 ▶ The Federal agency shall send final reports to the LPC for review in hard copy.
- 91 ▶ The NYSHPO and LPC shall review and comment on all adequately documented project submittals
92 within 30 calendar days of receipt.

VII. Public Involvement

93 The Federal agency shall ensure that the Section 106 consultation process includes opportunities for
94 involvement by the public, as appropriate, in accordance with 36 C.F.R. 800.2(d). The federal agency
95 may provide such opportunities as part public involvement efforts carried out as part of the
96 environmental review process under the National Environmental Policy Act.

97 The Federal agency also shall consult with the NYSHPO and LPC regarding the process to be used for
98 involving the public in Section 106 consultation.

VIII. Standard Treatments

99 The Federal agency may resolve adverse effects by adopting any of the Standard Treatments provided in
100 Appendix C of this PA, or any additional treatments identified in consultation with the NYSHPO and LPC.

IX. Curation

101 The Federal agency shall comply with any specific requirements identified in consultation with the
102 NYSHPO and LPC with regard to curation.

X. Confidentiality and Data Sharing

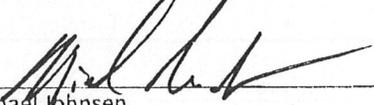
103 The Federal agency shall consult with the NYSHPO and LPC, as necessary, to update any information
104 about confidentiality and data sharing of Tier 2 project information.

XI. Amendments

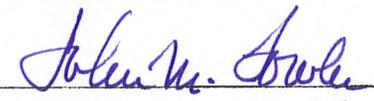
105 This Appendix may be amended by written agreement of the signatories of this Appendix, without the
106 need for concurrence of other signatories of the PA for the NEC FUTURE Investment Program.
107
108
109

110 SIGNATORY PARTIES

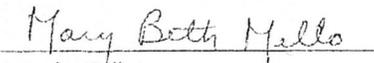
111 Federal Railroad Administration

112 By:  Date: 8/22/2016
113 Michael Johnsen
114 Acting Division Chief, Environmental and Corridor Planning Division
Office of Railroad Policy and Development

115 Advisory Council on Historic Preservation

116 By:  Date: 8/25/16
117 John M. Fowler Executive Director
118 Name (printed) Title (printed)

120 Federal Transit Administration

121 By:  Date: 7/26/16
122 Mary Beth Mello
123 Region 1 Administrator*

124 *On behalf of FTA Regions 1, 2 and 3

125 New York State Historic Preservation Officer

126 By:  Date: 7/14/16
127 Ruth L. Pierpont
128 Deputy Commissioner for Historic Preservation/Deputy NYS SHPO

Appendix M: Section 106 Consultation for Tier 2 Undertakings in Pennsylvania

- 1 The Federal agency shall comply with the stipulations in this appendix when carrying out Section 106
 2 consultation with the Pennsylvania Historical and Museum Commission's Bureau for Historic
 3 Preservation (PHMC), also known as the Pennsylvania State Historic Preservation Office (PASHPO), for a
 4 Tier 2 undertaking in the Commonwealth of Pennsylvania.

I. Project Initiation

- 5 The Federal agency shall initiate PHMC review by submitting a Project Review Form and requested
 6 attachments, including but not limited to a USGS map illustrating project location and limits, project
 7 description, proposed Area of Potential Effect, photographs of project area, including buildings over 50
 8 years of age, and description of any proposed ground disturbance.

Data Sources

- 9 The Federal agency shall use the following data sources, as applicable, as part of the Section 106
 10 consultation process for a Tier 2 undertaking, except as otherwise determined by the Federal agency in
 11 consultation with the PHMC:

Property Type	Source	Description
Historic Properties	Cultural Resources GIS Database <i>http://phmc.info/pacrgis</i>	CRGIS is a map-based inventory of the historic and archaeological sites and surveys stored in the files of the Bureau for Historic Preservation (BHP). Web access to all of the historic resource data is open to the public.
Historic Properties	Relevant survey and site files available at the SHPO in Harrisburg, Pennsylvania	Additional information not available through CRGIS may be viewed in person at the BHP.
Archaeological Resources	Cultural Resources GIS Database <i>http://phmc.info/pacrgis</i>	CRGIS is a map-based inventory of the historic and archaeological sites and surveys stored in the files of the Bureau for Historic Preservation (BHP). Access to archaeological site locations and detailed site information is restricted and password protected and will be granted to qualified individuals on a need-to-know basis.
Archaeological Resources	Relevant survey and site files available at the SHPO in Harrisburg, Pennsylvania	Additional information not available through CRGIS may be viewed in person at the BHP.

12 PHMC personnel may advise of additional data sources to be reviewed as part of the research process,
13 including outreach and consultation with Local Historical Commissions and with local groups and
14 individuals who may possess knowledge or specialized information on cultural resources within project
15 areas.

II. Inviting Consulting Parties

16 The Federal agency shall consult with the PHMC to identify potential consulting parties, which may
17 include but not be limited to the following organizations, to participate in Section 106 consultation for
18 all Tier 2 projects located in Pennsylvania:

- 19 ▶ Pennsylvania Department of Transportation (PennDOT)
- 20 ▶ Pennsylvania Department of Conservation and Natural Resources (DCNR)
- 21 ▶ Pennsylvania Turnpike Commission

22 The Federal agency shall consult with the PHMC to identify potential consulting parties, which may
23 include but not be limited to the following organizations, to participate in Section 106 consultation for
24 Tier 2 projects when applicable, taking into account the location and anticipated impacts of the project:

- 25 ▶ AMTRAK
- 26 ▶ Southeastern Pennsylvania Transportation Authority (SEPTA)
- 27 ▶ Bristol Township Planning Commission
- 28 ▶ Bucks County Historical Society Mercer Museum and Library
- 29 ▶ Bucks County Planning Commission
- 30 ▶ Chester City Office of the Mayor
- 31 ▶ Chester Historic Preservation Society
- 32 ▶ Chichester Historical Society
- 33 ▶ City of Philadelphia Office of the Mayor
- 34 ▶ Consolidated Rail Corporation
- 35 ▶ CSX Transportation
- 36 ▶ Delaware County Historic Preservation (Planning Section)
- 37 ▶ Delaware County Historical Society
- 38 ▶ Delaware County Planning Department
- 39 ▶ Heritage Conservancy
- 40 ▶ Historical Society of Pennsylvania
- 41 ▶ Marcus Hook Preservation Society
- 42 ▶ Morrisville Borough Office of the Mayor
- 43 ▶ National Railway Historical Society Delaware Valley and Philadelphia Chapters
- 44 ▶ NJ TRANSIT
- 45 ▶ Norfolk Southern Corporation
- 46 ▶ Pennsylvania Historical Association
- 47 ▶ Philadelphia Archaeological Forum
- 48 ▶ Philadelphia City Planning Commission
- 49 ▶ Philadelphia International Airport

- 50 ▶ Preservation Alliance for Greater Philadelphia
- 51 ▶ Providence & Worcester Railroad Company
- 52 ▶ Southeastern Pennsylvania Transportation Authority
- 53 ▶ The Pennsylvania Railroad Technical & Historical Society (Headquarters and Philadelphia, Camden &
- 54 Amboy, and New England Chapters)
- 55 ▶ The Philadelphia Historical Commission
- 56 ▶ Tincum Township Historical Society

57 The Federal agency shall invite the following federally-recognized Indian tribes to participate in Section
 58 106 consultation for those Tier 2 projects when applicable, taking into account the location and
 59 anticipated impacts of the project:

- 60 ▶ Delaware Tribe of Indians
- 61 ▶ Delaware Nation
- 62 ▶ Stockbridge-Munsee Community Band of Mohicans
- 63 ▶ Absentee-Shawnee Tribe of Oklahoma
- 64 ▶ Eastern Shawnee Tribe of Oklahoma

65 The Federal agency also shall request updated consulting parties information from the PHMC at the
 66 onset of future Tier 2 projects, so as to ensure that the most up-to-date consulting parties and their
 67 contacts are included in the consultation process. If the updated list varies from the list provided in this
 68 Appendix, the Federal agency shall follow the updated list.

III. Defining the Area of Potential Effects

69 The Federal agency shall ensure that the area of potential effects (APE) for any Tier 2 project will be
 70 defined and provided as part of Project Initiation, as noted above.

IV. Identifying and Evaluating Historic Properties

71 The Federal agency shall identify all properties within the APE that are 50 years of age or older utilizing a
 72 full Historic Resource Survey Form (HRSF) or Abbreviated HRSF. Properties documented on a full HRSF
 73 will follow relevant PHMC guidelines and include a physical description, history, National Register
 74 evaluation including integrity assessment, USGS map noting location, photographs keyed to a site plan
 75 and/or aerial map, and other pertinent supporting documents, such as historic aerials and maps. An
 76 Abbreviated HRSF may be utilized to document properties that are clearly not eligible for the National
 77 Register.

78 The Federal agency shall identify and record archaeological sites utilizing the Pennsylvania
 79 Archaeological Site Survey (PASS) forms.

V. Documentation Requirements

80 The Federal agency shall comply with the following PHMC requirements, as applicable, when submitting
81 documents to PHMC for a Tier 2 undertaking in the Commonwealth of Pennsylvania, except as
82 otherwise determined by the Federal agency in consultation with the PHMC:

- 83 ▶ Archaeological Investigations in Pennsylvania (2008)
- 84 ▶ Guidelines for Architectural Investigations in Pennsylvania (2014)

VI. Document Review Process

85 The Federal agency shall comply with the following requirements when submitting documents to the
86 PHMC for review:

- 87 ▶ The Federal agency shall send final reports to the PHMC for review in both hard copy and electronic
88 copy, following regulations of the PMHC.
- 89 ▶ The PHMC shall review and comment on all adequately documented project submittals within 30
90 calendar days of receipt.

VII. Public Involvement

91 The Federal agency shall ensure that the Section 106 consultation process includes opportunities for
92 involvement by the public, as appropriate, in accordance with 36 C.F.R. 800.2(d). The federal agency
93 may provide such opportunities as part public involvement efforts carried out as part of the
94 environmental review process under the National Environmental Policy Act.

95 The Federal agency also shall consult with the PHMC regarding the process to be used for involving the
96 public in Section 106 consultation.

97 The PHMC typically requests a minimum of 3 weeks for consulting party or public meeting scheduling
98 notice.

VIII. Standard Treatments

99 The Federal agency may resolve adverse effects by adopting any of the Standard Treatments provided in
100 Appendix C of this PA, or any additional treatments identified, in consultation with the PHMC and other
101 consulting parties.

IX. Curation

102 The Federal agency shall adhere to Pennsylvania's *Curation Guidelines* (2006).

X. Confidentiality and Data Sharing

103 The Federal agency shall consult with the PHMC, as necessary, to update any information about
104 confidentiality and data sharing of Tier 2 project information.

XI. Amendments

105 This Appendix may be amended by written agreement of the signatories of this Appendix, without the
106 need for concurrence of other signatories of the PA for the NEC FUTURE Investment Program.

107 SIGNATORY PARTIES

108 Federal Railroad Administration

109 By: Michael Johnsen Date: 8/22/2016

110 Michael Johnsen
111 Acting Division Chief, Environmental and Corridor Planning Division
Office of Railroad Policy and Development

112 Advisory Council on Historic Preservation

113 By: John M. Fowler Date: 8/25/16
114 John M. Fowler Executive Director
115
116 Name (printed) Title (printed)

117 Federal Transit Administration

118 By: Mary Beth Mello Date: 7/26/16
119 Mary Beth Mello
120 Region 1 Administrator*

121 *On behalf of FTA Regions 1, 2 and 3

122 Pennsylvania State Historic Preservation Officer

123 By: Andrea L. MacDonald Date: 7/13/2016
124 Andrea L. MacDonald
125 Deputy Pennsylvania State Historic Preservation Officer

Appendix N: Section 106 Consultation for Tier 2 Undertakings in Rhode Island

1 The Federal agency shall comply with the stipulations in this appendix when carrying out Section 106
 2 consultation with the Rhode Island Historical Preservation and Heritage Commission (RIHPHC), also
 3 known as the Rhode Island State Historic Preservation Office (RISHPO), for a Tier 2 undertaking in the
 4 State of Rhode Island.

I. Project Initiation

5 The Federal agency shall initiate RISHPO review by submitting necessary documentation, specifics of
 6 which should be confirmed with the RISHPO prior to the time of submission.

Data Sources

7 The Federal agency shall use the following data sources, as applicable, as part of the Section 106
 8 consultation process for a Tier 2 undertaking, except as otherwise determined by the Federal agency in
 9 consultation with the RISHPO:

Property Type	Source	Description
Historic Properties	National Register of Historic Places listings for Rhode Island available at http://www.ri.gov/preservation/search	Online database containing Rhode Island properties listed on the National Register of Historic Places
Historic Properties	Historic properties site forms and surveys on file at the RIHPHC in Providence	All other materials pertaining to historic properties, which are in hard copy, need to be researched in person
Archaeological Resources	Archaeological site forms and surveys on file at the RIHPHC in Providence	All materials, which are in hard copy, need to be researched in person

10 RISHPO is working to convert hard copy materials to electronic files in the coming years. The Federal
 11 agency shall consult with the RISHPO prior to the onset of Tier 2 undertakings to confirm the status of
 12 data sources for Rhode Island.

13 RISHPO personnel may advise of additional data sources to be reviewed as part of the research process,
 14 including outreach and consultation with Local Historical Commissions and with local groups and
 15 individuals who may possess knowledge or specialized information on cultural resources within project
 16 areas.

II. Inviting Consulting Parties

17 The Federal agency shall consult with the RISHPO to identify potential consulting parties, which may
18 include the following organizations, to participate in Section 106 consultation for all Tier 2 projects
19 located in Rhode Island:

- 20 ▶ State Planning Council
- 21 ▶ Rhode Island Department of Environmental Management (RIDEM)
- 22 ▶ Rhode Island Department of Transportation (RIDOT)

23 The Federal agency shall consult with the RISHPO to identify potential consulting parties, which may
24 include the following organizations, to participate in Section 106 consultation for Tier 2 projects when
25 applicable, taking into account the location and anticipated impacts of the project:

- 26 ▶ AMTRAK
- 27 ▶ City of East Providence
- 28 ▶ City of Providence, Department of Planning and Development
- 29 ▶ Consolidated Rail Corporation
- 30 ▶ Cranston Historic District Commission
- 31 ▶ CSX Transportation
- 32 ▶ Cumberland Historic District Commission
- 33 ▶ East Greenwich Historic District Commission
- 34 ▶ Glocester Historic District Commission
- 35 ▶ Grow Smart Rhode Island
- 36 ▶ Hopkinton Historic District Commission
- 37 ▶ Massachusetts Bay Transportation Authority
- 38 ▶ Narragansett Historic District Commission
- 39 ▶ Norfolk Southern Corporation
- 40 ▶ North Kingstown Historic District Commission
- 41 ▶ North Providence Historic District Commission
- 42 ▶ North Smithfield Historic District Commission
- 43 ▶ Pawtucket Office of Planning and Redevelopment
- 44 ▶ Preserve Rhode Island
- 45 ▶ Providence & Worcester Railroad Company
- 46 ▶ Providence Historic District Commission
- 47 ▶ Rhode Island Historical Society
- 48 ▶ South Kingstown Historic District Commission
- 49 ▶ Warwick Historic District Commission

50 The Federal agency shall invite the following federally-recognized Indian tribe to participate in Section
51 106 consultation for those Tier 2 projects when applicable, taking into account the location and
52 anticipated impacts of the project:

- 53 ▶ Narragansett Indian Tribe of Rhode Island
- 54 ▶ Mashpee Wampanoag Tribe
- 55 ▶ Wampanoag Tribe of Gay Head (Aquinnah) of Massachusetts

56 The Federal agency also shall request updated consulting parties information from the RISHPO at the
57 onset of future Tier 2 projects, so as to ensure that the most up-to-date consulting parties and their
58 contacts are included in the consultation process. If the updated list varies from the list provided in this
59 Appendix, the Federal agency shall follow the updated list.

III. Defining the Area of Potential Effects

60 The Federal agency shall ensure that the area of potential effects (APE) for any Tier 2 project will be
61 defined and provided in consultation with the RISHPO.

IV. Identifying and Evaluating Historic Properties

62 The Federal agency shall consult with the RISHPO to confirm the most up to date forms and/or other
63 resources necessary to identify and evaluate historic properties and archaeological resources.

64 Archeological investigation of project areas within Rhode Island shall be conducted under the
65 *Performance Standards and Guidelines for Archeology in Rhode Island* (RIHPHC, June 2015). Should
66 burial sites or human remains be encountered within Rhode Island, these Standards and Guidelines
67 describe the procedures and protocols to be followed.

V. Documentation Requirements

68 The Federal agency shall consult with the RISHPO to confirm the most up to date guidelines and
69 requirements necessary to submit documents to the RISHPO for a Tier 2 undertaking in the State of
70 Rhode Island.

VI. Document Review Process

71 The Federal agency shall comply with the following requirements when submitting documents to the
72 RISHPO for review:

- 73 ▶ The Federal agency shall send final reports to the RISHPO for review in both hard copy and
74 electronic format (PDF or equivalent) and shall submit draft reports to the RISHPO for review in hard
75 copy and editable electronic format (Word or equivalent).
- 76 ▶ The RISHPO shall review and comment on all adequately documented project submittals within 30
77 calendar days of receipt.

VII. Public Involvement

78 The Federal agency shall ensure that the Section 106 consultation process includes opportunities for
79 involvement by the public, as appropriate, in accordance with 36 C.F.R. 800.2(d). The federal agency

80 may provide such opportunities as part public involvement efforts carried out as part of the
81 environmental review process under the National Environmental Policy Act.

82 The federal agency also shall consult with the RISHPO regarding the process to be used for involving the
83 public in Section 106 consultation.

VIII. Standard Treatments

84 The Federal agency may resolve adverse effects by adopting any of the Standard Treatments provided in
85 Appendix C of this PA, or any additional treatments identified in consultation with the RISHPO.

IX. Curation

86 The Federal agency shall comply with any specific requirements identified in consultation with the
87 RISHPO with regard to curation.

X. Confidentiality and Data Sharing

88 The Federal agency shall consult with the RISHPO, as necessary, to update any information about
89 confidentiality and data sharing of Tier 2 project information.

XI. Amendments

90 This Appendix may be amended by written agreement of the signatories of this Appendix, without the
91 need for concurrence of other signatories of the PA for the NEC FUTURE Investment Program.

92 SIGNATORY PARTIES

93 Federal Railroad Administration

94 By: Michael Johnsen Date: 8/22/2016

95 Michael Johnsen
96 Acting Division Chief, Environmental and Corridor Planning Division
Office of Railroad Policy and Development

97 Advisory Council on Historic Preservation

98 By: John M. Fowler Date: 8/25/16

99 John M. Fowler Executive Director
100 Name (printed) Title (printed)

102 Federal Transit Administration

103 By: Mary Beth Mello Date: 7/26/16

104 Mary Beth Mello
105 Region 1 Administrator*

106 *On behalf of FTA Regions 1, 2 and 3

107 Rhode Island State Historic Preservation Officer

108 By: Edward F. Sanderson Date: 7/18/2016

109 Edward F. Sanderson
110 State Historic Preservation Officer